

Charles A. Desoer and A. Nazli Gündes

Department of Electrical Engineering and Computer Sciences
and the Electronics Research Laboratory
University of California, Berkeley CA 94720

ABSTRACT

A unified view of recent results in the algebraic theory of linear, time-invariant multiinput-multioutput control systems is presented, with emphasis on the unity-feedback system (one-degree-of-freedom design) and the more general two-input two-output plant and compensator configuration (four-degrees-of-freedom design). The issues of stability, parametrization of all stabilizing compensators, achievable input-output maps and decoupling are discussed.

I. INTRODUCTION

This is a review paper presenting the algebraic theory of two linear, time-invariant (LTI), multiinput-multioutput (MIMO) control systems: the classical unity-feedback system $S(P, C)$ and the more general system configuration $\Sigma(\hat{P}, \hat{C})$. Due to the general algebraic setting, the results apply to lumped as well as distributed, continuous-time as well as discrete-time systems.

The unity-feedback configuration $S(P, C)$ is studied in Section III. The system $S(P, C)$ is called H -stable if and only if all closed-loop input-output (I/O) maps are H -stable. The H -stability condition for $S(P, C)$ is stated in Theorem 3.4 in terms of coprime factorizations of P and C . The class of all compensators that H -stabilize the plant P is parametrized in Theorem 3.7; compensator design using the configuration $S(P, C)$ is called one-degree-of-freedom design due to the single free parameter matrix Q of the H -stabilizing compensator [Hor.1]. Although a right-coprime or a left-coprime factorization of the plant are commonly used in obtaining this parametrization, it is also possible to start with a bicoprime factorization and reduce $N_p D^{-1} N_p$ to $N_p D_p^{-1}$ or to $\tilde{D}_p^{-1} \tilde{N}_p$. The class of all achievable maps for $S(P, C)$ is obtained by using the class of all stabilizing compensators; all closed-loop I/O maps in the H -stabilized $S(P, C)$ are affine maps in Q .

The system configuration $\Sigma(\hat{P}, \hat{C})$ shown in Figure 3 represents the most general interconnection of two physical systems, a plant \hat{P} and a compensator \hat{C} . This system is studied in Section IV; the plant and the compensator each have two (vector-)inputs and two (vector-)outputs. The measured output y of \hat{P} is used in feedback, but the output z is the actual output of the plant (the output in the performance specifications); the point is that z and y are not the same. The input v is considered as a disturbance, noise or an external command applied directly to the plant. The compensator output y' , which is utilized by the plant in feedback, can be considered as the ideal actuator inputs; the output z' of \hat{C} can be used for performance monitoring or fault diagnosis. The input v' of \hat{C} is considered as the independent control input like commands or initial conditions. The signals u and u' , which appear at the interconnection of \hat{P} and \hat{C} , model possible additive disturbances, noise, interference and loading.

The conditions for H -stability of $\Sigma(\hat{P}, \hat{C})$ are stated in Theorem 4.4. Intuitively, only those plants which have "instabilities that the feedback-loop can remove" can be considered for H -stabilization; these plants are called Σ -admissible. The restriction on the class of H -stabilizable \hat{P} is due to the feedback being applied only through the second inputs and outputs. The class of Σ -admissible \hat{P} is given in Theorem 4.8; the class of all H -stabilizing compensators for Σ -admissible plants is given in Theorem 4.10. The 2-2 block of \hat{C} is essentially in a feedback configuration like $S(P, C)$.

In the unity-feedback configuration $S(P, C)$, the class of all C that H -stabilize P is parametrized by one parameter matrix Q ; including this parameter matrix Q that comes from C , the set of all \hat{C} that H -stabilize \hat{P} is parametrized by four H -stable matrices and hence, we call the system $\Sigma(\hat{P}, \hat{C})$ a four-degrees-of-freedom design (or four-parameter design) [Net.1]. $\Sigma(\hat{P}, \hat{C})$ can obviously be reduced to two parameter design by taking $C_{11} = 0$ and $C_{12} = 0$. The class of all achievable maps for $\Sigma(\hat{P}, \hat{C})$ involves the four compensator parameters; each closed-loop I/O map achieved by the H -stabilized $\Sigma(\hat{P}, \hat{C})$ depends on one and only one of these four parameter matrices $Q_{11}, Q_{12}, Q_{21}, Q$. Several performance specifications can be imposed on the closed-loop performance of $\Sigma(\hat{P}, \hat{C})$.

