

# Opportunistic Spectrum Access in Cognitive Radio Networks

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# Outline

- 1 Introduction
- 2 System Model
- 3 Random Spectrum Access Schemes
- 4 Multiple Secondary Users and Multiple Bands
- 5 Conclusions and Extensions

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  - Limited and expensive resource (e.g. 4.7B for 22MHz)
- Wasteful spectrum usage:
  - More than 70% of the licensed spectrum is under-utilized
- Cognitive radio permits the opportunistic access of secondary users to primary users' bands

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- ...

QoS support for secondary users in a dynamically changing radio environment is very challenging!

# Previews of Non-intrusive Opportunistic Spectrum Access

Non-intrusiveness:

- SU access generates insignificant interruption to PU
- PUs do not help

Major problems addressed:

- Quantification of the interruption to the PU
- Interplay between the PU behavior and the SU performance
- PHY/MAC protocol design

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# Primary User Behavior

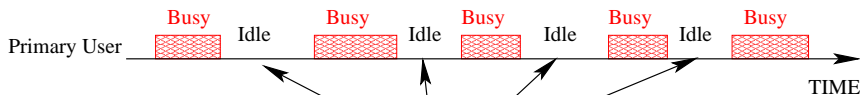
- Primary user behavior: alternating ON/OFF
- Transmits at will
- Exponential idle time distribution
- Un-slotted structure of busy-idle periods
- No synchronization between SU and PU

Average idle time:  $v_p$

Average busy time:  $l_p = n_p \cdot \Delta$

Average # of packets in a busy time:  $n_p$

Packet Length:  $\Delta$

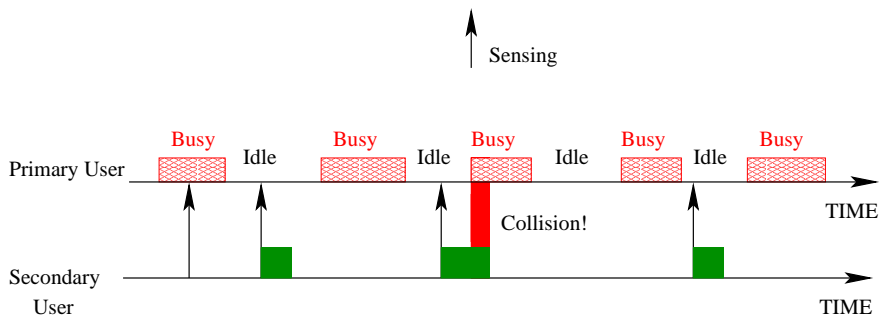


Idle percentage:  $\alpha = \frac{v_p}{v_p + l_p}$

Access Opportunities for Secondary User

# Secondary User Behavior

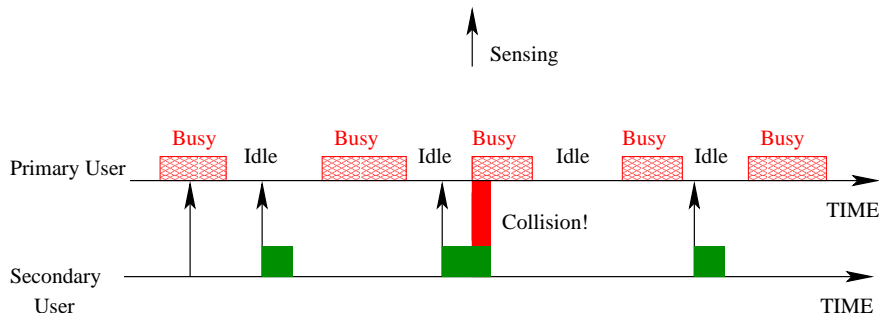
- Listen-before-talk spectrum access policy
- Spectrum sensor at SU: perfect sensing
- Knowledge about average idle/busy time
- Always has packets to send



