

## Reliable Control Using Two Controllers

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### Abstract

We consider the reliable stabilization of linear, time-invariant, multi-input multi-output control systems using a two-controller configuration. For any given plant, we develop a method of designing two controllers which maintain closed-loop stability both when working together and when acting independently. For stable plants, we develop a decomposition method of a given stabilizing controller into the sum of two controllers which provide reliable stabilization.

### 1. Introduction

A linear, time-invariant, multi-input, multi-output plant can be reliably stabilized in the configuration of the system  $\mathcal{S}(P, C_1, C_2)$  (Figure 2) if and only if it is strongly stabilizable (i.e., it can be stabilized using a stable controller) in the standard unity-feedback configuration of the system  $\mathcal{S}(P, C)$  (Figure 1) [1], [4]. In this paper we develop a method of finding two controllers that achieve reliable stabilization, where neither of the controllers is necessarily stable. We also develop a reliable decomposition method of a given stabilizing controller into the sum of two controllers.

We assume that the plant is free of unstable hidden-modes. The results apply to continuous-time as well as discrete-time systems.

### 2. Preliminaries

**Notation:** Let  $\mathcal{U}$  be a subset of the field  $\mathbb{C}$  of complex numbers;  $\mathcal{U}$  is closed and symmetric about the real axis,  $\pm\infty \in \mathcal{U}$ ,  $\mathbb{C} \setminus \mathcal{U}$  is nonempty. Let  $\mathcal{R}_U$ ,  $\mathbb{R}_p(s)$ ,  $\mathbb{R}_{sp}(s)$ ,  $\mathbb{R}(s)$  be the ring of proper rational functions with no poles in  $\mathcal{U}$ , the ring of proper rational functions, the set of strictly proper rational functions and the field of rational functions of  $s$  (with real coefficients), respectively. Let  $\mathcal{J}$  be the group of units of  $\mathcal{R}_U$  and let  $\mathcal{I} := \mathcal{R}_U \setminus \mathbb{R}_{sp}(s)$ . The set of matrices whose entries are in  $\mathcal{R}_U$  is  $\mathcal{M}(\mathcal{R}_U)$ . A matrix  $M$  is called  $\mathcal{R}_U$ -stable iff  $M \in \mathcal{M}(\mathcal{R}_U)$ ;  $M \in \mathcal{M}(\mathcal{R}_U)$  is  $\mathcal{R}_U$ -unimodular iff  $\det M \in \mathcal{J}$ . The identity matrix of size  $n$  is denoted  $I_n$ . The norm of a matrix  $M \in \mathcal{M}(\mathcal{R}_U)$  is defined as  $\|M\| := \sup_{\omega} \bar{\sigma}(M(j\omega))$ . Let  $(N_P, D_P)$  denote a right-coprime-factorization (RCF) and  $(\tilde{D}_P, \tilde{N}_P)$  denote a left-coprime-factorization (LCF) of  $P$ , where  $P =$

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$$N_P D_P^{-1} = \tilde{D}_P^{-1} \tilde{N}_P, \quad N_P, D_P, \tilde{N}_P, \tilde{D}_P \in \mathcal{M}(\mathcal{R}_U), \det D_P, \det \tilde{D}_P \in \mathcal{I}. \quad \square$$

Consider the system  $\mathcal{S}(P, C)$  where  $P \in \mathbb{R}_p(s)^{n_o \times n_i}$  and  $C \in \mathbb{R}_p(s)^{n_i \times n_o}$ . The system  $\mathcal{S}(P, C)$  is said to be  $\mathcal{R}_U$ -stable iff the transfer function  $H_{yu}(P, C) : [u_C^T \ u_P^T]^T \mapsto [y_C^T \ y_P^T]^T \in \mathcal{M}(\mathcal{R}_U)$ . The controller  $C$  is said to be an  $\mathcal{R}_U$ -stabilizing controller for  $P$  iff  $C$  is proper and  $H_{yu}(P, C) \in \mathcal{M}(\mathcal{R}_U)$ .  $C$  is an  $\mathcal{R}_U$ -stabilizing controller for  $P$  if and only if  $\tilde{D}_C D_P + \tilde{N}_C N_P$  is  $\mathcal{R}_U$ -unimodular, equivalently,  $\tilde{D}_P D_C + \tilde{N}_P N_C$  is  $\mathcal{R}_U$ -unimodular for any LCF  $(\tilde{D}_C, \tilde{N}_C)$  and any RCF  $(N_C, D_C)$  of  $C$ .

