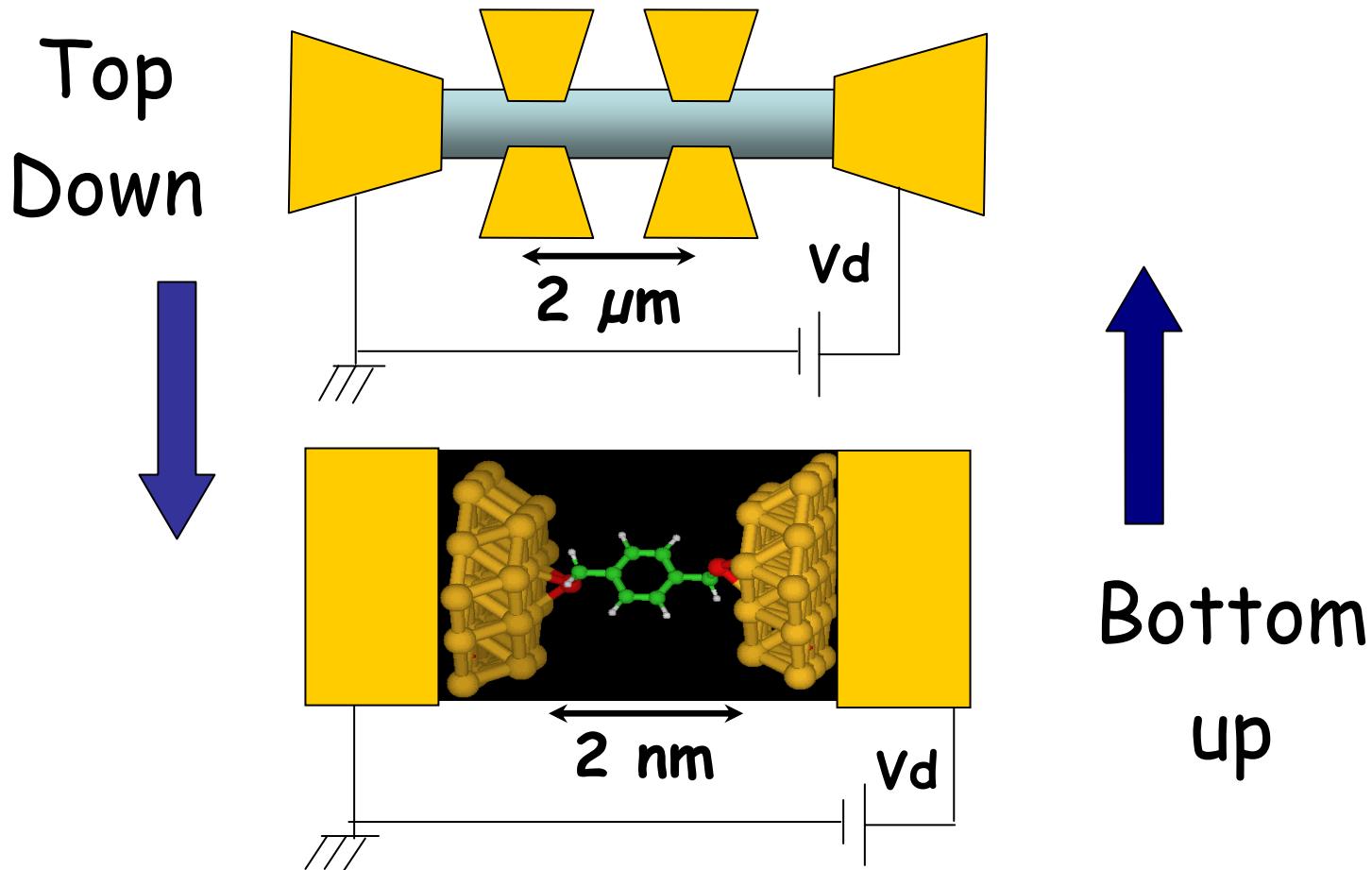




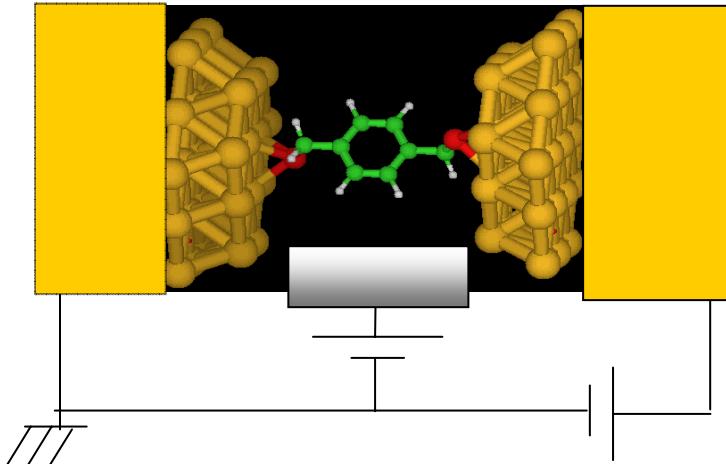
# Understanding Nanoscale Conduction

ISLPED'04





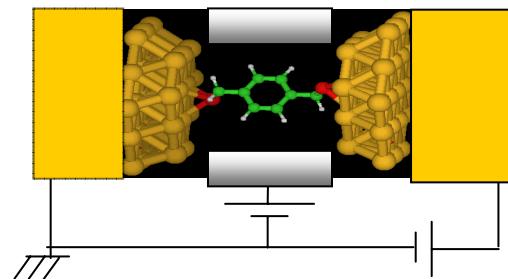
# Outline



- Qualitative picture
- Quantitative models
- Examples
- Coulomb blockade
- Summary/Open questions

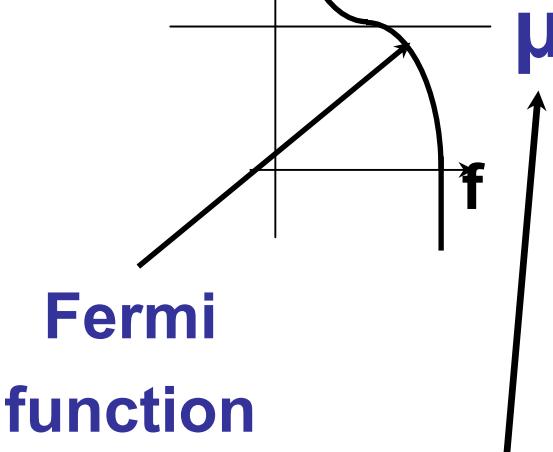


# "Band-Diagram"



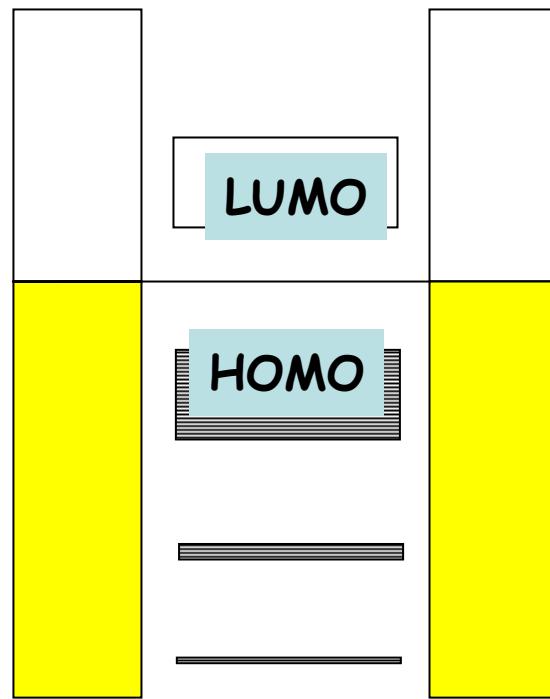
Vacuum  
Level

E



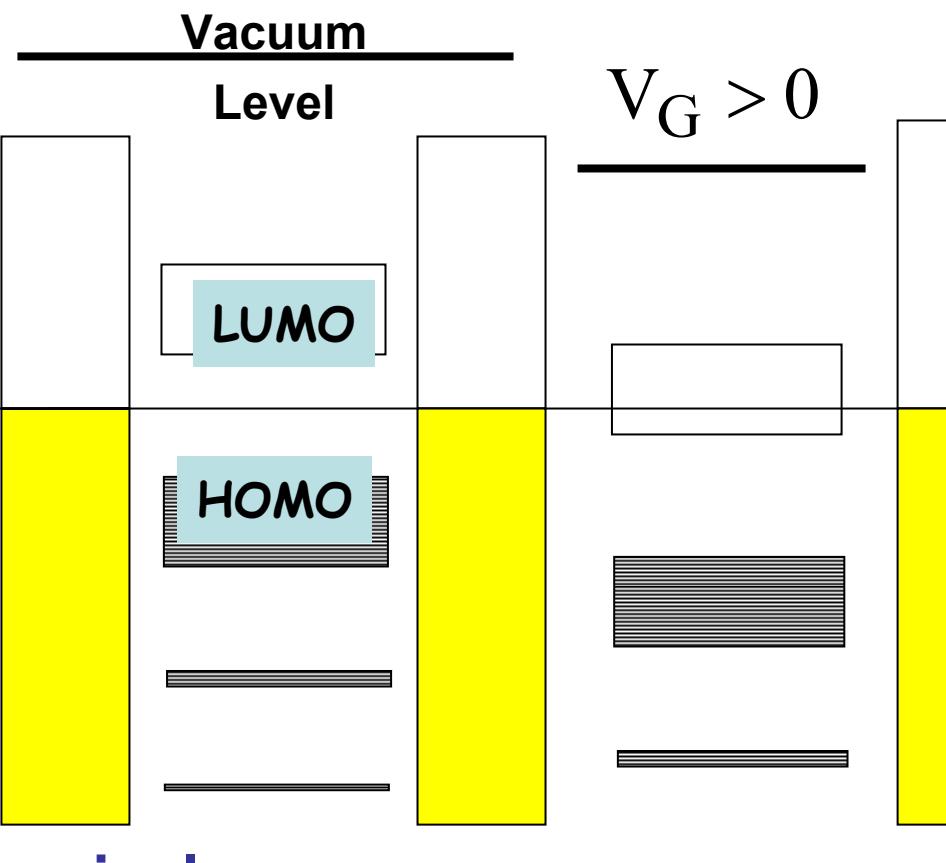
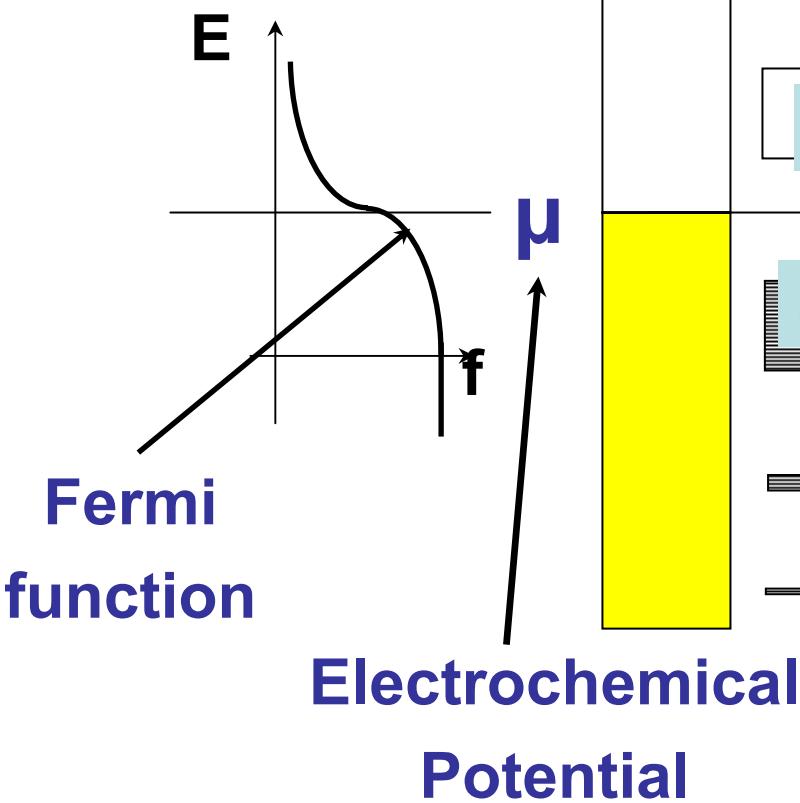
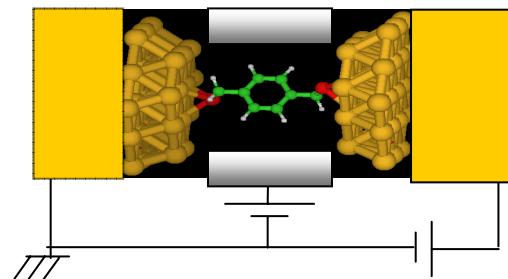
Fermi  
function

Electrochemical  
Potential



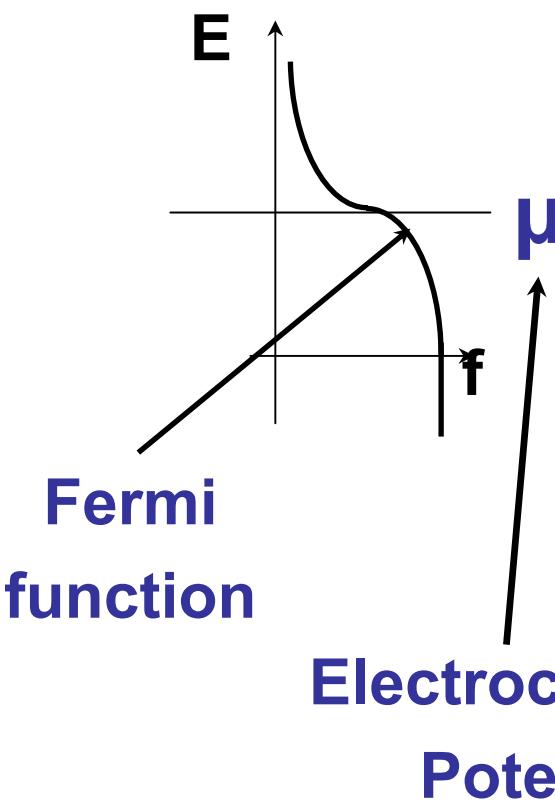
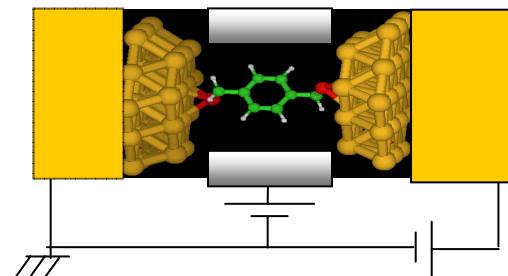