In Section V, we consider the decoupling problem; namely, find \hat{C} such that, for the given \hat{P} , the I/O map $H_{zv} : v' \mapsto z$ of $\Sigma(\hat{P}, \hat{C})$ is diagonal. Assuming that N_{12} is nonsingular, it is always possible to choose $Q_{21} \in \mathcal{M}(H)$ such that $H_{zv} = N_{12} Q_{21}$ is diagonal. Diagonalization with this configuration does not involve the feedback-loop and the parameter Q of C ; hence, decoupling the I/O map H_{zv} is independent of the I/O maps that are affine functions in Q . On the other hand, in the unity-feedback configuration $S(P, C)$, diagonalizing the map $H_{yu} : u' \mapsto y$ would depend on the choice for Q such that $N_p(U_p + Q\tilde{D}_p)$ is diagonal, and hence, diagonalizing the map H_{yu} in $S(P, C)$ may not be possible for certain plants.

II. ALGEBRAIC BACKGROUND

2.1. Notation [Lan.1, Vid.1]: H is a principal ring (i.e., an entire commutative ring in which every ideal is principal). $\mathcal{M}(H)$ is the set of matrices with elements in H . $J \subset H$ is the group of units of H . $I \subset H$ is a multiplicative subset, $0 \notin I$, $1 \in I$. $G = H/I := \{n/d : n \in H, d \in I\}$ is the ring of fractions of H associated with I . G_S is the Jacobson radical of G : $G_S := \{x \in G : (1+xy)^{-1} \in G, \text{ for all } y \in G\}$.

2.2. Example (Rational functions in s): Let $\mathcal{U} \supset \mathbb{C}_+$ be a closed subset of \mathbb{C} , symmetric about the real axis, and let $\mathbb{C} \setminus \mathcal{U}$ be nonempty; let $\mathcal{U}_e := \mathcal{U} \cup \{\infty\}$. The ring of proper scalar rational functions (with real coefficients) which are analytic in \mathcal{U} , denoted by $R_{\mathcal{U}}(s)$, is a principal ring. Let H be $R_{\mathcal{U}}(s)$; by definition of J , $f \in J$ implies that f is a proper rational function, which has neither poles nor zeros in \mathcal{U}_e . We choose I to be the multiplicative subset of $R_{\mathcal{U}}(s)$ such that $f \in I$ implies that $f(\infty)$ is a nonzero constant in \mathbb{R} ; equivalently, $I \subset R_{\mathcal{U}}(s)$ is the set of proper, but not strictly proper, real rational functions which are analytic in \mathcal{U} . Then $R_{\mathcal{U}}(s)/I$ is the ring of proper rational functions $\mathbb{R}_p(s)$. The Jacobson radical of $\mathbb{R}_p(s)$ is the set of strictly proper rational functions $\mathbb{R}_{sp}(s)$.

2.3. Definitions (Coprime factorizations in H):

(i) The pair (N_p, D_p) , where $N_p, D_p \in \mathcal{M}(H)$, is called *right-coprime* (r.c.) iff there exist $U_p, V_p \in \mathcal{M}(H)$ such that $V_p D_p + U_p N_p = I$; (ii) the pair (N_p, D_p) is called a *right-fraction representation* (r.f.r.) of $P \in \mathcal{M}(G)$ iff D_p is square, $\det D_p \in I$ and $P = N_p D_p^{-1}$; (iii) the pair (N_p, D_p) is called a *right-coprime-fraction representation* (r.c.f.r.) of $P \in \mathcal{M}(G)$ iff (N_p, D_p) is an r.f.r. of P and (N_p, D_p) is r.c. (iv) The pair $(\tilde{D}_p, \tilde{N}_p)$, where $\tilde{D}_p, \tilde{N}_p \in \mathcal{M}(H)$, is called *left-coprime* (l.c.) iff there exist $\tilde{U}_p, \tilde{V}_p \in \mathcal{M}(H)$ such that

