

Stabilizing controller design with integral action¹

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Abstract

A parametrization of stabilizing controllers with type- m integral action is obtained in the standard linear time-invariant, multi-input multi-output unity-feedback system.

1 Introduction

We consider the design of stabilizing controllers with integral action in the standard linear time-invariant (LTI), multi-input multi-output (MIMO) unity-feedback system. We extend the parametrization of controllers with (type-1) integral action given in [1] to a similar simple parametrization for type- m integral action for any positive integer m . We also consider decoupling with integral action and parametrize controllers with integral action that also achieve diagonal input-output transfer-function matrices.

Due to the algebraic framework, the results apply to continuous-time and discrete-time systems; for discrete-time systems, all evaluations and poles at $s = 0$ would be interpreted at $z = 1$. Notation: Let \mathcal{U} be the extended closed right-half-plane (for continuous-time systems) or the complement of the open unit-disk (for discrete-time systems). The sets of real numbers, of rational functions, of proper and strictly-proper rational functions, of proper rational functions that have no poles in \mathcal{U} with real coefficients are denoted by \mathbb{R} , \mathcal{R} , \mathcal{R}_p , \mathcal{R}_s , \mathcal{R} , respectively. The set of matrices with entries in \mathcal{R} is denoted by $\mathcal{M}(\mathcal{R})$; $M \in \mathcal{M}(\mathcal{R})$ is called stable; $M \in \mathcal{M}(\mathcal{R})$ is called unimodular iff $M^{-1} \in \mathcal{M}(\mathcal{R})$. A right-coprime-factorization (RCF), a left-coprime-factorization (LCF) of $P \in \mathcal{M}(\mathcal{R}_p)$ are denoted by $P = ND^{-1} = \tilde{D}^{-1}\tilde{N}$; $N, D, \tilde{N}, \tilde{D} \in \mathcal{M}(\mathcal{R})$, D, \tilde{D} are biproper. Let $\text{rank } P = r$; $s_o \in \mathcal{U}$ is a zero (blocking-zero) of P iff $\text{rank } N(s_o) < r$ ($P(s_o) = 0 = N(s_o)$). For $M \in \mathcal{M}(\mathcal{R})$, the norm $\|\cdot\|$ is defined as $\|M\| = \sup_{s \in \partial\mathcal{U}} \bar{\sigma}(M(s))$; $\bar{\sigma}$ denotes the maximum singular value, $\partial\mathcal{U}$ denotes the boundary of \mathcal{U} .

2 Main Results

Consider the LTI, MIMO system $\mathcal{S}(P, C)$ in Fig. 1: $P \in \mathcal{R}_p^{n_y \times n_u}$ and $C \in \mathcal{R}_p^{n_u \times n_y}$ represent the transfer-functions of the plant and the controller. It is assumed that $\mathcal{S}(P, C)$ is a well-posed system, and P and C have no hidden modes corresponding to eigenvalues in \mathcal{U} . Let H_{yu} (H_{eu}) denote the input-output (input-error)