# "Band-Diagram"





# "Band-Diagram"

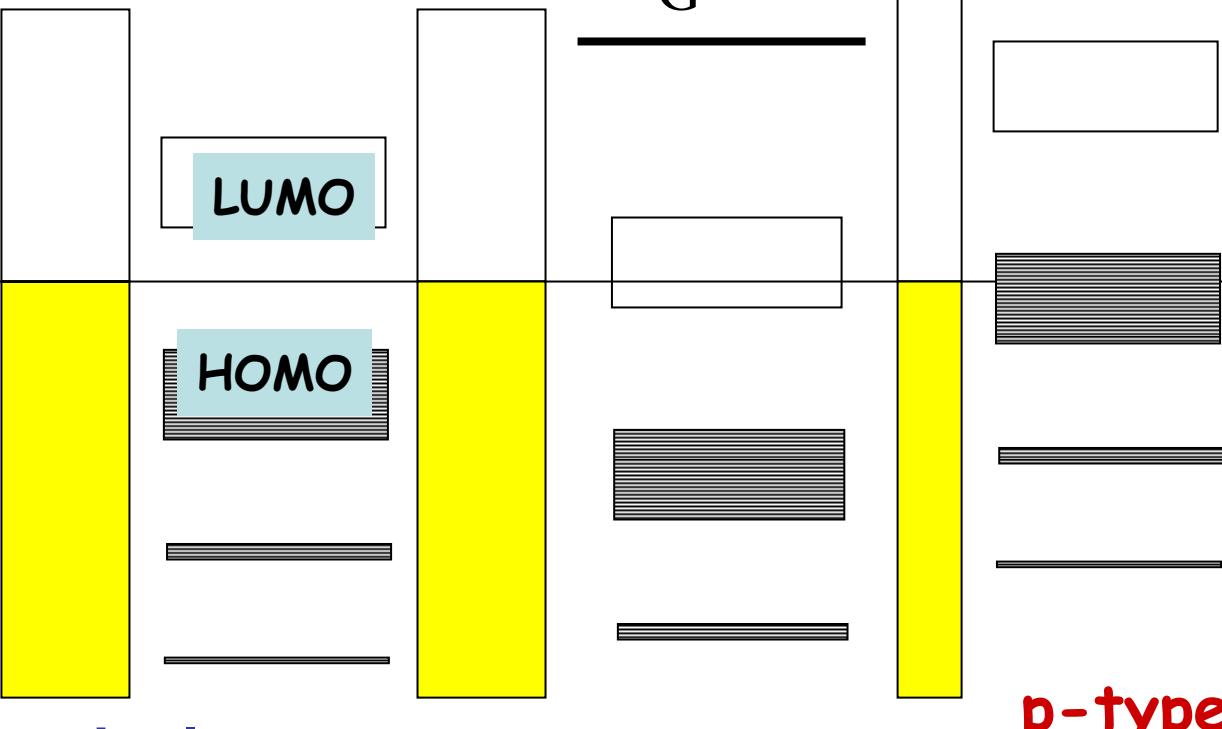


Vacuum  
Level

n-type

$$V_G < 0$$

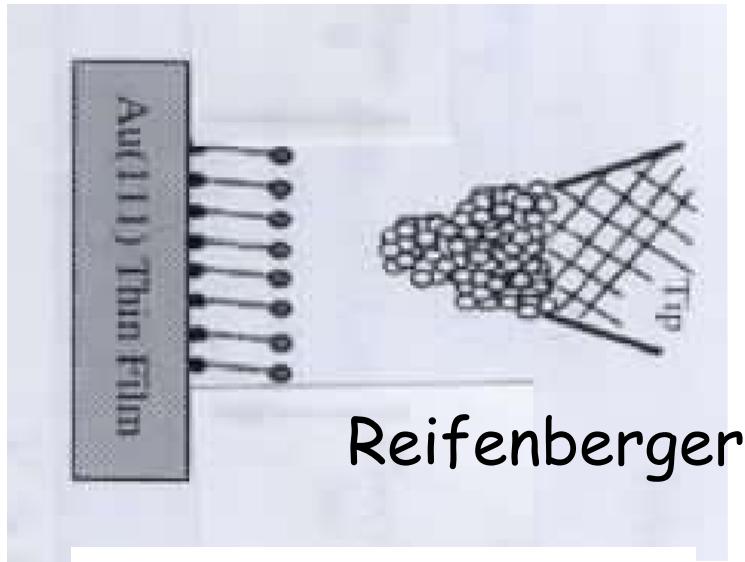
$$V_G > 0$$



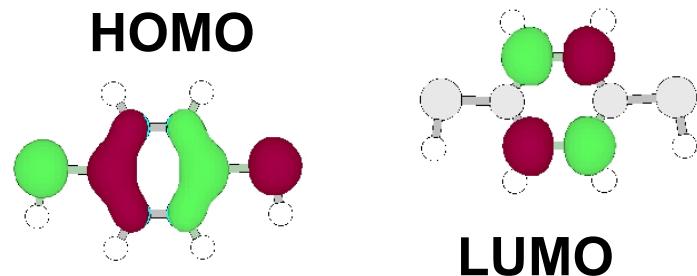
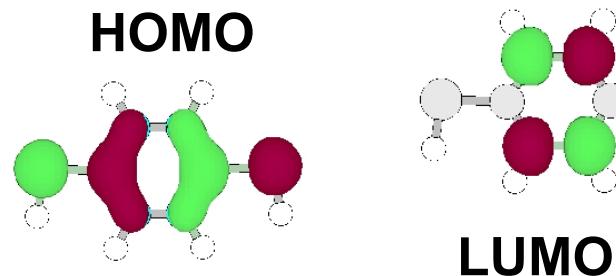
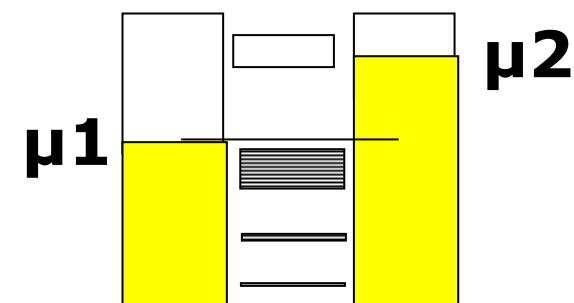
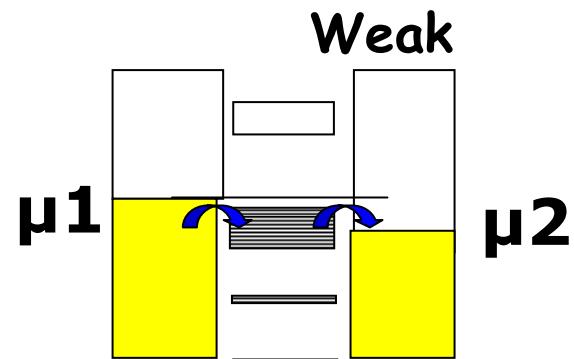
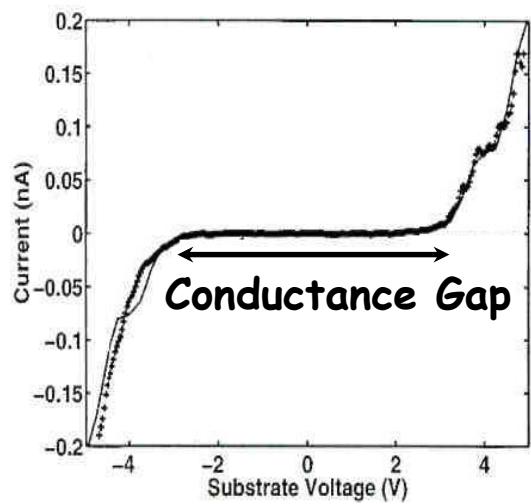
p-type



# Conductance Gap = ???

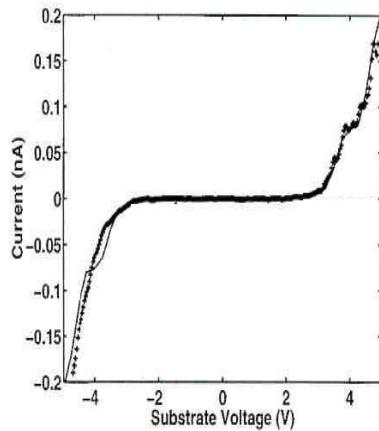


Reifenberger





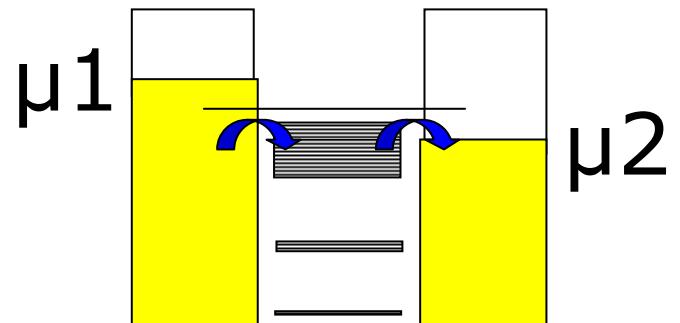
# Conductance Gap = ???



PRL 1997

Levels move

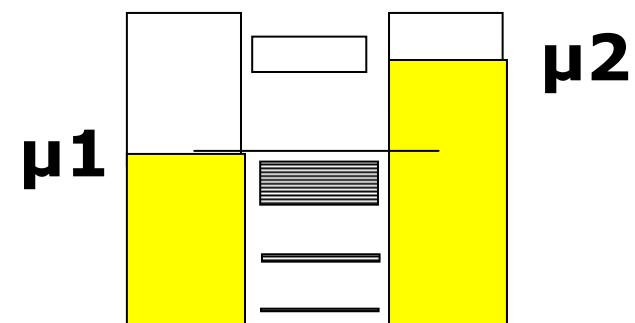
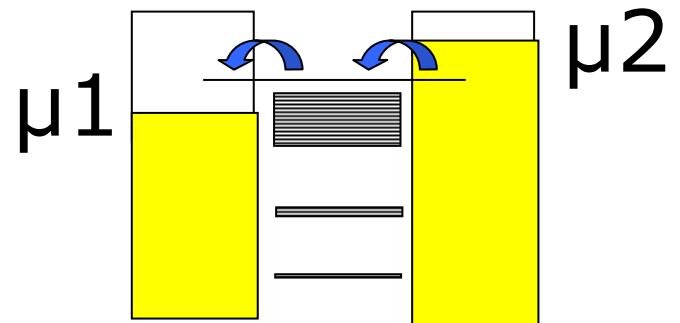
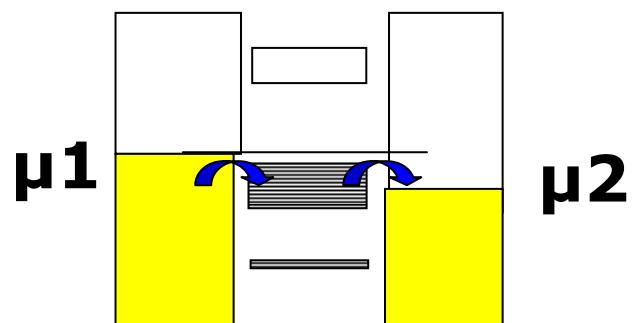
$$4 * (\text{Ef} - \text{HOMO})$$



Levels fixed

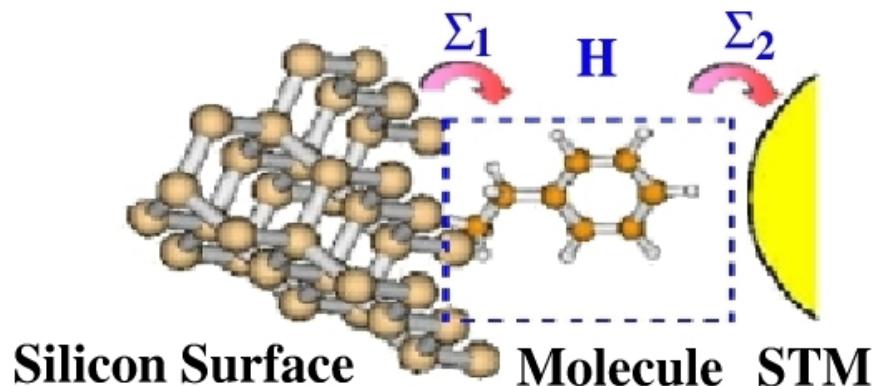
$$\text{LUMO} - \text{HOMO}$$

Weak

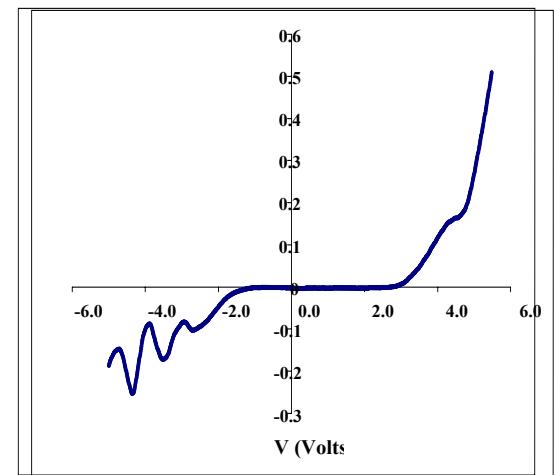
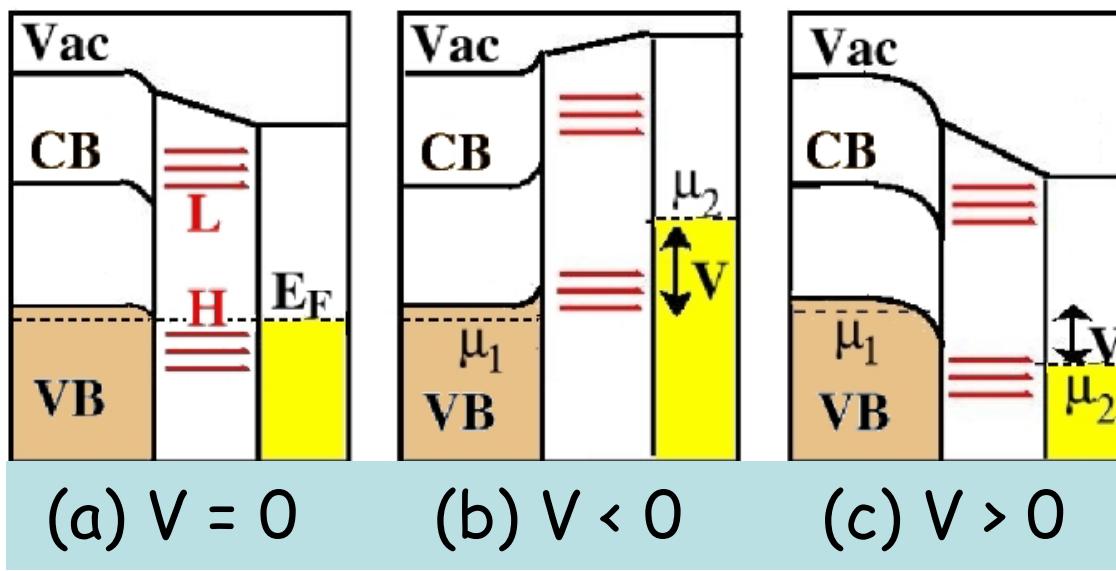




# Molecular devices on silicon



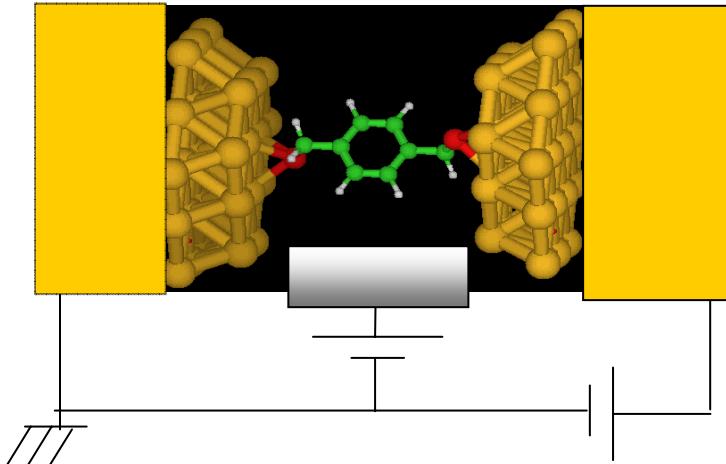
Expt: Mark Hersam  
Nanoletters, 01/04  
Cover story