$\bar{N}_p \bar{U}_p + \bar{D}_p \bar{V}_p = I$; (v) the pair (\bar{D}_p, \bar{N}_p) is called a *left-fraction representation* (l.f.r.) of $P \in \mathcal{M}(G)$ iff \bar{D}_p is square, $\det \bar{D}_p \in I$ and $P = \bar{D}_p^{-1} \bar{N}_p$; (vi) the pair (\bar{D}_p, \bar{N}_p) is called a *left-coprime-fraction representation* (l.c.f.r.) of $P \in \mathcal{M}(G)$ iff (\bar{D}_p, \bar{N}_p) is an l.f.r. of P and (\bar{D}_p, \bar{N}_p) is l.c. (vii) The triple (N_{pr}, D, N_{pl}) , where $N_{pr}, D, N_{pl} \in \mathcal{M}(H)$, is called a *bicoprime-fraction representation* (b.c.f.r.) of $P \in \mathcal{M}(G)$ iff the pair (N_{pr}, D) is *right-coprime*, the pair (D, N_{pl}) is *left-coprime*, $\det D \in I$ and $P = N_{pr} D^{-1} N_{pl}$. Note that $P \in \mathcal{M}(G)$ is sometimes given as $P = N_{pr} D^{-1} N_{pl} + S_p$, where $S_p \in \mathcal{M}(H)$ and (N_{pr}, D, N_{pl}) is a bicoprime (b.c.) triple. In this case, the b.c.f.r. is given by (N_{pr}, D, N_{pl}, S_p) [Vid.1]. \square Every $P \in \mathcal{M}(G)$ has an r.c.f.r. (N_p, D_p) , an l.c.f.r. (\bar{D}_p, \bar{N}_p) , and a b.c.f.r. (N_{pr}, D, N_{pl}) in H .

2.4. Generalized Bezout Identity for (N_p, D_p) and (\bar{D}_p, \bar{N}_p) : Let (N_p, D_p) be an r.c. pair and let (\bar{D}_p, \bar{N}_p) be an l.c. pair, and let $\bar{N}_p D_p = \bar{D}_p N_p$, where $N_p \in H^{n_o \times n_i}$, $D_p \in H^{n_i \times n_i}$, $\bar{D}_p \in H^{n_o \times n_o}$, $\bar{N}_p \in H^{n_o \times n_i}$; then there are matrices $V_p, U_p, \bar{U}_p, \bar{V}_p \in \mathcal{M}(H)$ such that

$$\begin{bmatrix} V_p & U_p \\ -\bar{N}_p & \bar{D}_p \end{bmatrix} \begin{bmatrix} D_p & -\bar{U}_p \\ N_p & \bar{V}_p \end{bmatrix} = \begin{bmatrix} I_{n_i} & 0 \\ 0 & I_{n_o} \end{bmatrix}. \quad (2.1)$$

2.5. Definition (Doubly-coprime fraction representation): (i) If the generalized Bezout identity (2.1) holds, then $((N_p, D_p), (\bar{D}_p, \bar{N}_p))$ is called a *doubly-coprime pair*. (ii) If $P = N_p D_p^{-1} = \bar{D}_p^{-1} \bar{N}_p$, then $((N_p, D_p), (\bar{D}_p, \bar{N}_p))$ is called a *doubly-coprime-fraction representation* of P .