transfer-function from u to y (u to e , respectively). The system $\mathcal{S}(P, C)$ is said to be stable iff $H \in \mathcal{M}(\mathcal{R})$, where H is the transfer-function from (u, u_P) to (y, y_C) . The stable system $\mathcal{S}(P, C)$ is said to have integral action in each output channel iff $H_{eu}(s)$ has blocking-zeros at $s = 0$ [3]. If H_{eu} has (at least) m blocking-zeros at zero, i.e., $(s^{-(m-1)}H_{eu})(0) = 0$, then $\mathcal{S}(P, C)$ is said to have type- m integral action, where $m \geq 1$ is an integer. The controller C is said to be a stabilizing controller for P (or C is said to stabilize P) iff $C \in \mathcal{M}(\mathcal{R}_p)$ and $\mathcal{S}(P, C)$ is stable; C is said to be a stabilizing controller with integral action iff C stabilizes P and $D_C(s)$ has blocking-zeros at $s = 0$; C is said to be a stabilizing controller with type- m integral action iff C stabilizes P and $D_C(s)$ has (at least) m blocking-zeros at $s = 0$, where $C = N_C D_C^{-1}$ is any RCF of C , $D_C \in \mathcal{R}^{n_y \times n_y}$. Let $P = ND^{-1} = \tilde{D}^{-1}\tilde{N} \in \mathcal{R}_p^{n_y \times n_u}$ be any RCF, LCF. All stabilizing controllers for P are parametrized as $C = (\tilde{U} + DQ)(\tilde{V} - NQ)^{-1} = (V - Q\tilde{N})^{-1}(U + Q\tilde{D})$; $Q \in \mathcal{R}^{n_u \times n_y}$ satisfies $(\tilde{V} - NQ)$ biproper (holds for all $Q \in \mathcal{M}(\mathcal{R})$ when $P \in \mathcal{M}(\mathcal{R}_s)$); $U, V, \tilde{U}, \tilde{V} \in \mathcal{M}(\mathcal{R})$ such that $VD + UN = I_{n_u}$, $\tilde{D}\tilde{V} + \tilde{N}\tilde{U} = I_{n_y}$, $V\tilde{U} = U\tilde{V}$ [4]. For any stabilizing controller, $H_{eu} = (I_{n_y} + PC)^{-1} = I_{n_y} - N(U + Q\tilde{D}) = (\tilde{V} - NQ)\tilde{D}$. If $\mathcal{S}(P, C)$ is stable, $H_{eu}(0) = I_{n_y} - PC(I_{n_y} + PC)^{-1}(0) = I_{n_y} - N(0)(U + Q\tilde{D})(0) = 0$ only if $\text{rank } N(0) = n_y \leq n_u$. Therefore, a necessary condition for integral action in the stable $\mathcal{S}(P, C)$ is $\text{rank } P = n_y \leq n_u$ and P has no zeros at $s = 0$.

Since any RCF of a stabilizing C is $(N_C, D_C) = ((\tilde{U} + DQ)R, (\tilde{V} - NQ)R)$ for some unimodular $R \in \mathcal{M}(\mathcal{R})$, $D_C(0) = 0$ is equivalent to $(\tilde{V} - NQ)(0) = 0$. Therefore, if C is a stabilizing controller with integral action, then $H_{eu}(0) = (\tilde{V} - NQ)(0)\tilde{D}(0) = 0$; hence, the stable $\mathcal{S}(P, C)$ has integral action in each output channel based on the definitions above. Designing the stabilizing controllers so that $D_C(0) = 0$ is sufficient (but not necessary) for the stable $\mathcal{S}(P, C)$ to have integral action. When P has no poles at $s = 0$, in particular when $P \in \mathcal{M}(\mathcal{R})$, $H_{eu}(0) = 0$ if and only if $D_C(0) = 0$, i.e., the stable $\mathcal{S}(P, C)$ has integral action if and only if C is a stabilizing controller with integral action. If D_C has m blocking-zeros at zero, i.e., $(s^{-(m-1)}D_C)(0) = 0$, then H_{eu} has m blocking-zeros at zero; this is again sufficient for type- m integral action in the stable $\mathcal{S}(P, C)$.

2.1. Theorem: Let $P = ND^{-1} = \tilde{D}^{-1}\tilde{N} \in \mathcal{R}_p^{n_y \times n_u}$

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be any RCF, LCF; $\text{rank}N(0) = n_y \leq n_u$. Let $K_1 \in \mathbb{R}^{n_u \times n_y}$ stabilize $s^{-1}N$. Then C_m is a stabilizing controller with type- m integral action if and only if

$$C_m = (V - Q_m \tilde{N})^{-1} (U + Q_m \tilde{D} + \frac{K_1}{s} \sum_{\ell=0}^{m-1} (I_{n_y} + N \frac{K_1}{s})^\ell);$$

$Q_m \in \mathcal{R}^{n_u \times n_y}$ satisfies $(V - Q_m \tilde{N})$ is biproper (which holds for all $Q_m \in \mathcal{M}(\mathcal{R})$ when $P \in \mathcal{M}(\mathcal{R}_s)$). \square