Room temperature



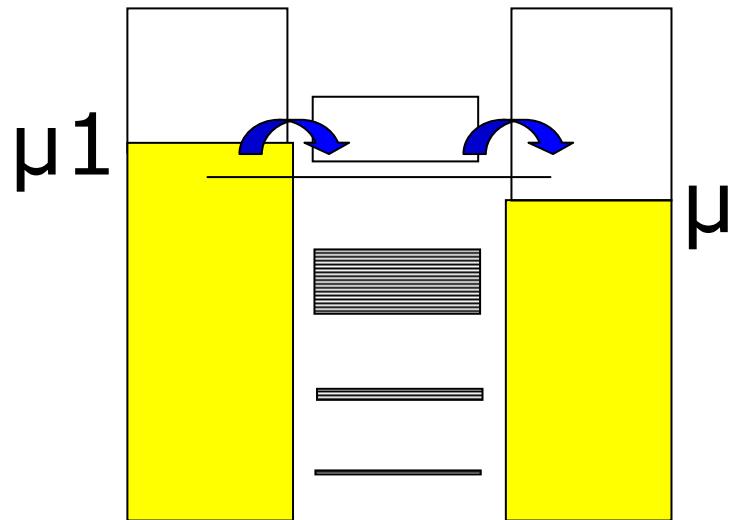
# Outline



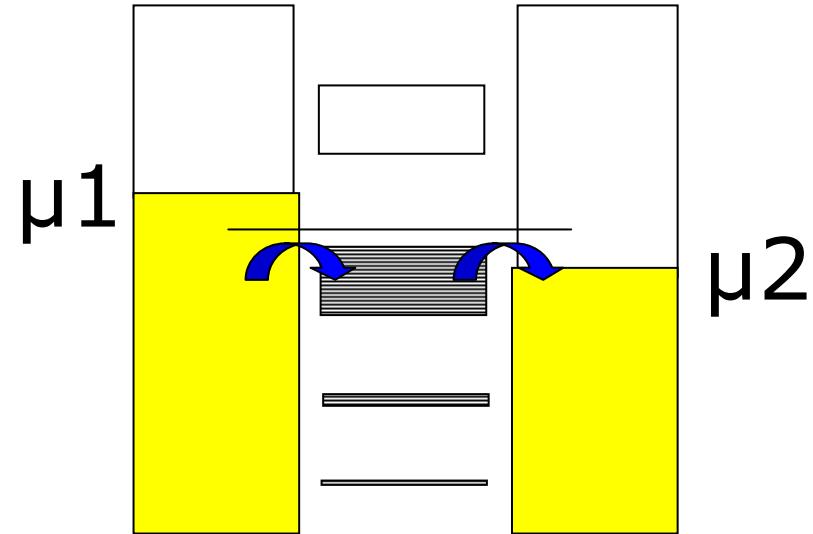
- Qualitative picture
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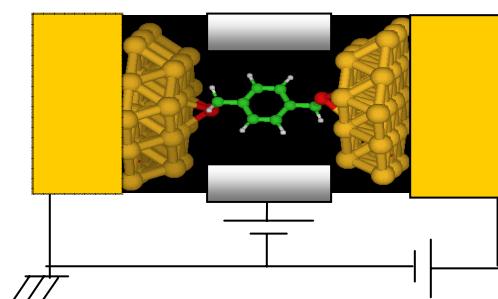
# What makes electrons flow?



LUMO (n-type)  
Conduction



HOMO (p-type)  
Conduction





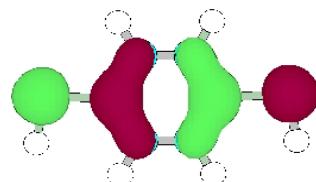
# Toy model: Escape time

$$I_1 = q \frac{\gamma_1}{\hbar} [f_1 - N]$$

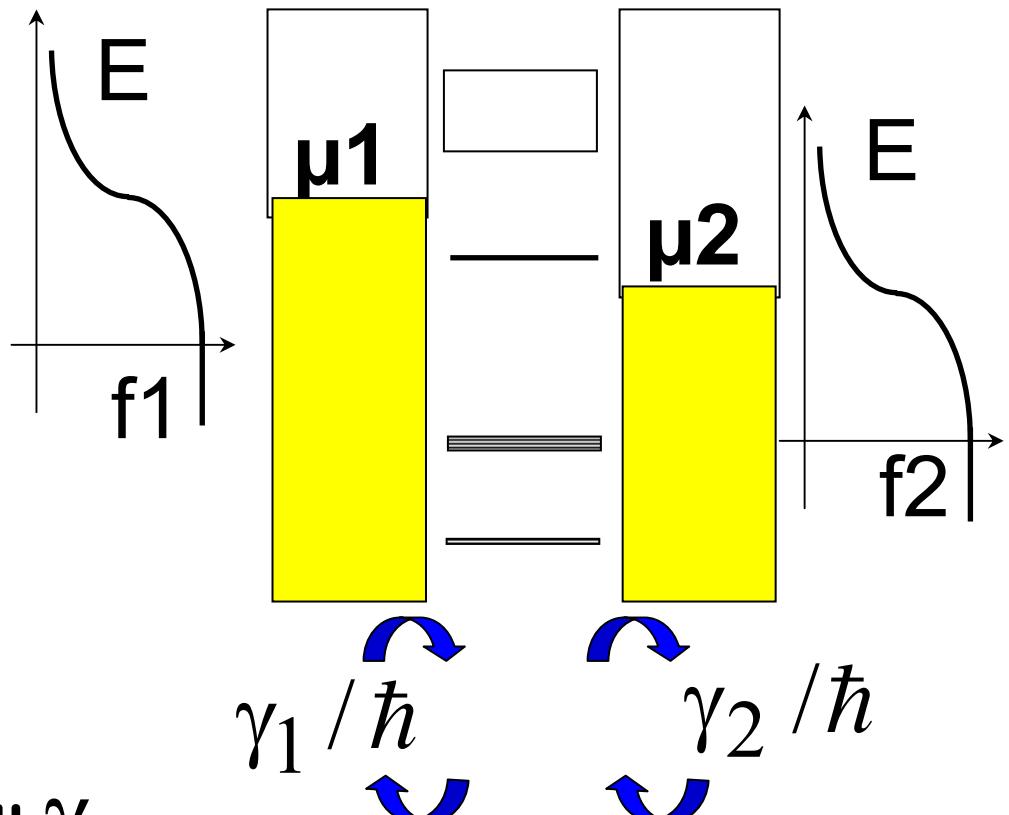
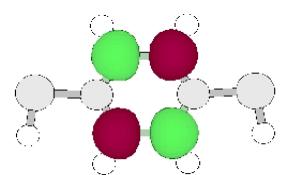
$$I_2 = q \frac{\gamma_2}{\hbar} [N - f_2]$$

$$I = \frac{q}{\hbar} \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2} [f_1 - f_2]$$

Large  $\gamma$

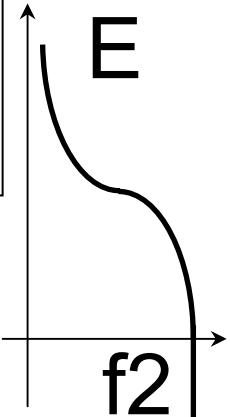
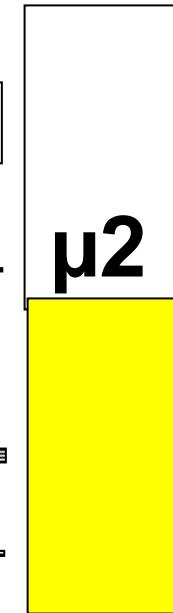
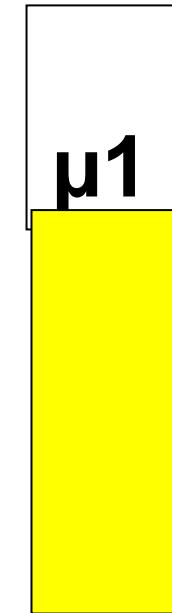
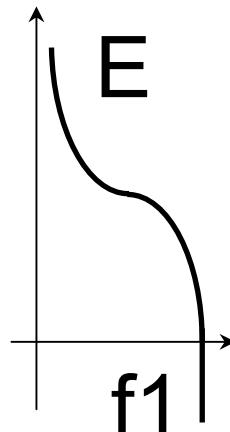
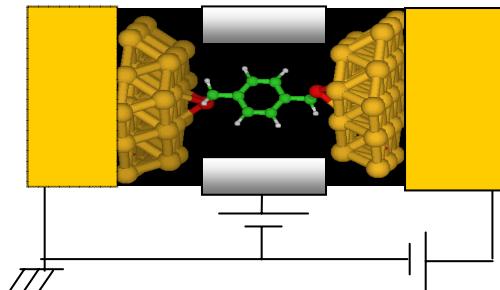


Small  $\gamma$





# Toy model: Max. G ?



$$I = \frac{q}{\hbar} \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2} [f_1^{\uparrow} - f_2^{\uparrow}]$$

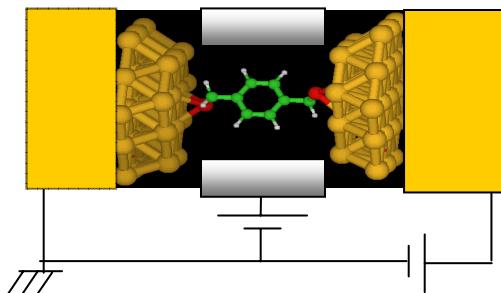
1 - 0

$$\sim \frac{q}{2\hbar} \gamma$$

$$\gamma_1 / \hbar \quad \gamma_2 / \hbar$$



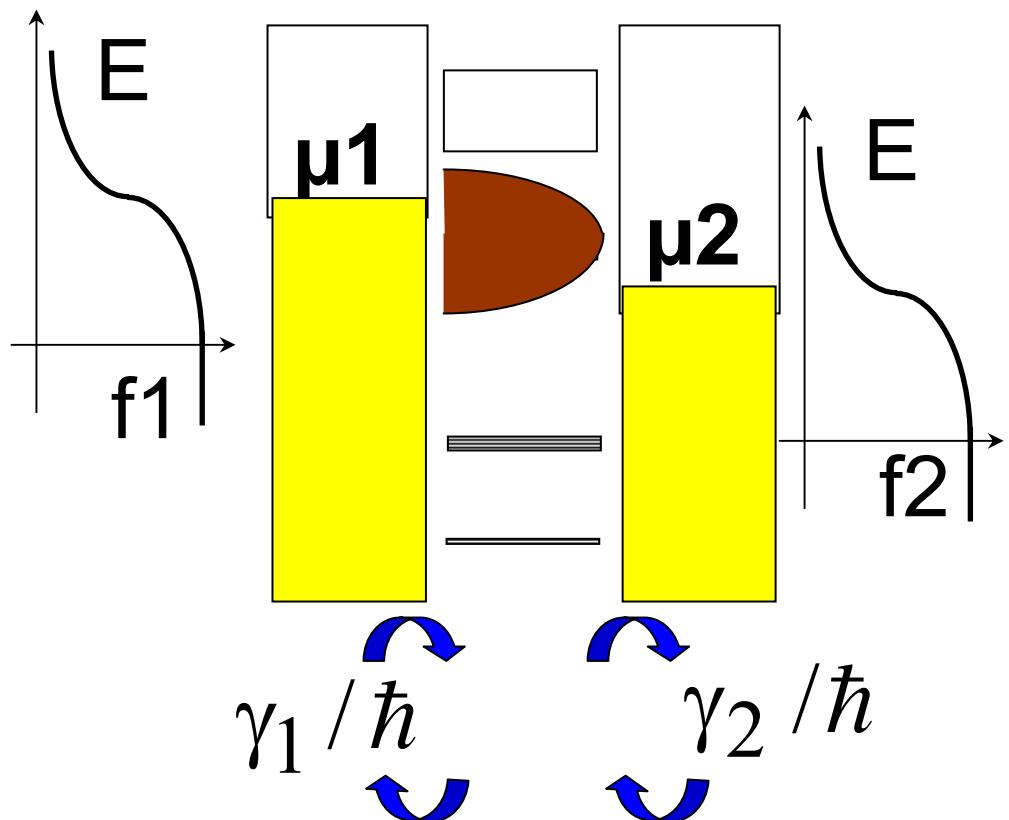
# Toy model: Broadening



$$I \sim \frac{q}{2\hbar} \frac{\chi}{\gamma} \frac{qV}{\chi}$$

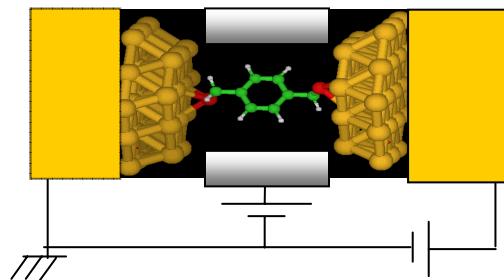
Maximum conductance

$$\frac{I}{V} \sim q^2/h \sim \frac{1}{25.8 \text{ K}\Omega}$$





# Broadening

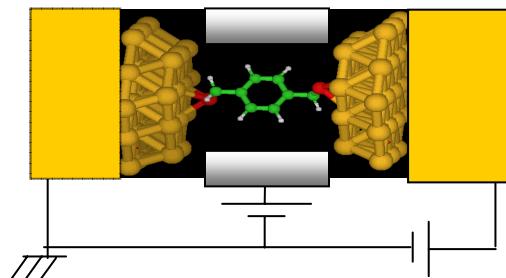


$$N = \int dE D(E) \left[ \frac{\gamma_1 f_1 + \gamma_2 f_2}{\gamma_1 + \gamma_2} \right]$$

$$I = \frac{q}{h} \int dE D(E) \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2} [f_1 - f_2]$$



# Broadening



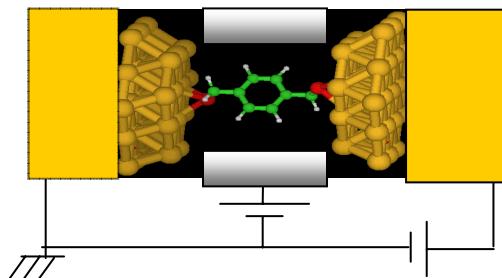
$$N = \int dE D(E - U) \left[ \frac{\gamma_1 f_1 + \gamma_2 f_2}{\gamma_1 + \gamma_2} \right]$$

$$I = \frac{q}{h} \int dE D(E - U) \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2} [f_1 - f_2]$$

$$U = U_L + U_0(N - N_0)$$



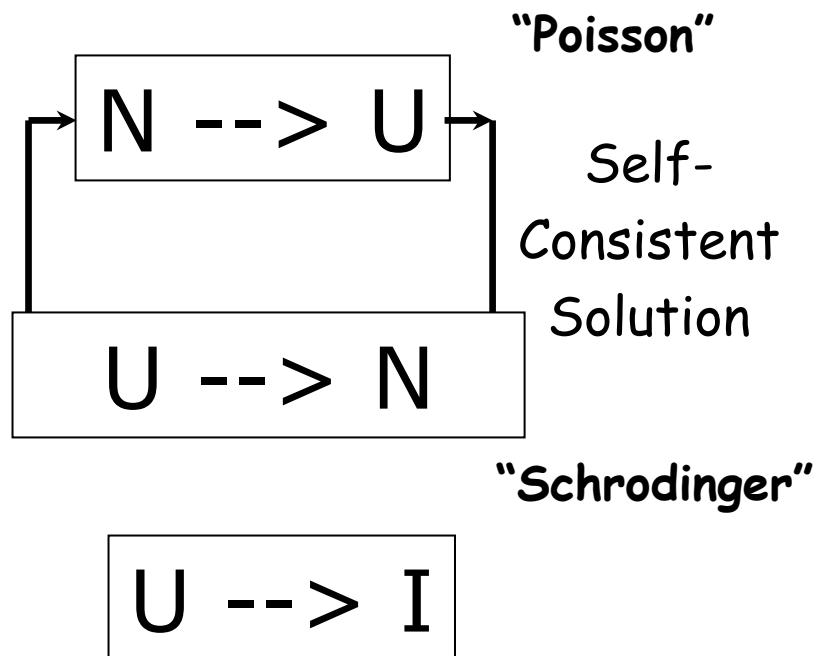
# Broadening + Charging



$$N = \int dE D(E - U) \left[ \frac{\gamma_1 f_1 + \gamma_2 f_2}{\gamma_1 + \gamma_2} \right]$$

$$I = \frac{q}{\hbar} \int dE D(E - U) \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2} [f_1 - f_2]$$