2.6. Generalized Bezout identities for (N_{pr}, D, N_{pl}) : Let (N_{pr}, D, N_{pl}) be a b.c. triple, where $N_{pr} \in H^{n_o \times n_i}$, $D \in H^{n_i \times n_i}$, $N_{pl} \in H^{n_i \times n_i}$; then we have two generalized Bezout identities: (i) For the r.c. pair (N_{pr}, D) , there are matrices $V_{pr}, U_{pr}, \bar{X}, \bar{Y}, \bar{U}, \bar{V} \in \mathcal{M}(H)$ such that

$$\begin{bmatrix} V_{pr} & U_{pr} \\ -\bar{X} & \bar{Y} \end{bmatrix} \begin{bmatrix} D & -\bar{U} \\ N_{pr} & \bar{V} \end{bmatrix} = \begin{bmatrix} I_n & 0 \\ 0 & I_{n_o} \end{bmatrix}; \quad (2.2)$$

equation (2.2) is of the form

$$M_r M_r^{-1} = I_{n+n_o}. \quad (2.3)$$

(ii) For the l.c. pair (D, N_{pl}) there are matrices $V_{pl}, U_{pl}, X, Y, U, V \in \mathcal{M}(H)$ such that

$$\begin{bmatrix} D & -N_{pl} \\ U & V \end{bmatrix} \begin{bmatrix} V_{pl} & X \\ -U_{pl} & Y \end{bmatrix} = \begin{bmatrix} I_n & 0 \\ 0 & I_{n_i} \end{bmatrix}; \quad (2.4)$$

equation (2.4) is of the form

$$M_l M_l^{-1} = I_{n+n_i}. \quad (2.5)$$

2.7. Proposition: Let $P \in \mathcal{M}(G)$. Let (N_{pr}, D, N_{pl}) be a b.c.f.r. of P ; hence, equations (2.2)-(2.4) hold; then

$$(N_p, D_p) := (N_{pr} X, Y) \text{ is an r.c.f.r. of } P, \quad (2.6)$$

$$(\bar{D}_p, \bar{N}_p) := (\bar{Y}, \bar{X} N_{pl}) \text{ is an l.c.f.r. of } P, \quad (2.7)$$

where $X, Y, \bar{X}, \bar{Y} \in \mathcal{M}(H)$ are defined in (2.2)-(2.4).

2.8. Comments: (i) Using equations (2.2)-(2.4) we obtain a generalized Bezout identity for the doubly-coprime pair $((N_{pr} X, Y), (\bar{Y}, \bar{X} N_{pl}))$:

$$\begin{bmatrix} V + UV_{pr} N_{pl} & UU_{pr} \\ -\bar{X} N_{pl} & \bar{Y} \end{bmatrix} \begin{bmatrix} Y & -U_{pl} \bar{U} \\ N_{pr} X \bar{V} + N_{pr} V_{pl} \bar{U} \end{bmatrix} = \begin{bmatrix} I_{n_i} & 0 \\ 0 & I_{n_o} \end{bmatrix} \quad (2.8)$$

Note the similarity between equations (2.8) and (2.1). (ii) If,

instead of $N_{pr} D^{-1} N_{pl}$, the plant is given by $P = N_{pr} D^{-1} N_{pl} + S_p$, where $S_p \in \mathcal{M}(H)$, then an r.c.f.r. and an l.c.f.r. are given by:

$$(N_p, D_p) := (N_{pr} X + S_p Y, Y), (\bar{D}_p, \bar{N}_p) := (\bar{Y}, \bar{X} N_{pl} + \bar{Y} S_p),$$

and the Bezout identities in (2.8) are replaced by:

$$(V + UV_{pr} N_{pl} - UU_{pr} S_p) Y + UU_{pr} (N_{pr} X + S_p Y) = I_{n_i}$$

$$(-\bar{X} N_{pl} - \bar{Y} S_p)(-U_{pl} \bar{U}) + \bar{Y} (\bar{V} + N_{pr} V_{pl} \bar{U} - S_p U_{pl} \bar{U}) = I_{n_o}.$$