In Theorem 2.1, $K_1 \in \mathbb{R}^{n_u \times n_y}$ is any constant controller that stabilizes $s^{-1}N$. Since $\text{rank}N(0) = n_y$ by assumption, constant controllers that stabilize $s^{-1}N$ exist. Let $N(0)^I \in \mathbb{R}^{n_u \times n_y}$ denote any right-inverse of $N(0) \in \mathbb{R}^{n_u \times n_y}$; K_1 can be chosen as $K_1 = \alpha N(0)^I$ for any $\alpha \in \mathbb{R}$ such that $0 < \alpha < \|s^{-1}(NN(0)^I - I_{n_y})\|^{-1}$. When C_m is a stabilizing controller with type- m integral action as in Theorem 2.1, the corresponding achievable (input-output) transfer-function of the stable $\mathcal{S}(P, C)$ is $H_{yu} = PC(I_{n_y} + PC)^{-1} = I_{n_y} - (I_{n_y} + s^{-1}NK_1 \sum_{\ell=0}^{m-1} (I_{n_y} + s^{-1}NK_1)^\ell)^{-1} (\tilde{V} - NQ_m) \tilde{D}$, and $H_{eu} = I_{n_y} - H_{yu} = (I_{n_y} + s^{-1}NK_1 \sum_{\ell=0}^{m-1} (I_{n_y} + s^{-1}NK_1)^\ell)^{-1} (\tilde{V} - NQ_m) \tilde{D}$. The parametrization in Theorem 2.1 is simplified for stable plants: Let $P \in \mathcal{R}^{n_y \times n_u}$; $\text{rank}P(0) = n_y \leq n_u$. Let $K_1 \in \mathbb{R}^{n_u \times n_y}$ be any constant controller that stabilizes $s^{-1}P$. Then C_m is a stabilizing controller with type- m integral action if and only if $C_m = (I_{n_u} - Q_m P)^{-1} (Q_m + s^{-1}K_1 \sum_{\ell=0}^{m-1} (I_{n_y} + s^{-1}PK_1)^\ell)$ for some $Q_m \in \mathcal{R}^{n_u \times n_y}$ such that $(I_{n_u} - Q_m P)$ is biproper (holds for all $Q_m \in \mathcal{M}(\mathcal{R})$ when $P \in \mathcal{M}(\mathcal{R}_s)$). The corresponding achievable $H_{yu} = I_{n_y} - (I_{n_y} + s^{-1}PK_1 \sum_{\ell=0}^{m-1} (I_{n_y} + s^{-1}PK_1)^\ell)^{-1} (I_{n_y} - PQ_m)$.

By Theorem 2.1, any stabilizing controller with type- m integral action is obtained by adding 'integral terms' to any stabilizing controller. As an example, Fig. 2 shows the block-diagram of C_2 with type-2 integral action.

We now parametrize stabilizing controllers with type- m integral action such that the (input-output) transfer-function H_{yu} of the stable system $\mathcal{S}(P, C)$ is diagonal and nonsingular. We assume that P has no zeros at zero since this is a necessary condition for integral action; a necessary condition to achieve decoupling is that $\text{rank}P = n_y$. A sufficient condition to achieve decoupling is that the full row-rank P has no pole-zero coincidences in \mathcal{U} . Here we assume that there exist stabilizing controllers that achieve decoupling for the given plant (see [2] for necessary and sufficient conditions to achieve decoupling). Let $P = ND^{-1} = \tilde{D}^{-1}\tilde{N} \in \mathcal{R}_p^{n_y \times n_u}$ be any RCF, LCF; $\text{rank}P = n_y \leq n_u$, $\text{rank}N(0) = n_y$. Let $C_d = \tilde{U}_d \tilde{V}_d^{-1} = V_d^{-1}U_d$ be any stabilizing controller such that H_{yu} is diagonal and nonsingular; $V_d D + U_d N = I_{n_u}$, $\tilde{D} \tilde{V}_d + \tilde{N} \tilde{U}_d = I_{n_y}$, $V_d \tilde{U}_d = U_d \tilde{V}_d$. Let $\delta_{Lj} \in \mathcal{R}$ be any greatest-common-divisor of all entries in the j -th row of $N \in \mathcal{R}^{n_y \times n_u}$, $j = 1, \dots, n_y$; define $\Delta_L := \text{diag}[\delta_{L1} \dots \delta_{Ln_y}]$, $\Delta_L \tilde{N} := N$. Let $\hat{N}^I \in \mathbb{R}^{n_u \times n_y}$ denote any right-inverse of \hat{N} . Write the