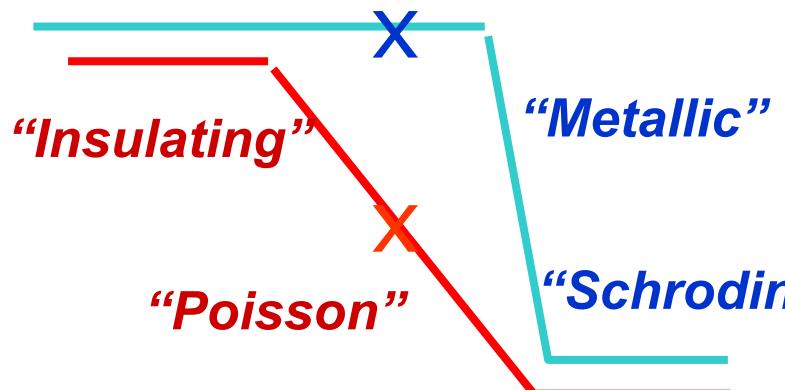
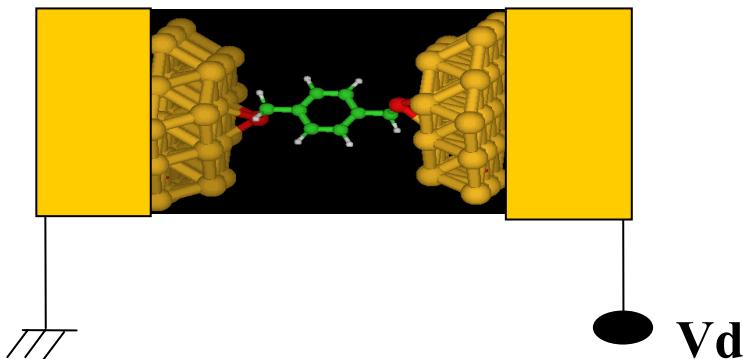
$$U = U_L + U_0(N - N_0)$$





# Where is the voltage drop ?

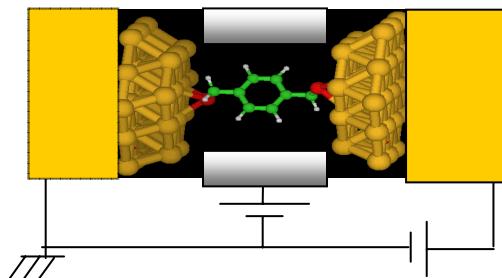
Weak



$$U = U_L + U_0(N - N_0)$$



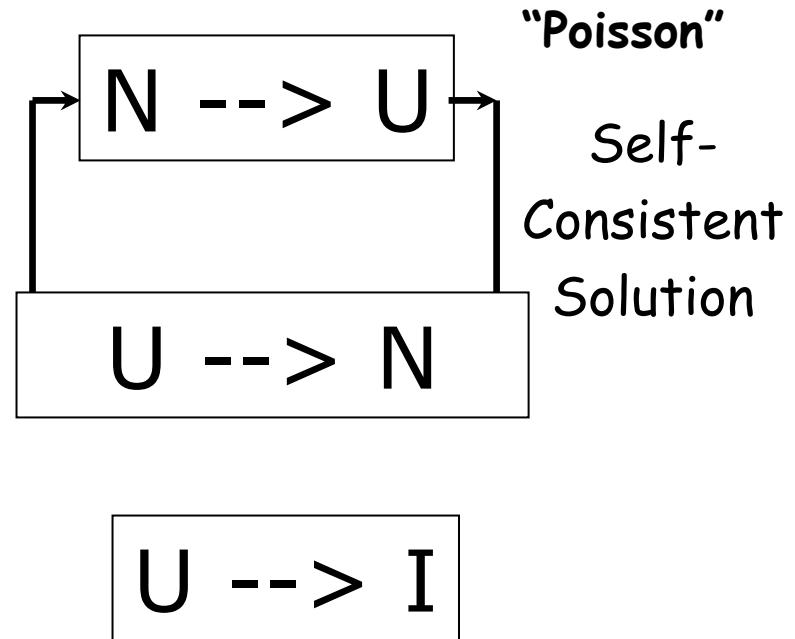
# Minimal Model



$$N = \int dE D(E - U) \left[ \frac{\gamma_1 f_1 + \gamma_2 f_2}{\gamma_1 + \gamma_2} \right]$$

$$I = \frac{q}{\hbar} \int dE D(E - U) \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2} [f_1 - f_2]$$

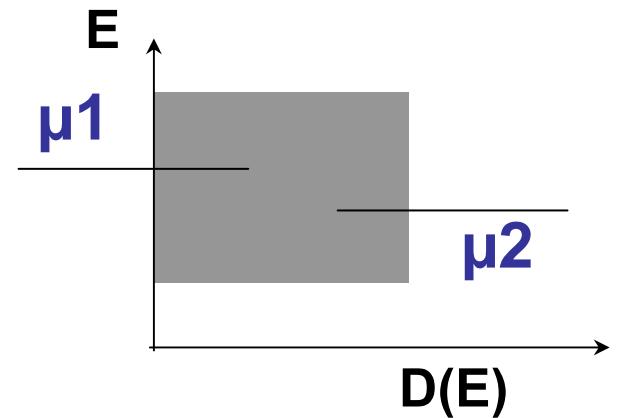
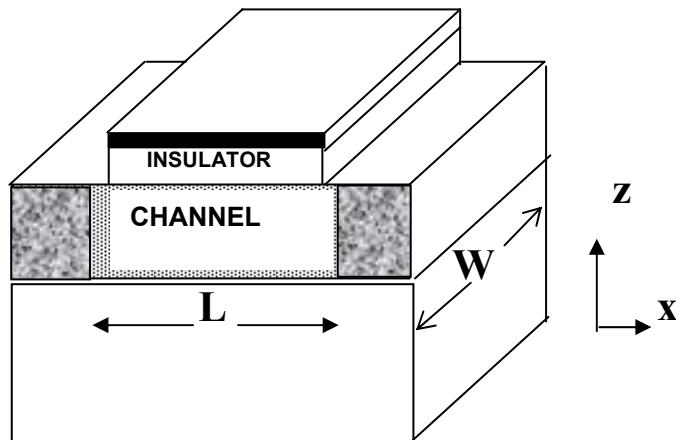
$$U = U_L + U_0(N - N_0)$$



**Nanowires / Nanotubes / Molecules**



# Ohm's Law ?



$$I = \frac{q}{\hbar} \int dE D(E - U) \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2} [f_1 - f_2]$$

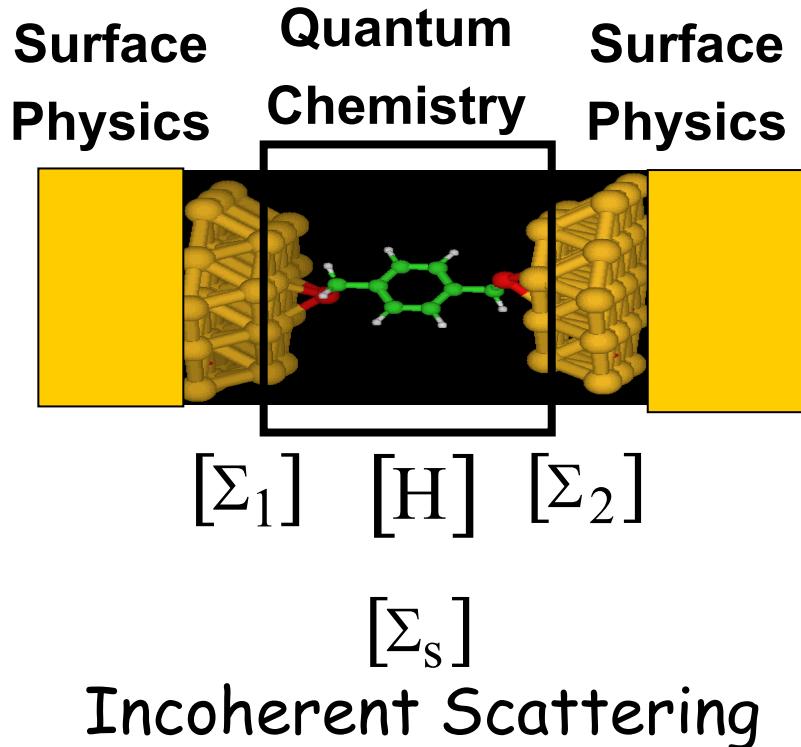
$D(E) \sim Area \times Length$

$\gamma \sim Velocity / Length$

$I \sim Area$



# Numbers --> Matrices: NEGF

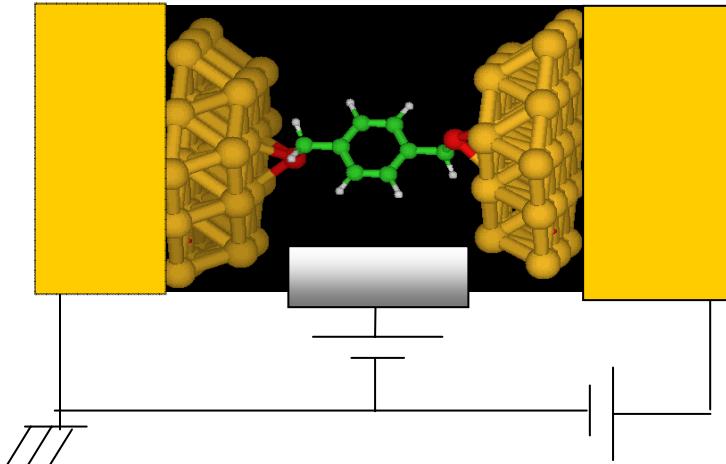


$$\begin{aligned}\varepsilon &\rightarrow [H] \\ \gamma &\rightarrow [\Gamma], [\Sigma] \\ D(E) &\rightarrow [A(E)] \\ U &\rightarrow [U] \\ N &\rightarrow [p]\end{aligned}$$

Ghosh, Liang, Rakshit,  
Zahid, Damle, Paulsson



# Outline



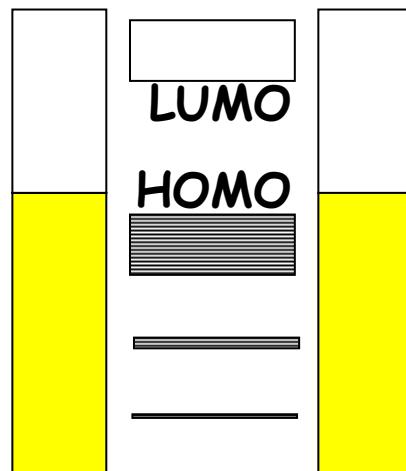
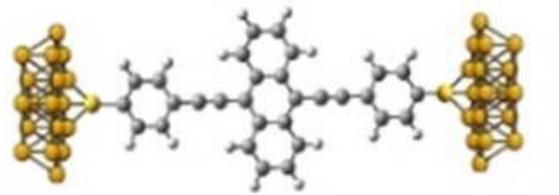
- Qualitative picture
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# Experiment vs. Theory

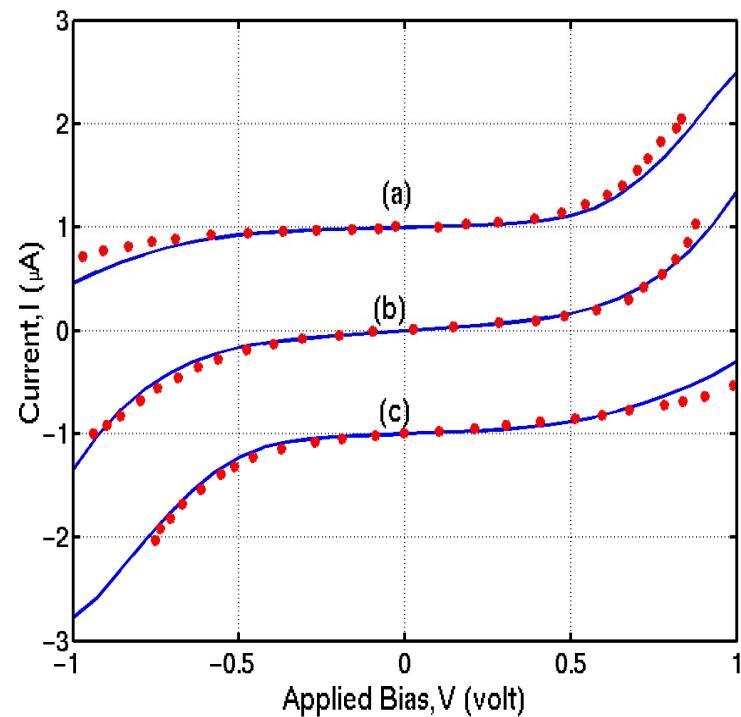
Experiment:

Reichert et.al. PRL (2002)



Theory:

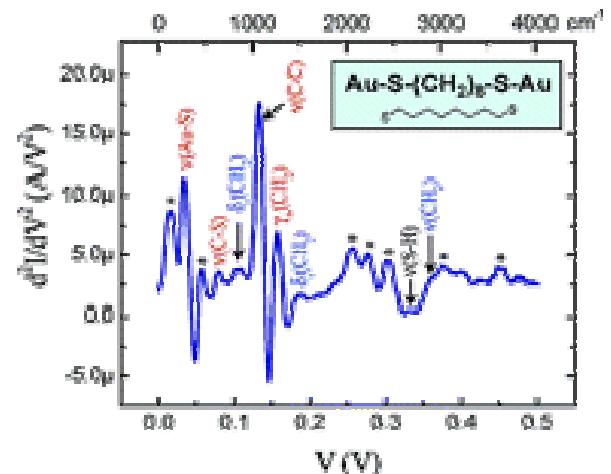
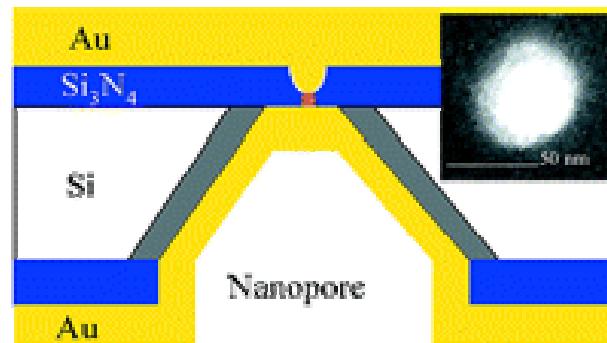
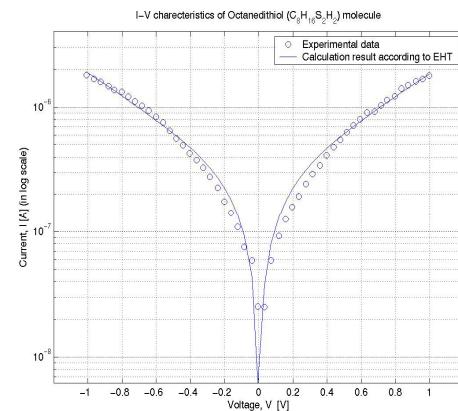
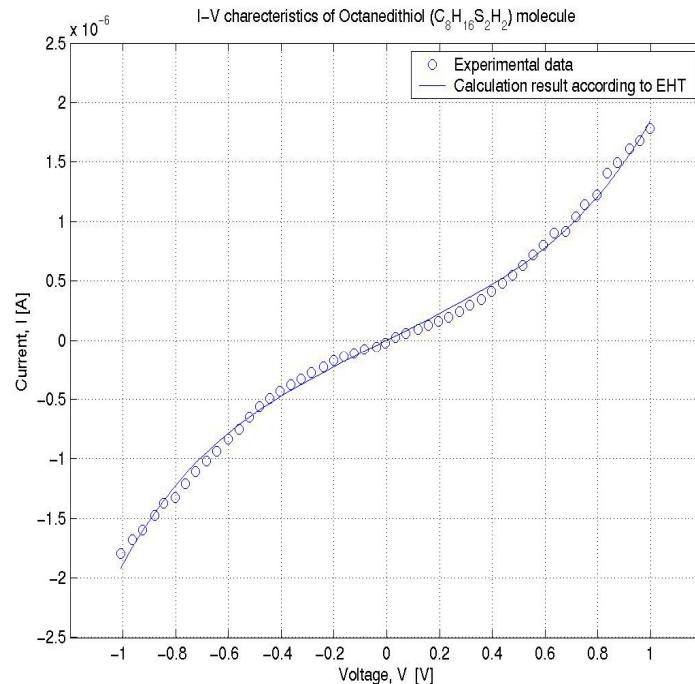
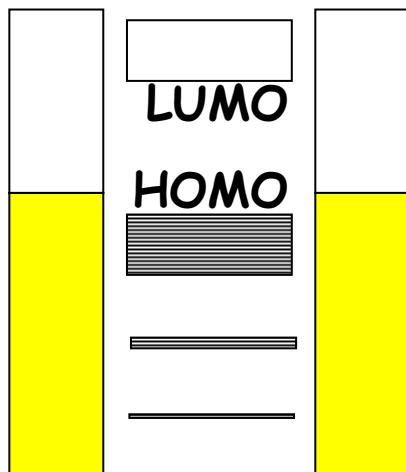
Zahid, Ghosh et.al.