2.9. Example: Let H be $R_u(s)$ as in Example 2.2. Let $P \in \mathcal{R}_p(s)^{n_o \times n_i}$ be represented by its state-space representation $\dot{x} = Ax + Bu$, $y = Cx$, where (C, A, B) is \mathcal{U}_e -stabilizable and \mathcal{U}_e -detectable. Then $P = (s+a)^{-1} C [(s+a)^{-1} (sI - A)]^{-1} B$, where $-a \in \mathcal{C} \mathcal{U}_e$, $-a \in \mathbb{R}$. The pair $((s+a)^{-1} C, (s+a)^{-1} (sI - A))$ is r.c., the pair $((s+a)^{-1} (sI - A), B)$ is l.c. and $\det[(s+a)^{-1} (sI - A)] \in I$. Therefore, $(N_{pr}, D, N_{pl}) = ((s+a)^{-1} C, (s+a)^{-1} (sI - A), B)$ is a b.c.f.r. of P . Choose $K \in \mathbb{R}^{n_i \times n_i}$ and $F \in \mathbb{R}^{n_i \times n_o}$ such that $(A - BK)$ and $(A - FC)$ have eigenvalues in $\mathcal{C} \mathcal{U}_e$. Let $G_K := (sI_n - A + BK)^{-1}$; let $G_F := (sI_n - A + FC)^{-1}$; then $G_K, G_F \in \mathcal{M}(R_u(s)) \cap \mathcal{M}(\mathcal{R}_p(s))$ and hence, $(s+a)(sI_n - A + BK)^{-1} = (s+a)G_K \in \mathcal{M}(R_u(s))$ and $(s+a)(sI_n - A + FC)^{-1} = (s+a)G_F \in \mathcal{M}(R_u(s))$. For this b.c.f.r., (2.2) and (2.4) become:

$$\begin{bmatrix} (s+a)G_F & (s+a)G_F F \\ -CG_F & I_{n_o} - CG_F F \end{bmatrix} \begin{bmatrix} (s+a)^{-1} (sI_n - A) & -F \\ (s+a)^{-1} C & I_{n_o} \end{bmatrix} = I_{n+n_o};$$

$$\begin{bmatrix} (s+a)^{-1} (sI_n - A) & -B \\ (s+a)^{-1} K & I_{n_i} \end{bmatrix} \begin{bmatrix} (s+a)G_K & (s+a)G_K B \\ -KG_K & I_{n_i} - KG_K B \end{bmatrix} = I_{n+n_i}.$$

We obtain a Bezout identity for this case from (2.8):

$$\begin{bmatrix} I_{n_i} + KG_F B & KG_F F \\ -CG_F B & I_{n_o} - CG_F F \end{bmatrix} \begin{bmatrix} I_{n_i} - KG_K B & -KG_K F \\ CG_K B & I_{n_o} + CG_K F \end{bmatrix} = I_{n_i+n_o}.$$

Clearly, $(CG_K B, (I_{n_i} - KG_K B))$ is an r.c. pair and $((I_{n_o} - CG_F F), CG_F B)$ is an l.c. pair.

III. THE UNITY-FEEDBACK SYSTEM $S(P, C)$

We consider the system $S(P, C)$ shown in Figure 1.

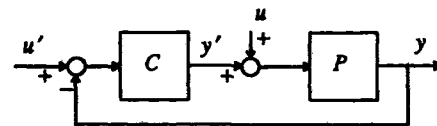


Figure 1. The unity-feedback system $S(P, C)$.

3.1. Assumptions:

(A) The plant $P \in G^{n_o \times n_i}$. Let (N_p, D_p) be an r.c.f.r., (\bar{D}_p, \bar{N}_p) be an l.c.f.r., (N_{pr}, D, N_{pl}) be a b.c.f.r. of P , where $N_p \in H^{n_o \times n_i}$, $D_p \in H^{n_i \times n_i}$, $\bar{D}_p \in H^{n_o \times n_o}$, $\bar{N}_p \in H^{n_o \times n_i}$, $N_{pr} \in H^{n_o \times n_i}$, $D \in H^{n_i \times n_i}$, $N_{pl} \in H^{n_i \times n_i}$.

(B) The compensator $C \in G^{n_i \times n_o}$. Let (\bar{D}_c, \bar{N}_c) be an l.c.f.r. and (N_c, D_c) be an r.c.f.r. of C , where $\bar{D}_c \in H^{n_i \times n_i}$, $\bar{N}_c \in H^{n_i \times n_o}$, $N_c \in H^{n_i \times n_o}$, $D_c \in H^{n_o \times n_o}$.