# Protection Metrics for the PU

Packet collision probability:

$$p_p^c = \lim_{T \rightarrow \infty} \frac{\# \text{ of PU's collided packets in } [0, T]}{\# \text{ of packets sent by PU in } [0, T]}$$



# Performance Metric for the SU and Problem Formulation

Throughput: Percentage of successful transmission time

$$C_s = \lim_{T \rightarrow \infty} \frac{\text{Successful Xmission Time of SU in } [0, T]}{T}.$$

Constrained Optimization Problem:

maximize  $\{C_s\}$

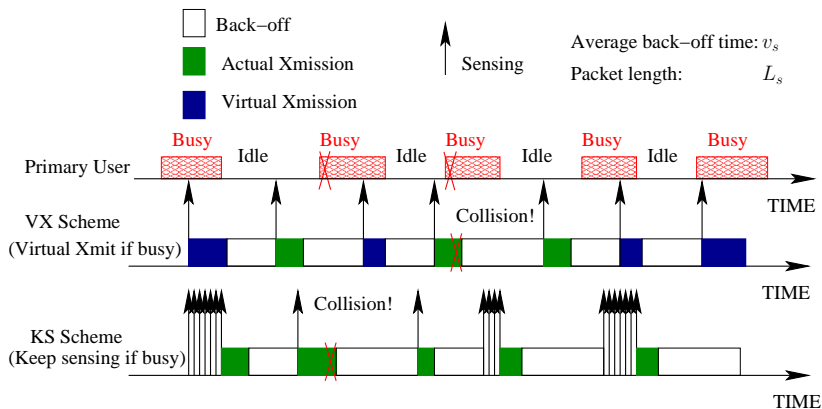
subject to

$$p_p^c \leq \eta$$

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# Spectrum Access Schemes: VX and KS



Design variables:  $v_s, f_{L_s}(\cdot)$ .

# Throughput Performance for VX Scheme

Closed-form expressions ( $n_p = 1$ ):

- General distribution:  $f_{L_s}(\cdot)$ :

$$C_s^{max} = \eta \cdot \alpha \cdot \frac{\int_0^\infty \frac{\tau}{v_p} e^{-\frac{\tau}{v_p}} f_{L_s}(\tau) d\tau}{\int_0^\infty (1 - e^{-\frac{\tau}{v_p}}) f_{L_s}(\tau) d\tau}.$$

- Fixed  $L_s$ :

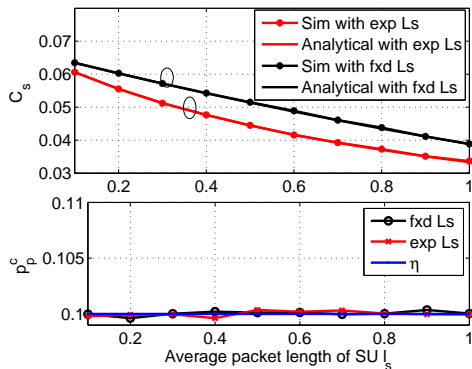
$$C_s^{max} = \eta \cdot \alpha \cdot \frac{l_s e^{-l_s/v_p}}{v_p (1 - e^{-l_s/v_p})}; \quad p_p^c = (1 - e^{-\frac{l_s}{v_p}}) \frac{v_p}{v_s + l_s}$$

- Exponential  $L_s$ :

$$C_s^{max} = \eta \cdot \alpha \cdot \frac{v_p}{l_s + v_p}; \quad p_p^c = \frac{v_p}{v_s + l_s} \cdot \frac{l_s}{l_s + v_p}$$

# Observations for VX scheme

- Smaller packet length: larger throughput
- Optimal packet length: overhead
- Fixed length packet: achieves better performance (actually the best)



$$v_p = 1, \Delta = 0.5, n_p = 1, \eta = 0.1$$

# Throughput Limit for VX scheme

## Proposition

For a primary band of which the idle time  $V_p$  obeys the exponential distribution, suppose that the probability of channel being idle is  $\alpha$ , under the collision probability constraint  $\eta$ , the achievable throughput of the SU with VX scheme is upper bounded:

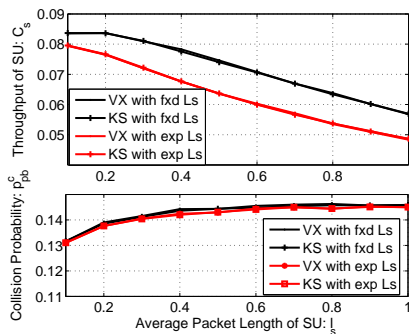
$$C_s \leq n_p \cdot \eta \cdot \alpha.$$

- $0 < n_p \eta < 1$
- $n_p \eta \rightarrow 0, C_s \rightarrow 0$
- Deterministic idle time:  $C_s \rightarrow \alpha$

# Comparison between VX and KS schemes

Parameters:  $v_p = 1$ ,  $\Delta = 0.5$ ,  $\alpha = 0.67$ ,  $n_p = 1$

VX and KS schemes: indistinguishable throughput performance.



Conjecture: throughput limit for exponential idle time:  $n_p \cdot \eta \cdot \alpha$ .

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# Assumptions

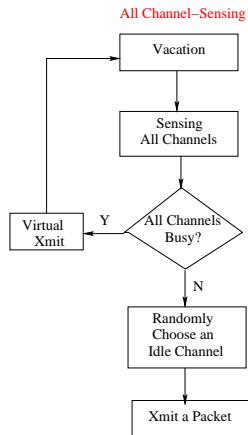
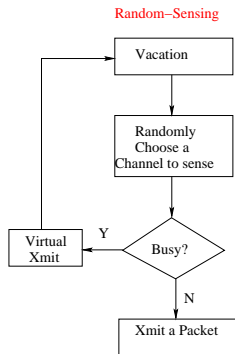
- No synchronization between SUs and PUs
- Homogeneous primary bands: same constraint, same channel statistics
- Perfect sensing: no collision among SUs
- Each SU uses VX scheme with the same parameters
- M SUs, N bands

# Result: Multiple SU, One Band

- Closed-form expression for relationship between  $p_p^c$  and  $M$ ,  $v_s$ , and  $l_s$  when  $n_p = 1$
- Closed-form expression for aggregated  $C_s$

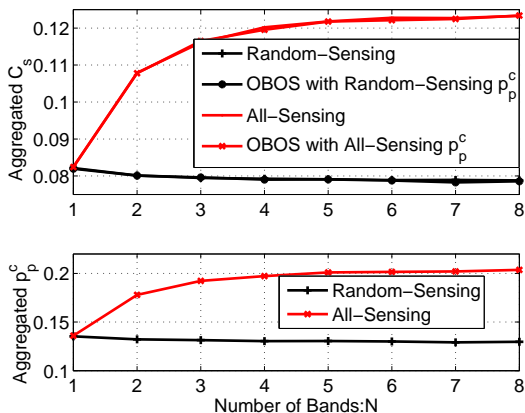
# Multiple SUs, Multiple Primary Bands

Two sensing strategies:



# Simulation Result for Multi-SU Multi-band Systems

- Simulation setting:  $M = 3N$
- Sensing all bands: does not improve the total throughput.



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# Conclusions

## Conclusions:

- Throughput: limited by the collision probability constraint,  $n_p$ , and idle percentage of primary band
- Protection constraint: trade-off between protection and throughput
- Similar characteristics in multi-band systems

## Extensions:

- Non-exponential idle time distribution
- Impacts of imperfect sensing

- Optimal transmission policy for generic idle time distribution: a threshold-based strategy
- Exponential idle time distribution: one of the worst
- Random access schemes: achieve  $n_p \cdot \eta \cdot \alpha$
- Imperfect sensing: degrades throughput performance
- Optimal operating point for spectrum sensor