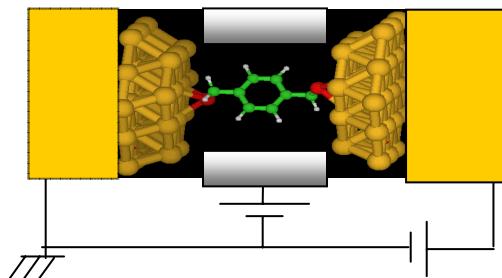
# Experiment vs Theory

Expt: Wang, Lee  
and Reed  
Alkane-thiols  
Theory: Zahid  
and Siddiqui





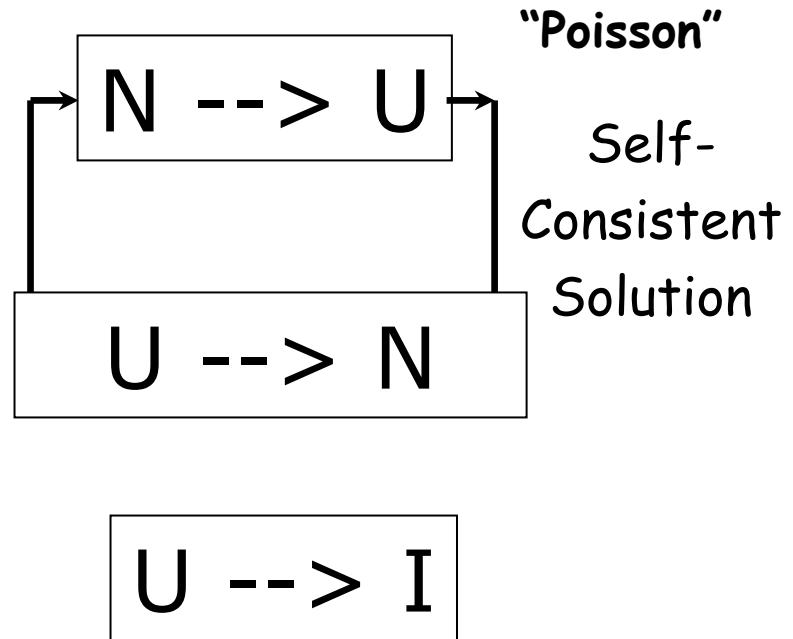
# Minimal Model



$$N = \int dE D(E - U) \left[ \frac{\gamma_1 f_1 + \gamma_2 f_2}{\gamma_1 + \gamma_2} \right]$$

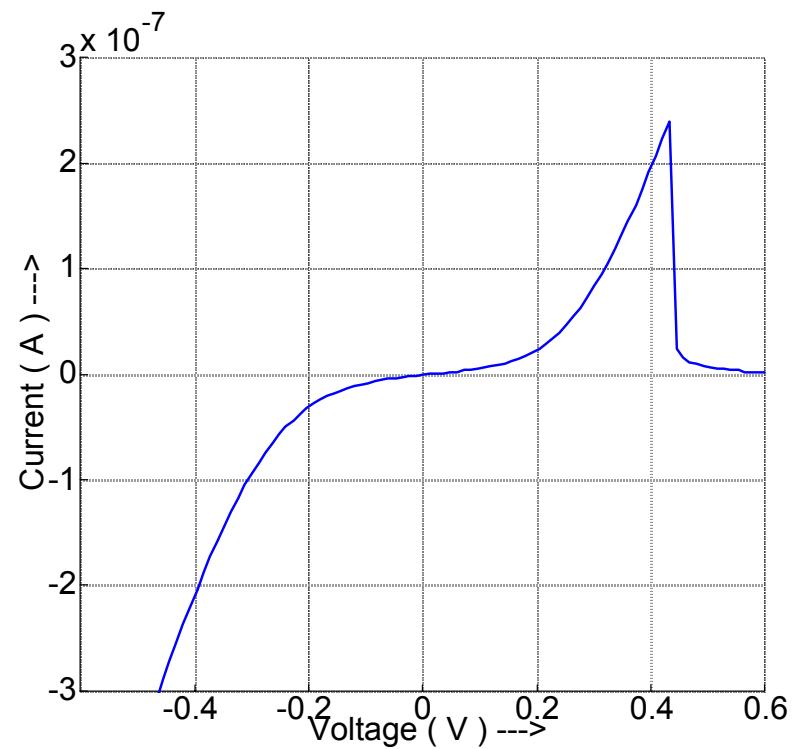
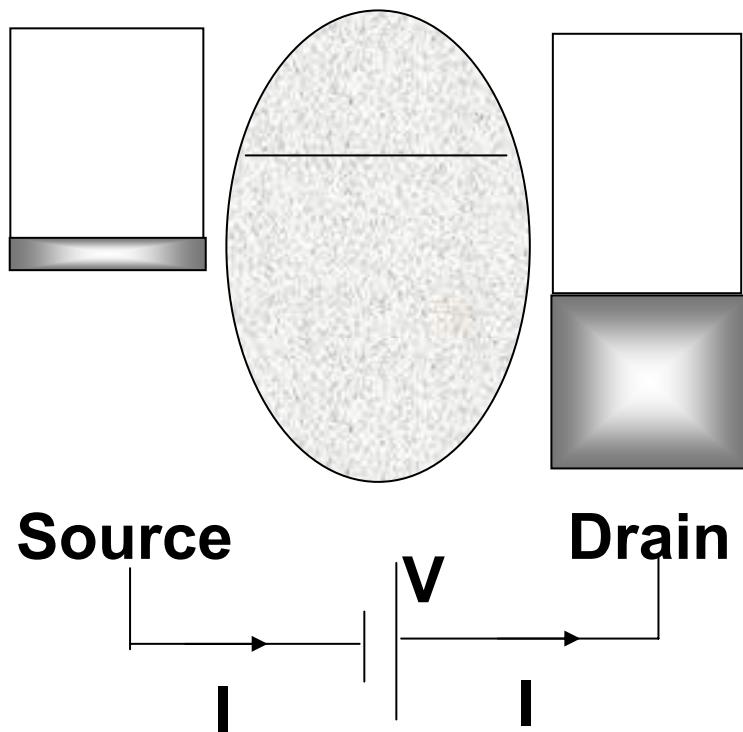
$$I = \frac{q}{\hbar} \int dE D(E - U) \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2} [f_1 - f_2]$$

$$U = U_L + U_0(N - N_0)$$





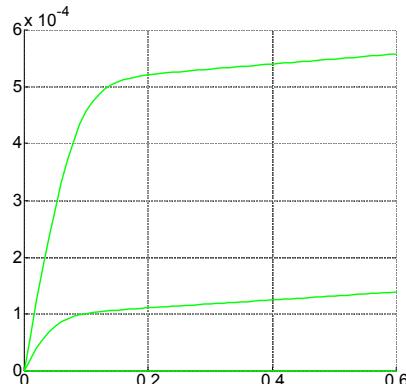
# Negative Differential Resistance (NDR)





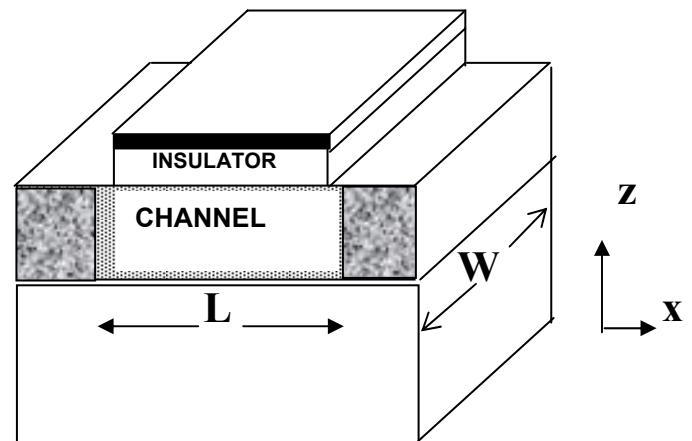
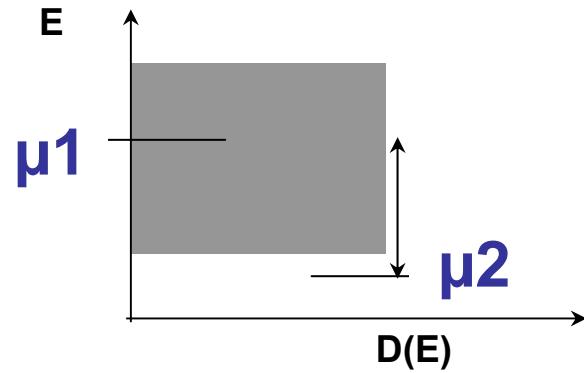
# FET: Why current "saturates" ?

Drain current



Drain voltage

$$U = U_L + U_0(N - N_0)$$

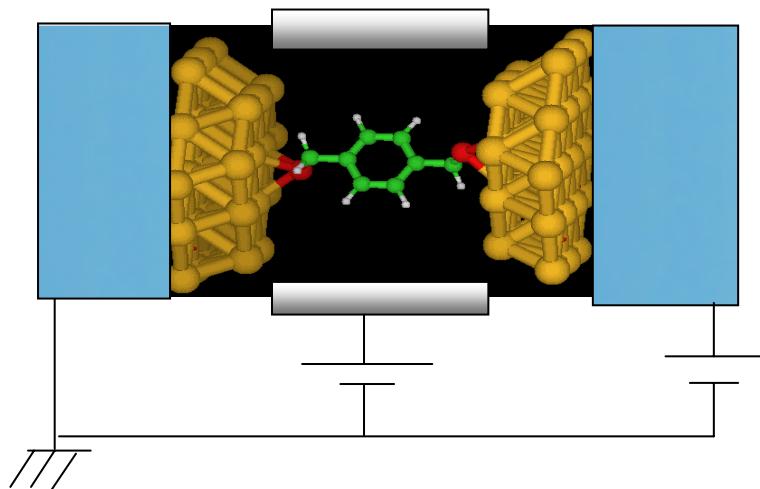




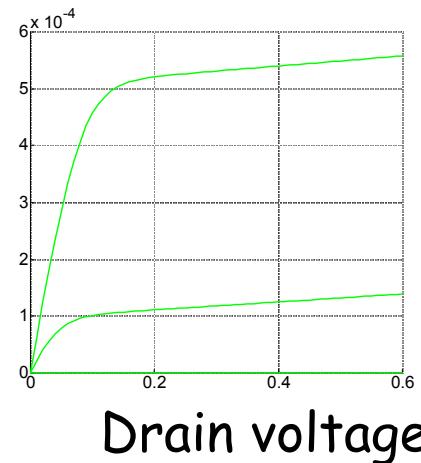
# Molecular FET's ?

$$U = U_L + U_0(N - N_0)$$

*Gate* should exercise greater control than *drain*



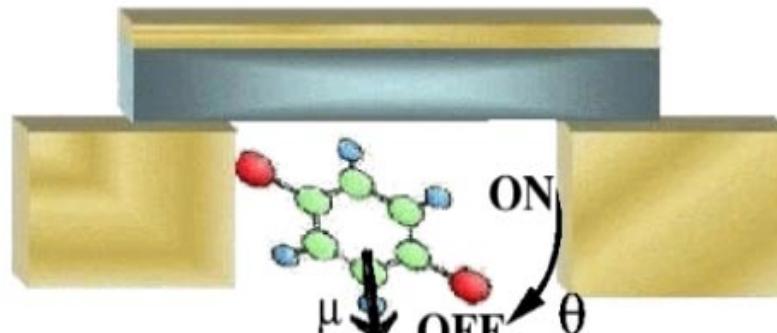
Drain current



Damle, Rakshit, Paulsson,  
IEEE Transactions on  
Nanotechnology (2002)



# Conformational Transistors ?



Molecular Relay

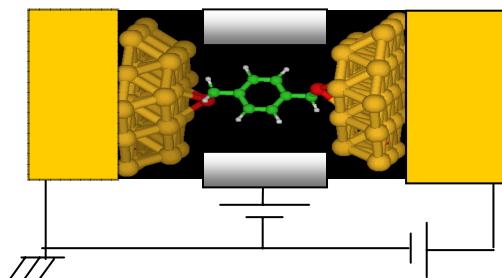
$$(S_{es})_{\min} = 2.3(k_B T/e) \approx 60 \text{ mV/dec.}$$

$$(S_{conf})_{\min} = 2.3(k_B T/e) \cdot (e t_{ox}/\mu)$$

Ghosh, Rakshit  
(*Nanoletters*, 2004)



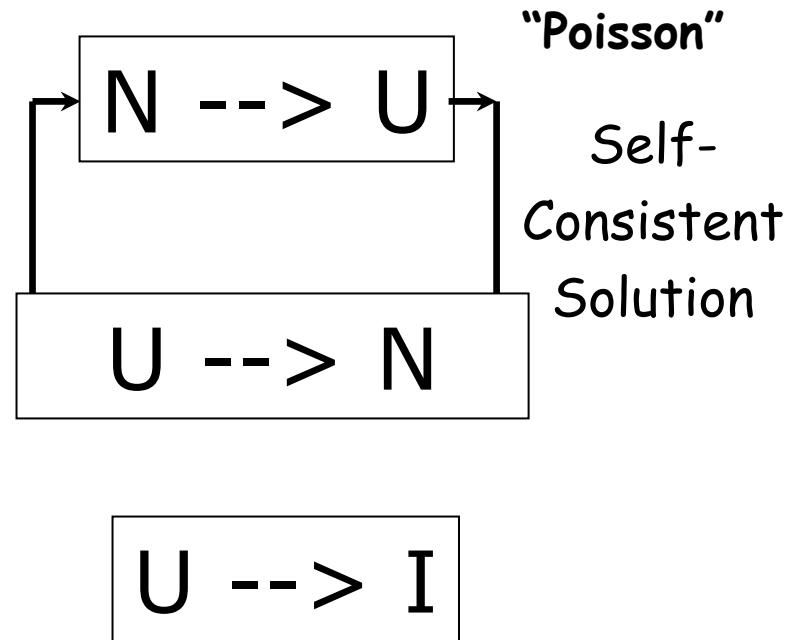
# Minimal Model



$$N = \int dE D(E - U) \left[ \frac{\gamma_1 f_1 + \gamma_2 f_2}{\gamma_1 + \gamma_2} \right]$$