Let $\bar{y} := \begin{bmatrix} y \\ y' \end{bmatrix}$, $\bar{u} := \begin{bmatrix} u \\ u' \end{bmatrix}$. The map $H_{\bar{y}\bar{u}} : \bar{u} \mapsto \bar{y}$ is the I/O map of $S(P, C)$. In terms of P and C , $H_{\bar{y}\bar{u}}$ is given by

$$H_{\bar{y}\bar{u}} = \begin{bmatrix} P(I_{n_i} + CP)^{-1} & P(I_{n_i} + CP)^{-1} C \\ -CP(I_{n_i} + CP)^{-1} & (I_{n_i} + CP)^{-1} C \end{bmatrix}. \quad (3.1)$$

3.2. Analysis of $S(P, C)$: The system $S(P, C)$ can be analyzed by using an r.c.f.r., an l.c.f.r., or a b.c.f.r. of P and C . We show the analysis for a b.c.f.r. of P and an l.c.f.r. of C : Let $P = N_{pr}D^{-1}N_{pl}$, $C = \bar{D}_c^{-1}\bar{N}_c$, where (N_{pr}, D, N_{pl}) is b.c., (\bar{D}_c, \bar{N}_c) is l.c. (see Figure 2); ξ_x denotes the pseudo-state of P .

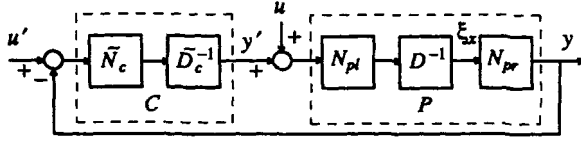


Figure 2. $S(P, C)$ with $P = N_{pr}D^{-1}N_{pl}$ and $C = \bar{D}_c^{-1}\bar{N}_c$. $S(P, C)$ is then described by equations (3.2)-(3.3):

$$\begin{bmatrix} D & \vdots & -N_{pl} \\ \bar{N}_c N_{pr} & \vdots & \bar{D}_c \end{bmatrix} \begin{bmatrix} \xi_x \\ y' \end{bmatrix} = \begin{bmatrix} N_{pl} & \vdots & 0 \\ 0 & \vdots & \bar{N}_c \end{bmatrix} \begin{bmatrix} u \\ u' \end{bmatrix}, \quad (3.2)$$

$$\begin{bmatrix} N_{pr} & \vdots & 0 \\ 0 & \vdots & I_{n_i} \end{bmatrix} \begin{bmatrix} \xi_x \\ y' \end{bmatrix} = \begin{bmatrix} y \\ y' \end{bmatrix}. \quad (3.3)$$

Equations (3.2)-(3.3) are of the form $D_{H3}\xi_3 = N_{L3}\bar{u}$, $N_{R3}\xi_3 = \bar{y}$. If $\det D_{H3} \in I$, then the system is well-posed; by elementary row and column operations on the matrices in equations (3.2)-(3.3), it is easy to see that (N_{R3}, D_{H3}, N_{L3}) is a b.c.f.r. of $H_{\bar{m}}$.

3.3. Definition (H -stability): The system $S(P, C)$ is said to be H -stable iff $H_{\bar{m}} \in \mathcal{M}(H)$.

3.4. Theorem (H -stability of $S(P, C)$): Consider $S(P, C)$. Let Assumptions 3.1 (A) and (B) hold; then (i)-(v) below are equivalent:

(i) $S(P, C)$ is H -stable;

(ii) $D_{H1} := \bar{D}_c D_p + \bar{N}_c N_p$ is H -unimodular, (3.4)

(iii) $D_{H2} := \bar{D}_p D_c + \bar{N}_p N_c$ is H -unimodular, (3.5)

(iv) $D_{H3} := \begin{bmatrix} D & -N_{pl} \\ \bar{N}_c N_{pr} & \bar{D}_c \end{bmatrix}$ is H -unimodular, (3.6)

(v) $D_{H4} := \begin{bmatrix} D & -N_{pl} N_c \\ N_{pr} & D_c \end{bmatrix}$ is H -unimodular. (3.7)