ij -th entry of \hat{N}^I as $a_{ij} b_{ij}^{-1}$, $i = 1, \dots, n_u$, $j = 1, \dots, n_y$ ($a_{ij}, b_{ij} \in \mathcal{R}$, $b_{ij} \neq 0$, (a_{ij}, b_{ij}) coprime). Let $\delta_{Rj} \in \mathcal{R}$ be any least-common-multiple of $(b_{1j}, \dots, b_{n_y j})$ in the j -th column of \hat{N}^I ; define $\Delta_R := \text{diag}[\delta_{R1} \dots \delta_{Rn_y}]$. Let $\phi_{Rj} \in \mathcal{R}$ be any greatest-common-divisor of all entries in the j -th column of \tilde{D} , $j = 1, \dots, n_y$; define $\Phi_R := \text{diag}[\phi_{R1} \dots \phi_{Rn_y}]$, $\hat{D}\Phi_R := \tilde{D}$. Write the ij -th entry of $\hat{D}^{-1} \in \mathbb{R}^{n_y \times n_y}$, $i, j = 1, \dots, n_y$, as $c_{ij} d_{ij}^{-1}$ ($c_{ij}, d_{ij} \in \mathcal{R}$, $d_{ij} \neq 0$, (c_{ij}, d_{ij}) coprime). Let $\phi_{Lj} \in \mathcal{R}$ be any least-common-multiple of $(d_{1j}, \dots, d_{n_y j})$ in the j -th row of \hat{D}^{-1} ; define $\Phi_L := \text{diag}[\phi_{L1} \dots \phi_{Ln_y}]$. Let $K_d \in \mathbb{R}^{n_y \times n_y}$ be any diagonal constant controller that stabilizes $s^{-1}\Delta_L \Delta_R$ (note $\text{rank}N(0) = n_y$ implies $\text{rank}(\Delta_L \Delta_R)(0) = n_y$). Then C_m is a stabilizing controller with type- m integral action with H_{yu} diagonal and nonsingular if and only if $C_m = (V_d - Q_m \tilde{N})^{-1} (U_d + Q_m \tilde{D} + s^{-1} \hat{N}^I \Delta_R K_d \sum_{\ell=0}^{m-1} (I_{n_y} + s^{-1} \Delta_L \Delta_R K_d)^\ell)$; $Q_m = \hat{N}^I \Delta_R Q_d \Phi_L \hat{D}^{-1}$, $Q_d \in \mathcal{R}^{n_y \times n_y}$ diagonal, nonsingular, $Q_d(\infty) \neq (\tilde{V}_d \tilde{D} (\Delta_L \Delta_R \Phi_L \Phi_R)^{-1})(\infty)$. The corresponding achievable $H_{yu} = I_{n_y} - (I_{n_y} + s^{-1} \Delta_L \Delta_R K_d \sum_{\ell=0}^{m-1} (I_{n_y} + s^{-1} \Delta_L \Delta_R K_d)^\ell)^{-1} (\tilde{V}_d \tilde{D} - \Delta_L \Delta_R Q_d \Phi_L \Phi_R)$ is diagonal.

References

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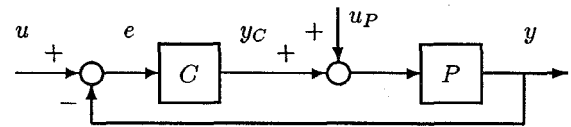


Figure 1: The system $\mathcal{S}(P, C)$

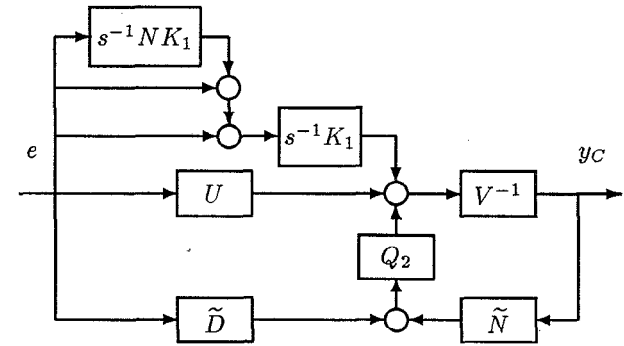


Figure 2: Any stabilizing controller C_2 with type-2 integral action