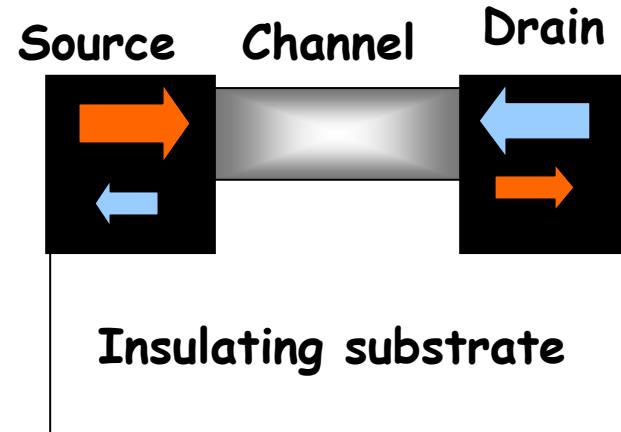
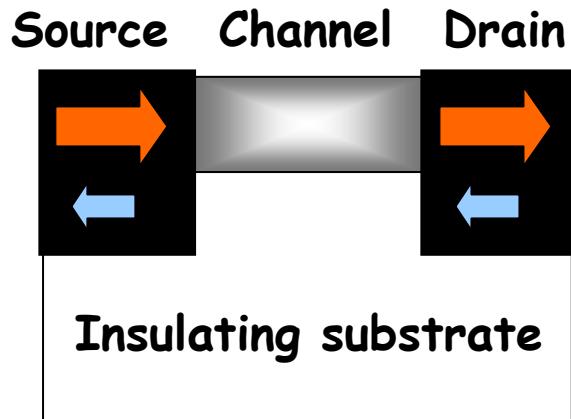
$$I = \frac{q}{\hbar} \int dE D(E - U) \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2} [f_1 - f_2]$$

$$U = U_L + U_0(N - N_0)$$





# Spin Valves



$\alpha$  —————  $\alpha$

$\beta$  —————  $\beta$

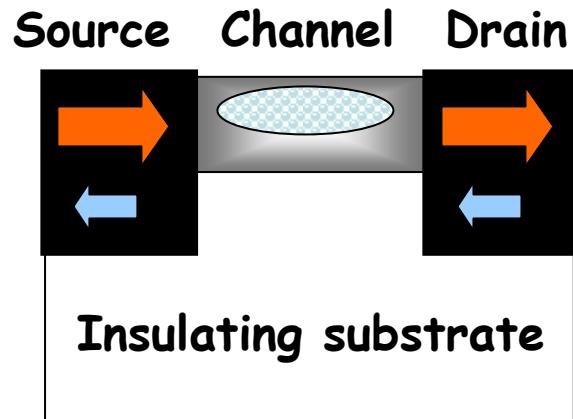
$$I_P \sim \frac{\alpha.\alpha}{\alpha + \alpha} + \frac{\beta.\beta}{\beta + \beta} = \frac{\alpha + \beta}{2}$$

$\alpha$  —————  $\beta$   
 $\beta$  —————  $\alpha$

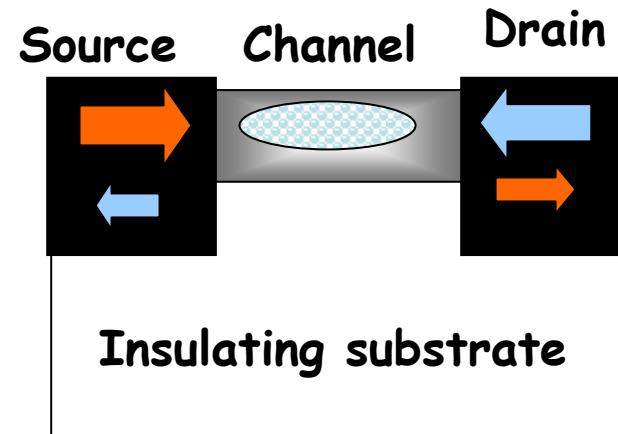
$$\begin{aligned} I_{AP} &\sim \frac{2\alpha\beta}{\alpha + \beta} \\ &= \left( \frac{\alpha + \beta}{2} \right) - \frac{(\alpha - \beta)^2}{2(\alpha + \beta)} \end{aligned}$$



# Spintronics



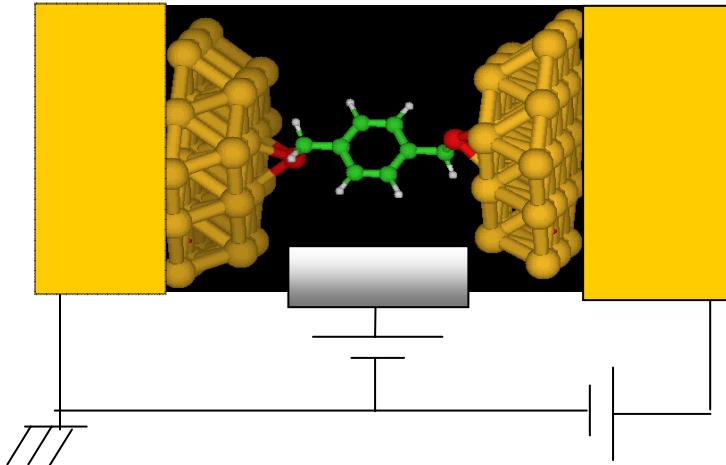
$$\begin{array}{c} \alpha - \text{---} - \alpha \\ \beta - \text{---} - \beta \end{array}$$



$$\begin{array}{c} \alpha - \text{---} - \beta \\ \beta - \text{---} - \alpha \end{array}$$



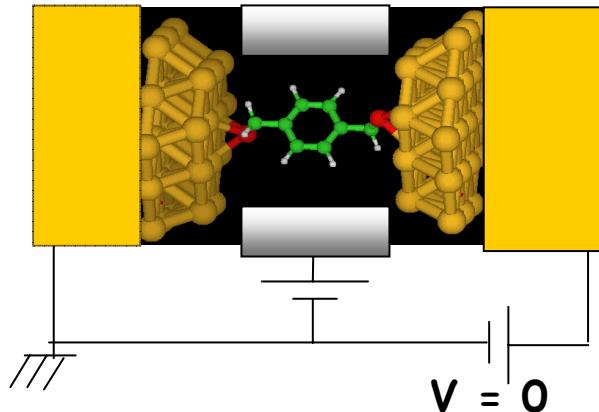
# Outline



- Qualitative picture
- Quantitative models
  - Examples
- Coulomb blockade
- Summary/Open questions

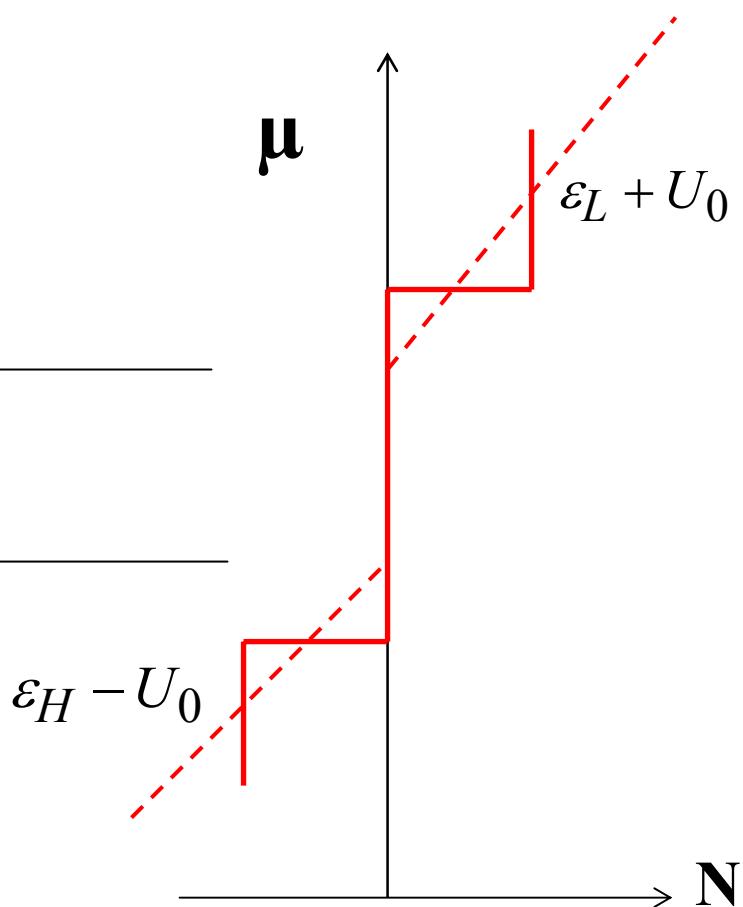


# Electron addition and removal



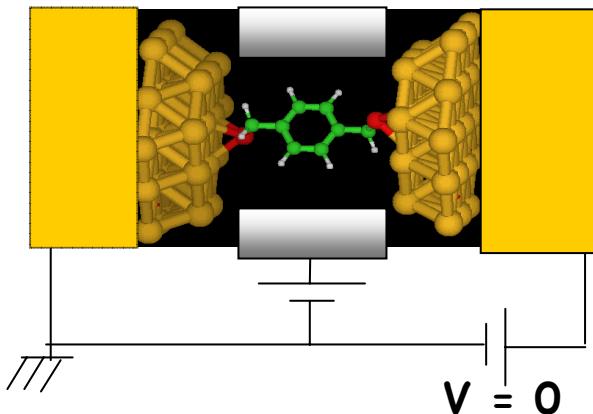
$$U = U_L + U_0 \Delta N$$

$\mu$  —————  
 $\varepsilon_L$  —————  
 $\mu$  —————  
 $\varepsilon_H$  —————

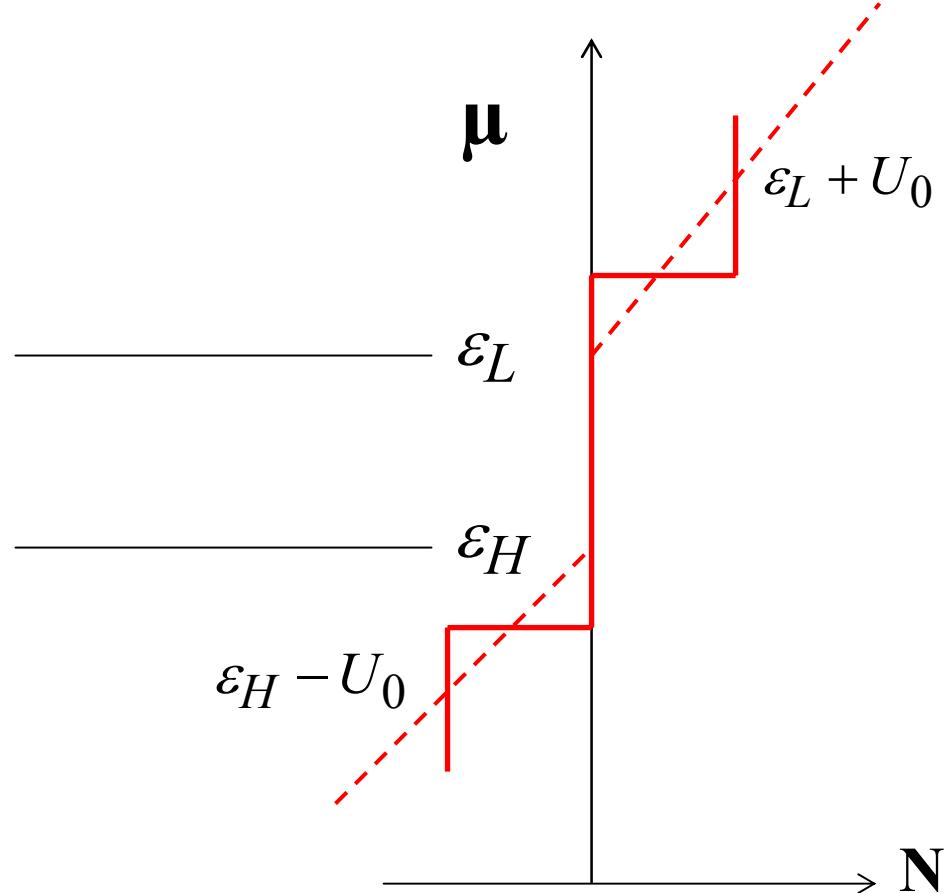




# Self-interaction correction



$$U_j = U_L + U_0(\Delta N - \Delta n_j)$$

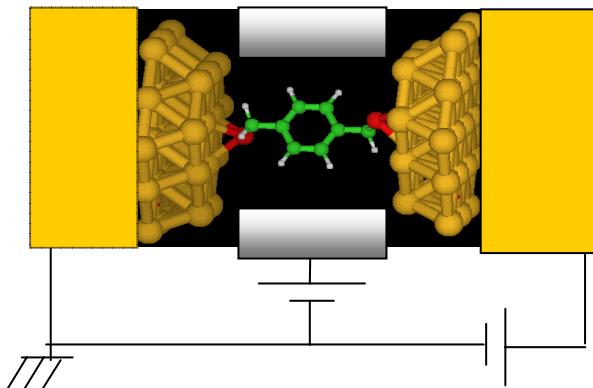


CB:  $U_0 \gg \gamma$

SCF:  $\gamma \gg U_0$



# Non-equilibrium



$$U_j = U_L + U_0(\Delta N - \Delta n_j)$$

$\mu$  (Source)

$\epsilon_L$

Exact

$\epsilon_H$

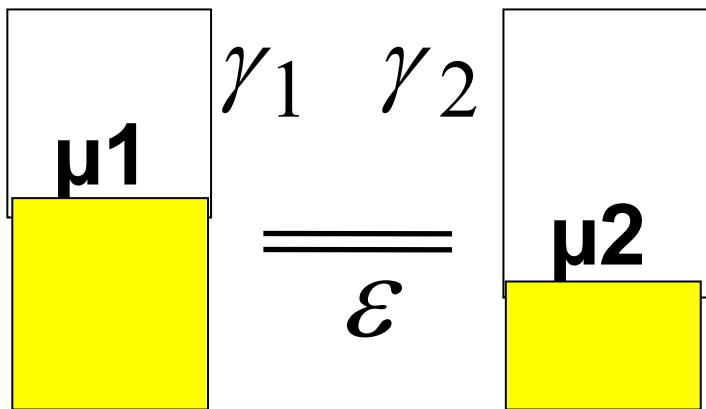
I

CB:  $U_0 \gg \gamma$

SCF:  $\gamma \gg U_0$



# One-electron vs. Multielectron



$$\begin{aligned} U_{scf} &= \partial U_{ee} / \partial N \\ &= U_0(N - N_0) \end{aligned}$$

$$10 \xrightarrow{\frac{\epsilon + (U_0/2)}{\gamma_1}} 11 \quad 2\epsilon + 2U_0$$