3.5. Comments: (i) Post-multiplying D_{H3} in (3.6) by the H -unimodular matrix M_l^{-1} defined in (2.4)-(2.5), we obtain

$$D_{H3}M_l^{-1} = \begin{bmatrix} I_n & 0 \\ \bar{N}_c N_{pr} V_{pl} - \bar{D}_c U_{pl} & \bar{N}_c N_{pr} X + \bar{D}_c Y \end{bmatrix}.$$

But D_{H3} is H -unimodular if and only if $D_{H3}M_l^{-1}$ is H -unimodular; hence, condition (3.6) holds if and only if

$$\bar{D}_c Y + \bar{N}_c N_{pr} X \text{ is } H\text{-unimodular.} \quad (3.8)$$

The H -unimodularity condition (3.8) is the same as (3.4) since $(N_{pr}X, Y)$ is an r.c.f.r. of P by Proposition 2.7. Similarly, pre-multiplying D_{H4} in (3.7) by the H -unimodular matrix M_r defined (2.2)-(2.3), we conclude that (3.7) holds if and only if

$$\bar{X} N_{pl} N_c + \bar{Y} D_c \text{ is } H\text{-unimodular.} \quad (3.9)$$

Note that condition (3.9) is the same as (3.5) since $(\bar{Y}, \bar{X} N_{pl})$ is an l.c.f.r. of P by Proposition 2.7. (ii) If condition (3.4) (equivalently, (3.5)) holds, then by normalization we obtain

$$\bar{D}_c D_p + \bar{N}_c N_p = I_{n_i}, \text{ and } \bar{N}_p N_c + \bar{D}_p D_c = I_{n_o}. \quad (3.10)$$

With $P = N_{pr}D_p^{-1} = \bar{D}_p^{-1}\bar{N}_p$, $C = \bar{D}_c^{-1}\bar{N}_c = N_c D_c^{-1}$, equation (3.10) is equivalent to

$$\begin{bmatrix} \bar{D}_c & \bar{N}_c \\ -\bar{N}_p & \bar{D}_p \end{bmatrix} \begin{bmatrix} D_p & -N_c \\ N_{pr} & D_c \end{bmatrix} = \begin{bmatrix} I_{n_i} & 0 \\ 0 & I_{n_o} \end{bmatrix}. \quad (3.11)$$

3.6. Definition (H -stabilizing compensator C): (i) C is called an H -stabilizing compensator for P (later abbreviated as: C H -stabilizes P) iff $C \in G^{n_i \times n_o}$ satisfies Assumption 3.1 (B) and the system $S(P, C)$ is H -stable. (ii) The set $\mathcal{S}(P) := \{ C : C \text{ } H\text{-stabilizes } P \}$ is called the set of all H -stabilizing compensators for P .

3.7. Theorem (Set of all H -stabilizing compensators for P): Let $P \in \mathcal{M}(G_s)$ and let P satisfy Assumption 3.1 (A); then the set $\mathcal{S}(P)$ of all H -stabilizing compensators C for P is given by equation (3.12) and equivalently, by equation (3.13) below:

$$\mathcal{S}(P) = \{ C = (V_p - Q\bar{N}_p)^{-1}(U_p + Q\bar{D}_p) : Q \in \mathcal{M}(H) \}; \quad (3.12)$$

$$\mathcal{S}(P) = \{ C = (\bar{U}_p + D_p Q)(\bar{V}_p - N_p Q)^{-1} : Q \in \mathcal{M}(H) \}; \quad (3.13)$$

where the matrices $V_p, U_p, \bar{V}_p, \bar{U}_p$ in equations (3.12)-(3.13) satisfy the generalized Bezout identity (2.1). Equations (3.12) and (3.13) give a parametrization of all H -stabilizing compensators for P ; in each case, the map $Q \mapsto C$ is bijective and, for the same $Q \in \mathcal{M}(H)$, (3.12) and (3.13) give the same C .