Now consider the system  $\mathcal{S}(P, C_1, C_2)$ ; this system is said to be  $\mathcal{R}_U$ -stable iff the transfer function  $H_{yu}(P, C_1, C_2) : [u_{C_1}^T \ u_{C_2}^T \ u_P^T]^T \mapsto [y_{C_1}^T \ y_{C_2}^T \ y_P^T]^T \in \mathcal{M}(\mathcal{R}_U)$ .

**2.1 Lemma:** Let  $(N_P, D_P)$ ,  $(\tilde{D}_P, \tilde{N}_P)$  be any RCF and any LCF of  $P$ ; let  $(N_{C_j}, D_{C_j})$  be any RCF and  $(\tilde{D}_{C_j}, \tilde{N}_{C_j})$  be any LCF of  $C_j$ ,  $j = 1, 2$ . Then the following are equivalent:

- i) The system  $\mathcal{S}(P, C_1, C_2)$  is  $\mathcal{R}_U$ -stable.
- ii)  $\begin{bmatrix} \tilde{D}_{C_1} D_P + \tilde{N}_{C_1} N_P & -\tilde{D}_{C_1} \\ \tilde{N}_{C_2} N_P & \tilde{D}_{C_2} \end{bmatrix}$  is  $\mathcal{R}_U$ -unimodular.
- iii)  $\begin{bmatrix} \tilde{D}_P D_{C_1} + \tilde{N}_P N_{C_1} & \tilde{N}_P N_{C_2} \\ -D_{C_1} & D_{C_2} \end{bmatrix}$  is  $\mathcal{R}_U$ -unimodular.

**2.2 Corollary: a)** If  $\mathcal{S}(P, C_1, C_2)$  is  $\mathcal{R}_U$ -stable, then  $(\tilde{D}_{C_1}, \tilde{D}_{C_2})$  is right-coprime and  $(D_{C_1}, D_{C_2})$  is left-coprime, where  $(N_{C_j}, D_{C_j})$  is any RCF and  $(\tilde{D}_{C_j}, \tilde{N}_{C_j})$  is any LCF of  $C_j$ ,  $j = 1, 2$ .

**b)** Let  $(\tilde{D}_{C_1}, \tilde{D}_{C_2})$  be right-coprime and  $(D_{C_1}, D_{C_2})$  be left-coprime, where  $(N_{C_j}, D_{C_j})$  is any RCF and  $(\tilde{D}_{C_j}, \tilde{N}_{C_j})$  is any LCF of  $C_j$ ,  $j = 1, 2$ . Then

- i)  $C := C_1 + C_2 = \tilde{D}_{C_1}^{-1}(\tilde{N}_{C_1} D_{C_2} + \tilde{D}_{C_1} N_{C_2}) D_{C_2}^{-1}$ , where  $(\tilde{D}_{C_1}, \tilde{N}_{C_1} D_{C_2} + \tilde{D}_{C_1} N_{C_2})$  is left-coprime and  $(\tilde{N}_{C_1} D_{C_2} + \tilde{D}_{C_1} N_{C_2}, D_{C_2})$  is right-coprime.
- ii)  $\mathcal{S}(P, C_1, C_2)$  is  $\mathcal{R}_U$ -stable if and only if  $C := C_1 + C_2$  is an  $\mathcal{R}_U$ -stabilizing controller for  $P$ .

### 3. Main Results

From Lemma 2.1,  $\mathcal{S}(P, C_1, C_2)$  is  $\mathcal{R}_U$ -stable with  $C_1 = 0$  if and only if  $C_2$  is an  $\mathcal{R}_U$ -stabilizing controller for  $P$ ; similarly, it is  $\mathcal{R}_U$ -stable with  $C_2 = 0$  if and only if  $C_1$  is an  $\mathcal{R}_U$ -stabilizing controller for  $P$ .