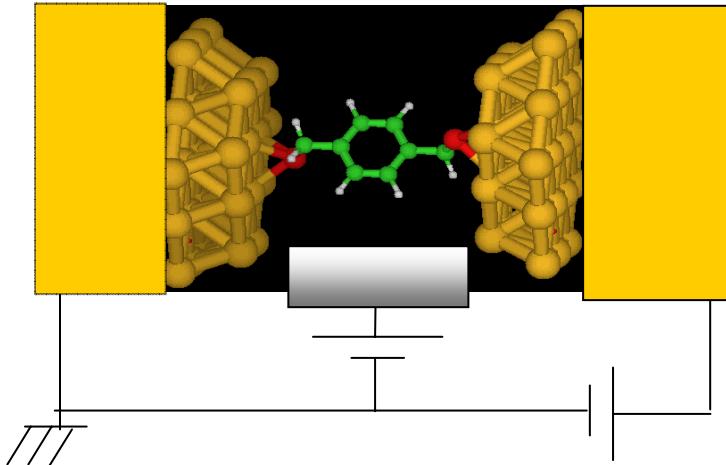
$$10 \xrightarrow{\frac{\epsilon + (U_0/2)}{\gamma_2}} 01$$

$$00$$

$$U_{ee} = (U_0/2) (N - N_0)^2$$



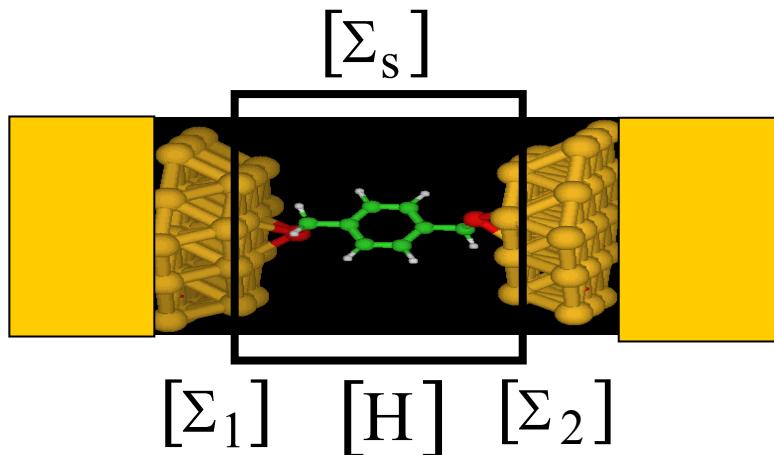
# Outline



- Qualitative picture
- Quantitative models
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- Summary/Open questions



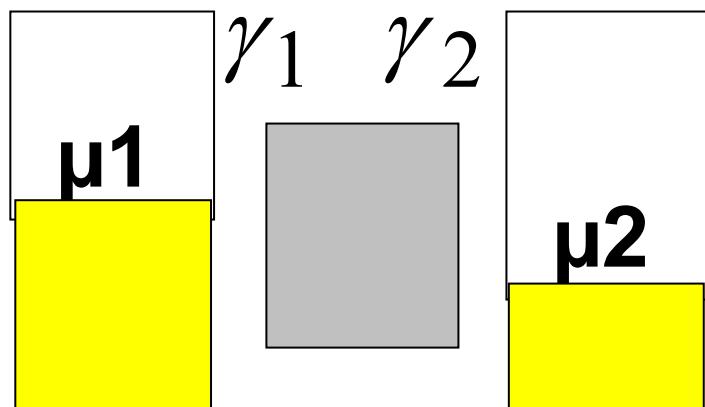
# Summary



$$U = U_L + U_0 \Delta N$$

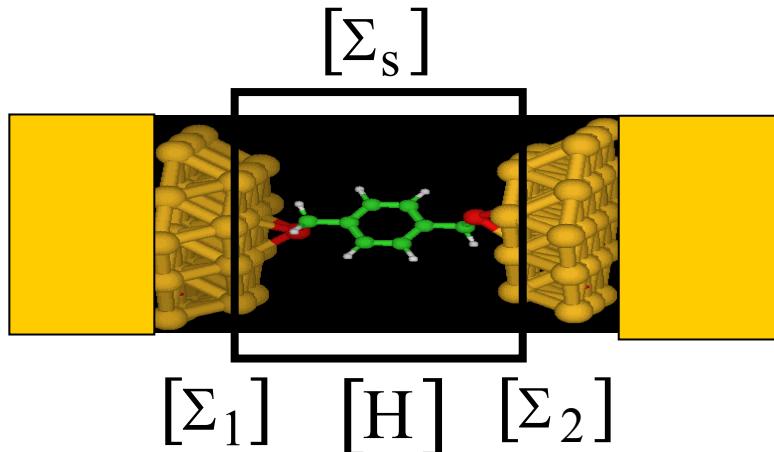
SCF:  $\gamma \gg U_0$

CB:  $U_0 \gg \gamma$





# Summary / Open questions



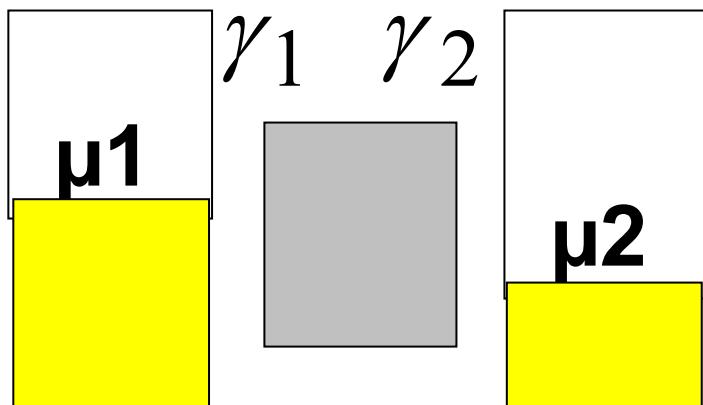
$$U = U_L + U_0 \Delta N$$

SCF:  $\gamma \gg U_0$

CB:  $U_0 \gg \gamma$

$\gamma \sim U_0$  : ??????

Cooperative Phenomena ?





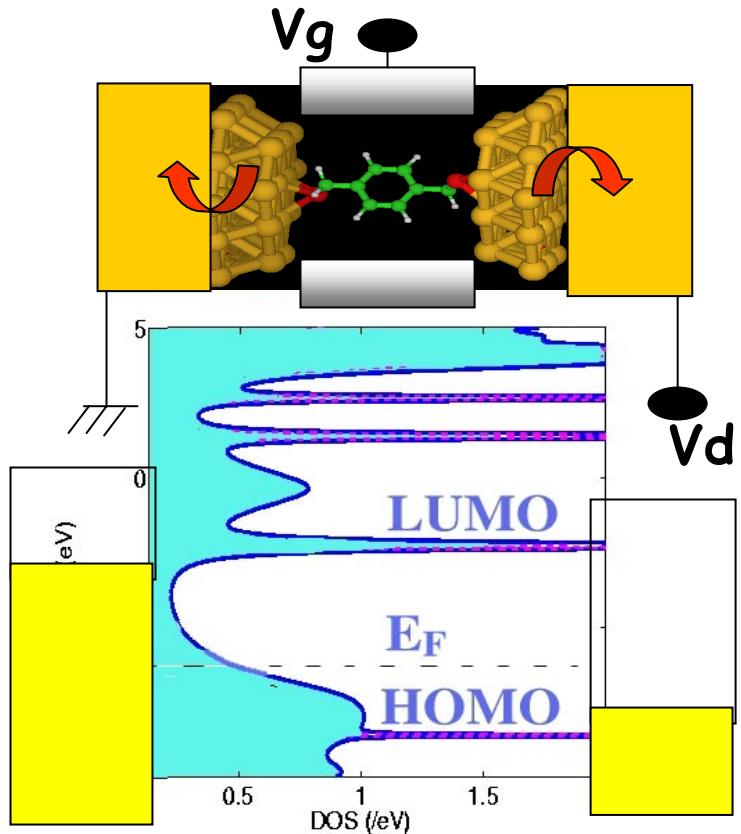
# Inflow / Outflow

$$\hbar \frac{d}{dt} N + \gamma_1 N = \gamma_1 f_1$$

$$i\hbar \frac{d}{dt} \psi - H\psi - \Sigma_1 \psi = S_1$$

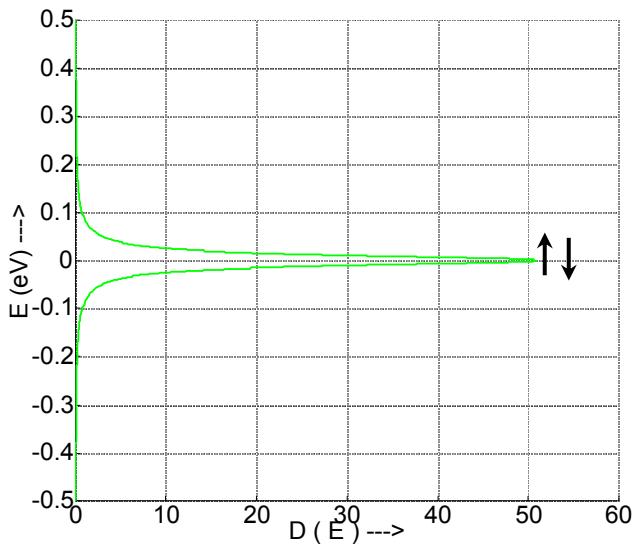
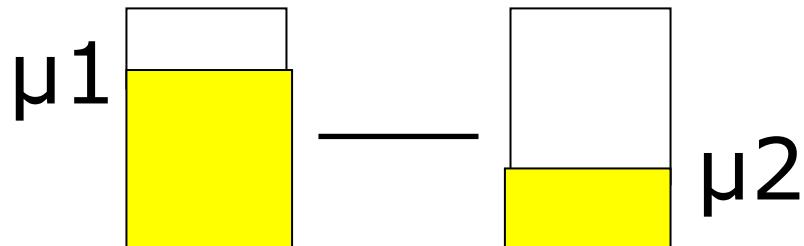
Out      In

$$i\hbar \frac{d}{dt} \begin{Bmatrix} \psi_L \\ \psi \\ \psi_R \end{Bmatrix} = \begin{bmatrix} H_L & \tau^+ & 0 \\ \tau & H & \tau \\ 0 & \tau^+ & H_R \end{bmatrix} \begin{Bmatrix} \psi_L \\ \psi \\ \psi_R \end{Bmatrix}$$

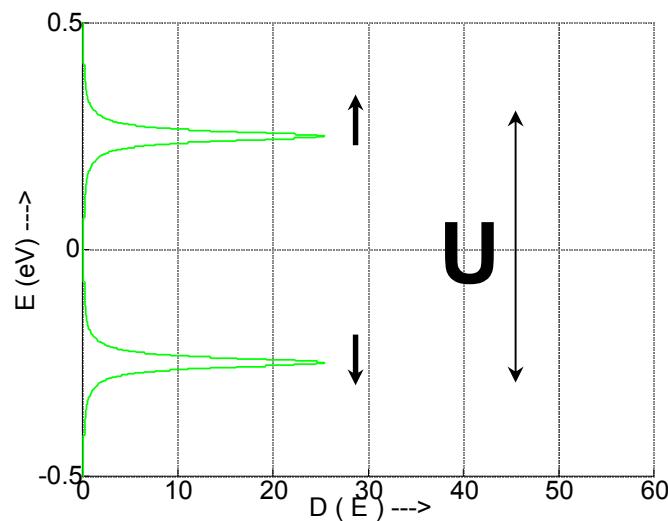




# Coulomb blockade ( $\Gamma \ll U$ )



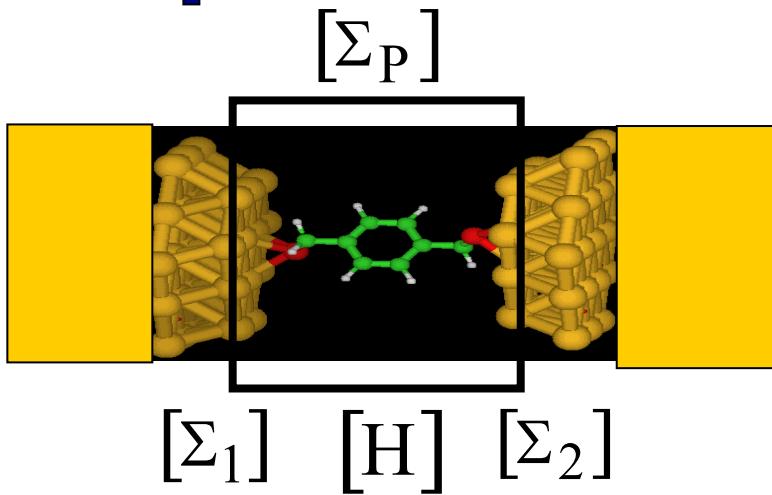
$\mu$



$$\Gamma \ll U \sim q^2 / 4\pi\epsilon R$$



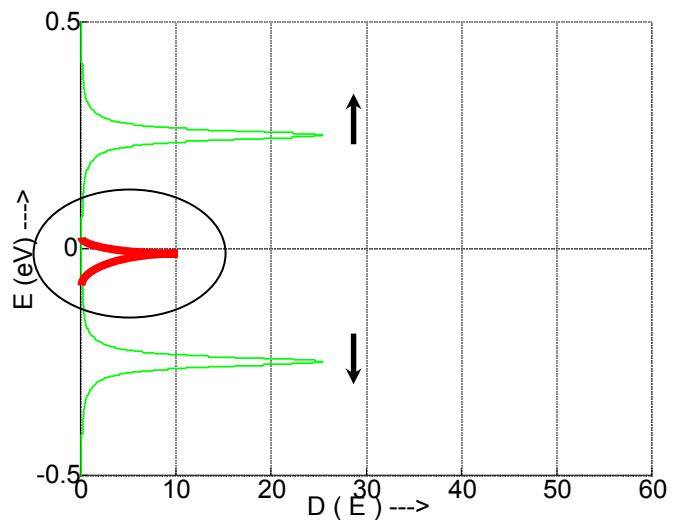
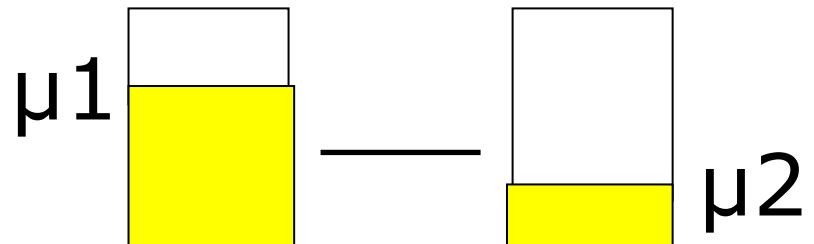
# Kondo Resonance ( $\Gamma \sim U$ )



$$i\hbar \frac{d}{dt} \psi - H\psi - \sum \psi = S$$

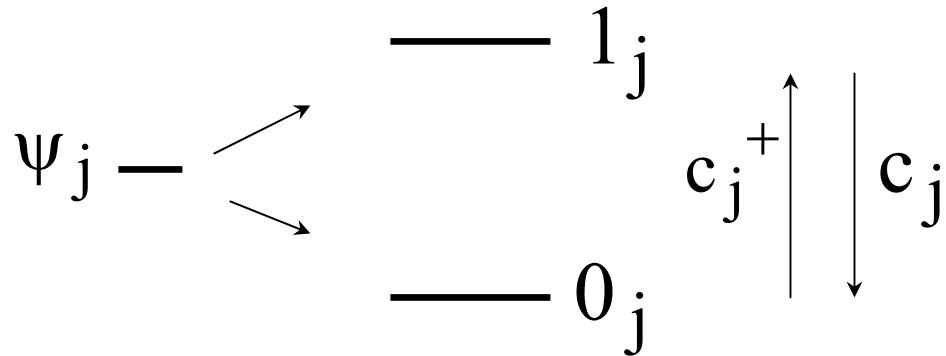
*In*  
*Out*

$\Sigma$ ,  $S$  depend on 'f'





# Second quantization

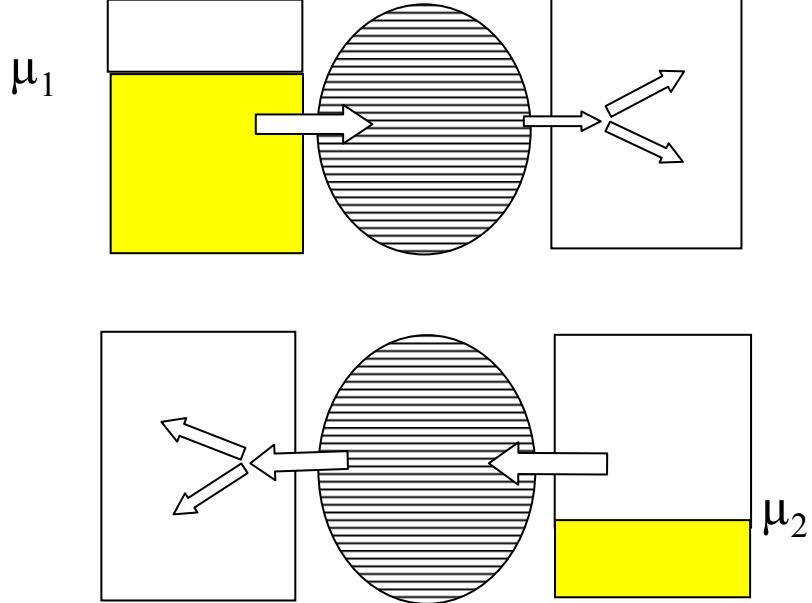


$$i\hbar \frac{d}{dt} \begin{Bmatrix} c_L \\ c \\ c_R \end{Bmatrix} = \begin{bmatrix} H_L & \tau^+ & 0 \\ \tau & H & \tau \\ 0 & \tau^+ & H_R \end{bmatrix} \begin{Bmatrix} c_L \\ c \\ c_R \end{Bmatrix}$$

$$i\hbar \frac{d}{dt} c - Hc - \Sigma c = S + U d^+ dc$$



# Pauli blocking?



$$\hbar \frac{d}{dt} N + (\gamma_1 + \gamma_2)N = \gamma_1 f_1 + \gamma_2 f_2$$

Out      In

$$i\hbar \frac{d}{dt} \psi - H\psi - (\Sigma_1 + \Sigma_2)\psi = S_1 + S_2$$

$$|1'\rangle = \exp[-iHt/\hbar]|1\rangle$$

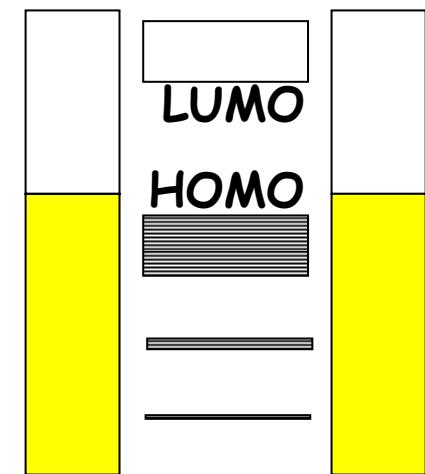
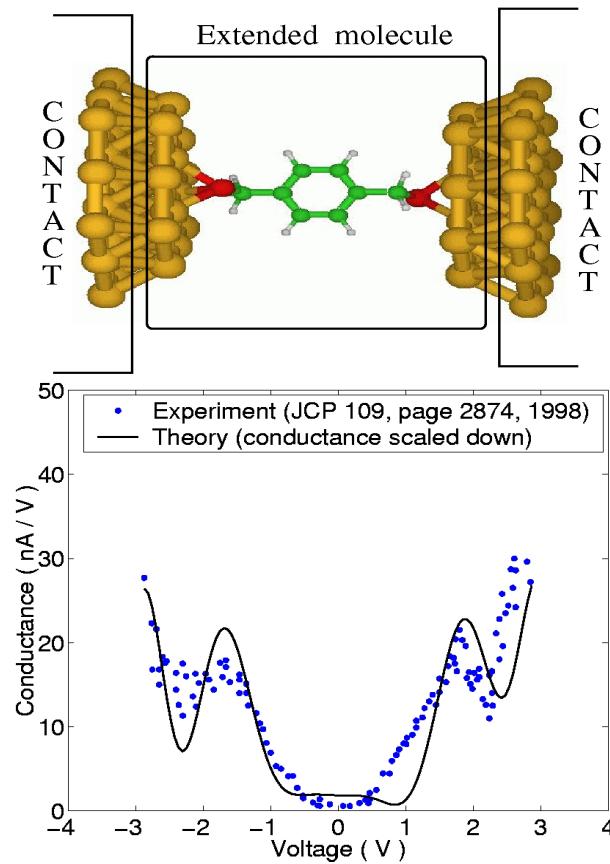
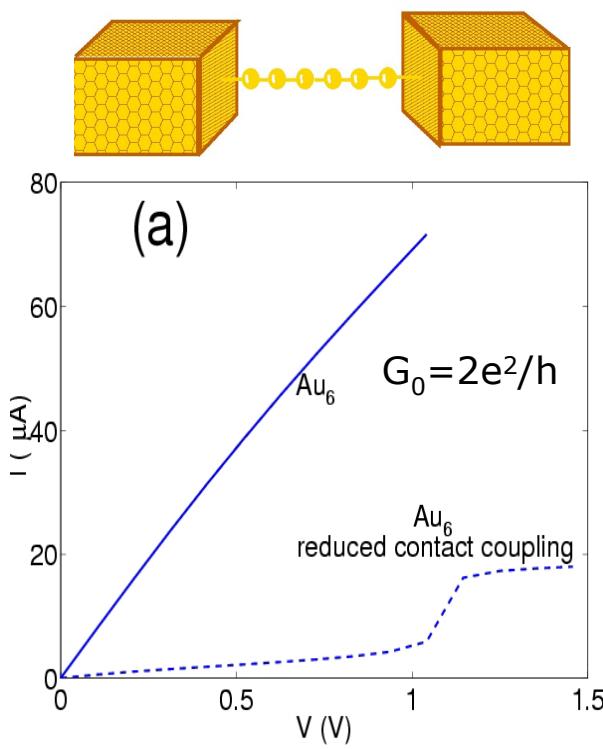
$$|2'\rangle = \exp[-iHt/\hbar]|2\rangle$$

$$\langle 1' | 2' \rangle = \langle 1 | 2 \rangle$$

**NOT applicable to  
phase-breaking processes**



# Experiment vs Theory

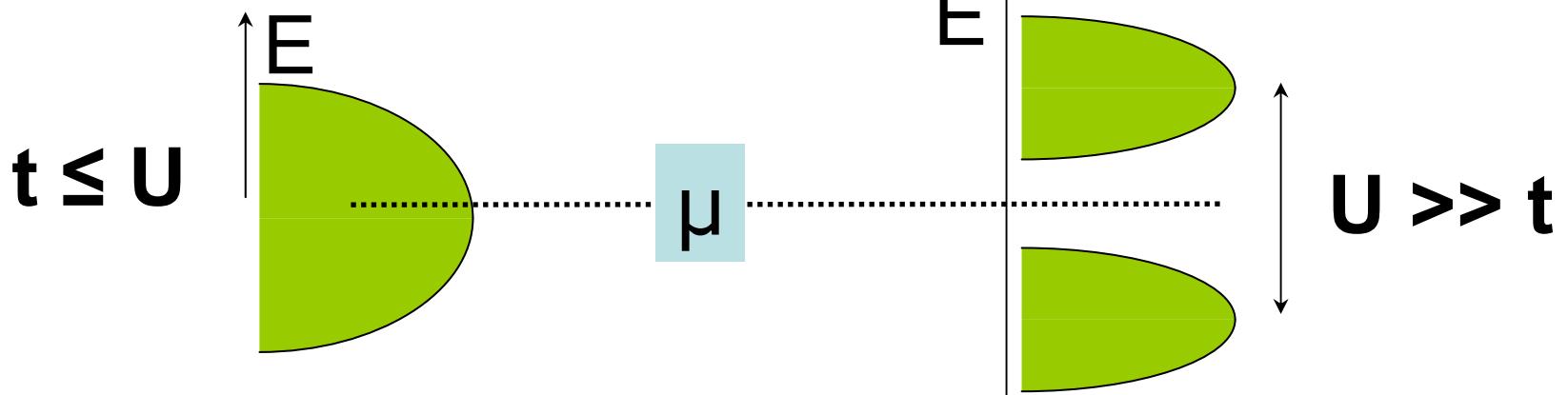
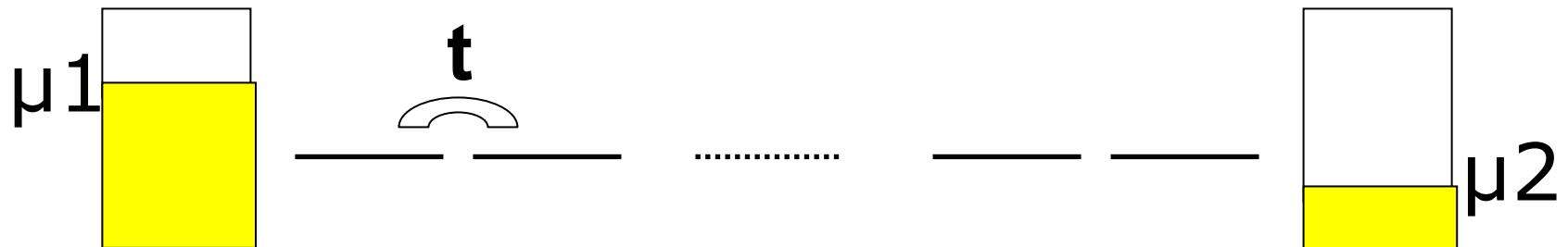


Expt:  
Reifenberger,  
Kubiak et.al.

Theory: Damle, Ghosh et.al.  
PRB 64, 201403 R (2001)

# Solid state <--> Molecular

$$t \leftrightarrow \Gamma$$



Band limit

**Solids**

Atomic limit

Scf method

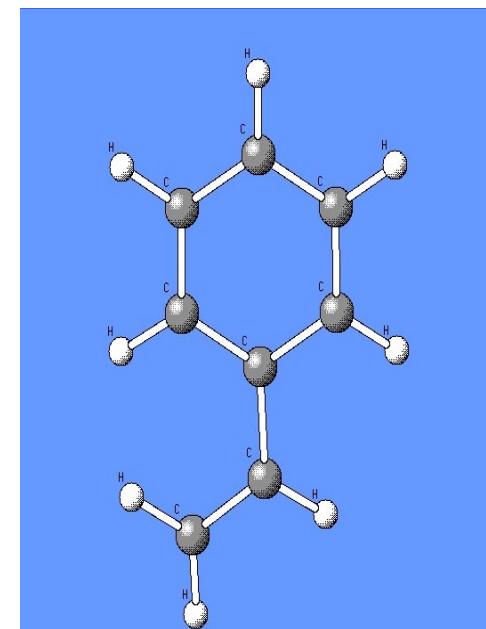
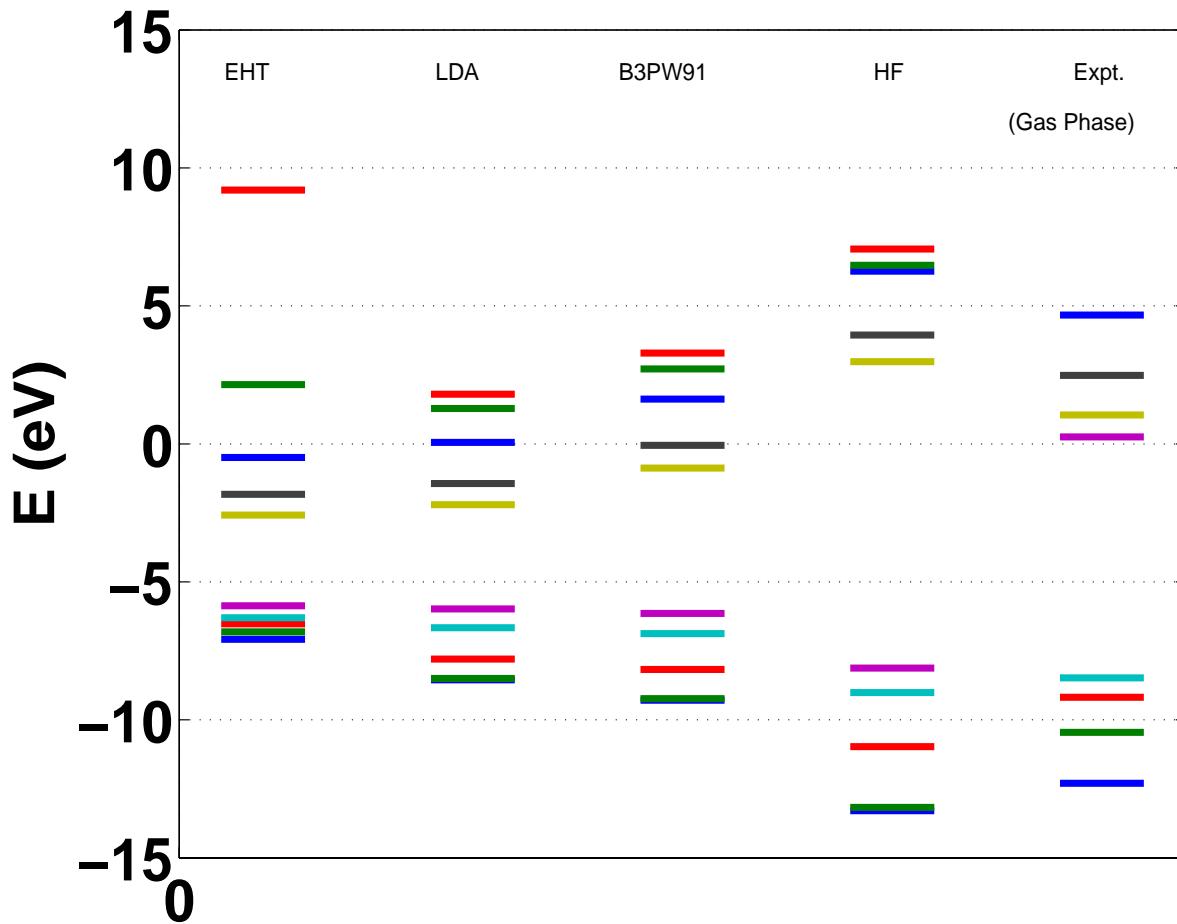
**Molecules**

Coulomb blockade



# Energy Levels: Styrene

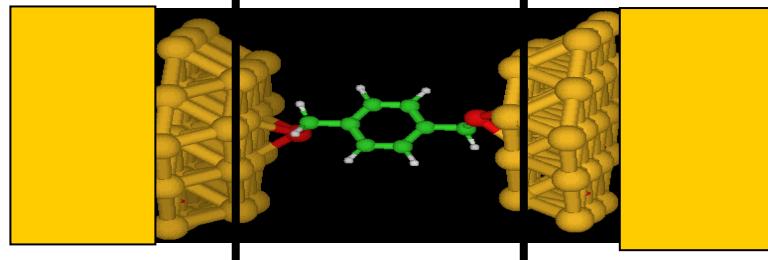
## Styrene Energy levels





# Real (?) Models

Surface Physics      Quantum Chemistry      Surface Physics



Closed System

$[\Sigma_1]$        $[H]$        $[\Sigma_2]$

Incoherent Scattering,  $[\Sigma_s]$

Open System,  
Out-of-equilibrium