In Algorithm 3.4, we develop a design method such that  $\mathcal{S}(P, C_1, C_2)$  is  $\mathcal{R}_U$ -stable when  $C_1$  and  $C_2$  work together and when one of them is zero. In Algorithm 3.5, we show a reliable decomposition of a given  $\mathcal{R}_U$ -stabilizing controller  $C$  for  $\mathcal{R}_U$ -stable plants; i.e., for  $P \in \mathcal{R}_U^{n_o \times n_i}$ , and a given  $\mathcal{R}_U$ -stabilizing controller  $C \in \mathbb{R}_p(s)^{n_i \times n_o}$ , we find two controllers  $C_1$  and  $C_2$  such that  $C = C_1 + C_2$ ,  $C_1$   $\mathcal{R}_U$ -stabilizes  $P$ ,  $C_2$   $\mathcal{R}_U$ -stabilizes  $P$  and  $\mathcal{S}(P, C_1, C_2)$  is  $\mathcal{R}_U$ -stable. Note that  $H_{yu}(P, C_1, C_2) \in \mathcal{M}(\mathcal{R}_U)$  implies that  $C = C_1 + C_2$   $\mathcal{R}_U$ -stabilizes  $P$  but the converse is not necessarily true.

**3.1 Definitions:** a) The pair  $(C_1, C_2)$  is said to be a *reliable controller pair* for  $P$  iff (i)  $C_1$  is an  $\mathcal{R}_U$ -stabilizing controller for  $P$ , (ii)  $C_2$  is an  $\mathcal{R}_U$ -stabilizing controller for  $P$  and (iii)  $\mathcal{S}(P, C_1, C_2)$  is  $\mathcal{R}_U$ -stable.

b) The pair  $(C_1, C_2)$  is said to be a *reliable decomposition* of  $C$  iff (i)  $C_1 + C_2 = C$  and (ii)  $(C_1, C_2)$  is a reliable controller pair for  $P$ .

**3.2 Lemma:** [1] There exists a reliable controller pair  $(C_1, C_2)$  for  $P$  if and only if there exists an  $\mathcal{R}_U$ -stabilizing controller for  $P$  which is  $\mathcal{R}_U$ -stable (i.e.,  $P$  is strongly  $\mathcal{R}_U$ -stabilizable).

**3.3 Corollary:** a) If  $P$  is  $\mathcal{R}_U$ -stable, then  $(C_1, C_2)$  is a reliable controller pair for  $P$  if and only if for  $j = 1, 2$ ,  $C_j = (I_{n_i} - Q_j P)^{-1} Q_j$ , where  $Q_j \in \mathcal{R}_U^{n_i \times n_o}$  is such that  $(I_{n_i} - Q_j P)$  is  $\mathcal{R}_U$ -unimodular; additionally,  $Q_j$  must satisfy  $\det(I_{n_i} - Q_j P) \in \mathcal{I}$  (which automatically holds for all  $Q_j \in \mathcal{M}(\mathcal{R}_U)$  when  $P$  is strictly proper).

b) If the pair  $(C_1, C_2)$  is a reliable controller pair for  $P$ , then  $C_2 P (I_{n_i} + C_1 P + C_2 P)^{-1} C_1$  is a strongly  $\mathcal{R}_U$ -stabilizing controller for  $P$ . Conversely, if  $C_1$  and  $C_2$  are two  $\mathcal{R}_U$ -stabilizing controllers such that  $C_2 P (I_{n_i} + C_1 P + C_2 P)^{-1} C_1$  is a strongly  $\mathcal{R}_U$ -stabilizing controller for  $P$ , then the pair  $(C_1, C_2)$  is a reliable controller pair.

**3.4 Algorithm (Reliable controller pair design):** Let  $P \in \mathbb{R}_p(s)^{n_o \times n_i}$  be a given strongly  $\mathcal{R}_U$ -stabilizable plant. Let  $(N_P, D_P)$  and  $(\tilde{D}_P, \tilde{N}_P)$  be any RCF and LCF of  $P$ .

**Method 1:** *Step 1:* Find an  $\mathcal{R}_U$ -stabilizing controller  $C_S \in \mathcal{M}(\mathcal{R}_U)$  for  $P$ . Let  $(\tilde{D}_C, \tilde{N}_C)$  be an LCF and  $(N_C, D_C)$  be an RCF of  $C_S$  such that  $\tilde{D}_C D_P + \tilde{N}_C N_P = I_{n_i}$  and  $\tilde{D}_P D_C + \tilde{N}_P N_C = I_{n_o}$ . *Step 2:* Find  $Q_2 \in \mathcal{M}(\mathcal{R}_U)$  so that  $(I_{n_i} - \tilde{N}_C N_P + Q_2 N_P \tilde{N}_C N_P)$  is  $\mathcal{R}_U$ -unimodular and  $\det(I_{n_i} - Q_2 N_P) \in \mathcal{I}$ . *Step 3:* A reliable controller pair  $(C_1, C_2)$  is given by  $C_1 := C_S$  and  $C_2 := \tilde{D}_C^{-1} (I_{n_i} - Q_2 N_P)^{-1} Q_2$ .

**Method 2:** Repeat steps 1 and 2 above. *Step 3:* Find  $Q_1 \in \mathcal{M}(\mathcal{R}_U)$  such that  $(I_{n_i} - Q_1 \tilde{N}_P \tilde{D}_C^{-1} Q_2 N_P)$  is  $\mathcal{R}_U$ -unimodular and  $\det(I_{n_i} - Q_1 \tilde{N}_P \tilde{D}_C^{-1} N_P) \in \mathcal{I}$ . *Step 4:* A reliable controller pair  $(C_1, C_2)$  is given by  $C_1 := \tilde{D}_C^{-1} (I_{n_i} - Q_1 \tilde{N}_P \tilde{D}_C^{-1})^{-1} (\tilde{N}_C + Q_1 \tilde{D}_P)$ ,  $C_2$

$$:= \tilde{D}_C^{-1} (I_{n_i} - Q_2 N_P)^{-1} Q_2. \quad \square$$

One of the two controllers in Method 1 of Algorithm 3.4 is always  $\mathcal{R}_U$ -stable; the second controller is also  $\mathcal{R}_U$ -stable if and only if  $Q_2$  is such that  $(I_{n_i} - Q_2 N_P)$  is  $\mathcal{R}_U$ -unimodular. The two controllers in Method 2 may or may not be  $\mathcal{R}_U$ -stable.

**3.5 Algorithm (Reliable decomposition):** Let  $P \in \mathcal{R}_U^{n_o \times n_i}$ . Let  $C \in \mathbb{R}_p(s)^{n_i \times n_o}$  be any given  $\mathcal{R}_U$ -stabilizing controller for  $P$ ; let  $(\tilde{D}_C, \tilde{N}_C)$  and  $(N_C, D_C)$  be any LCF and RCF of  $C$ . *Step 1:* Find any  $\hat{Q} \in \mathcal{R}_U^{n_i \times n_o}$  such that  $(I_{n_i} - \hat{Q} P)$  is  $\mathcal{R}_U$ -unimodular. *Step 2:* Define  $\alpha := \|\hat{Q} P\|$ ,  $\beta := \|\hat{Q} D_C P\|$ . Choose any  $k > \alpha + \beta$ . *Step 3:* Define  $Q_1 := \hat{Q}/k$ . A reliable controller pair  $(C_1, C_2)$  is given by  $C_1 := (I_{n_i} - Q_1 P) Q_1$ ,  $C_2 := C - C_1 = C - (I_{n_i} - Q_1 P)^{-1} Q_1$ .  $\square$

The controller  $C_1$  in the reliable decomposition of Algorithm 3.5 is chosen  $\mathcal{R}_U$ -stable. A sufficient condition to make  $(I_{n_i} - \hat{Q} P)$   $\mathcal{R}_U$ -unimodular is to choose  $\hat{Q}$  so that  $\|\hat{Q}\| < 1/\|P\|$ . The controller  $C_2$  is  $\mathcal{R}_U$ -stable if and only if the given controller  $C$  is.

## References

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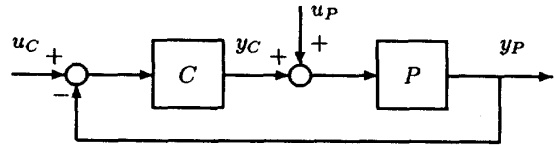


Figure 1: The system  $\mathcal{S}(P, C)$

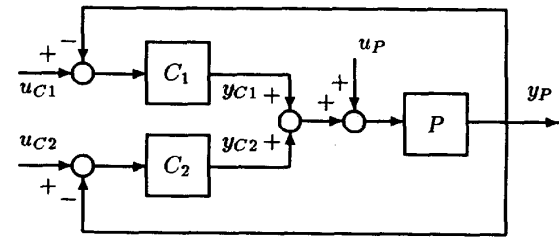


Figure 2: The system  $\mathcal{S}(P, C_1, C_2)$