3.8. Comments: (i) (All H -stabilizing compensators based on a b.c.f.r. of P): By Proposition 2.7, $(N_{pr}X, Y)$ is an r.c.f.r. and $(\bar{Y}, \bar{X} N_{pl})$ is an l.c.f.r. of P ; then set $\mathcal{S}(P)$ of all H -stabilizing compensators is given by:

$$\mathcal{S}(P) = \{ (V + UV_{pr}N_{pl} - Q\bar{X}N_{pl})^{-1}(UU_{pr} + Q\bar{Y}) \}, \quad (3.14)$$

$$\mathcal{S}(P) = \{ (U_{pl}\bar{U} + YQ)(\bar{V} + N_{pr}V_{pl}\bar{U} - N_{pr}XQ)^{-1} \}, \quad (3.15)$$

where $Q \in \mathcal{M}(H)$ and the matrices in equations (3.14)-(3.15) satisfy the generalized Bezout identities (2.2) and (2.4).

A generalized Bezout identity for the doubly-coprime pair $((N_{pr}X, Y), (\bar{Y}, \bar{X} N_{pl}))$ is given by (2.8); comparing (2.8) and (2.2), it is easy to see that (3.14) is equivalent to (3.12) and (3.15) is equivalent to (3.13). (ii) (All H -stabilizing compensators for H -stable P): If $P \in \mathcal{M}(H)$, then the set $\mathcal{S}(P)$ of all H -stabilizing compensators is given by:

$$\mathcal{S}(P) = \{ C = (I_{n_i} - PQ)^{-1}Q : Q \in \mathcal{M}(H) \},$$

$$\mathcal{S}(P) = \{ C = Q(I_{n_o} - PQ)^{-1} : Q \in \mathcal{M}(H) \}.$$

(iii) (All H -stabilizing compensators when $P \in \mathcal{M}(G)$): In Theorem 3.7, if we assume that $P \in \mathcal{M}(G)$ but not $\mathcal{M}(G_s)$, then in equations (3.12)-(3.13) (and equivalently, (3.14)-(3.15)) we choose $Q \in \mathcal{M}(H)$ such that $\det(V_p - Q\bar{N}_p) \in I$ (equivalently, $\det(\bar{V}_p - N_p Q) \in I$).

(iv) (All P such that $S(P, C)$ is H -stable): Let $C \in \mathcal{M}(G_s)$, $C = \bar{D}_c^{-1}\bar{N}_c = N_c D_c^{-1}$, be given; let (\bar{D}_c, \bar{N}_c) be l.c. and (N_c, D_c) be r.c. Under these conditions, the set of all $P \in \mathcal{M}(G)$ for which $S(P, C)$ is H -stable is given by:

$$\{ P = (\bar{U}_c + D_c Q_p)(\bar{V}_c - N_c Q_p)^{-1} : Q_p \in \mathcal{M}(H) \} =$$

$$\{ P = (V_c - Q_p \bar{N}_c)^{-1}(U_c + Q_p \bar{D}_c) : Q_p \in \mathcal{M}(H) \},$$

where $V_c, U_c, \bar{V}_c, \bar{U}_c \in \mathcal{M}(H)$ satisfy a generalized Bezout identity for the doubly-coprime pair $((N_c, D_c), (\bar{D}_c, \bar{N}_c))$. If $C \in \mathcal{M}(G)$, then $Q_p \in \mathcal{M}(H)$ should be chosen so that $\det(\bar{V}_c - N_c Q_p) \in I$ (equivalently, $\det(V_c - Q_p \bar{N}_c) \in I$).

3.9. Achievable I/O maps of $S(P, C)$: The set $\mathcal{A}(P) := \{ H_{\bar{m}} : C \text{ } H\text{-stabilizes } P \}$ is called the set of all achievable I/O maps of the unity-feedback system $S(P, C)$.

By Theorem 3.7, the compensator C H -stabilizes P if and only if $C \in \mathcal{S}(P)$. Substituting $\bar{D}_c^{-1}\bar{N}_c = (V_p - Q\bar{N}_p)^{-1}(U_p + Q\bar{D}_p)$ or $N_c D_c^{-1} = (\bar{U}_p + D_p Q)(\bar{V}_p - N_p Q)^{-1}$ for C into (3.1), we obtain the set of all achievable I/O maps:

$$A(P) = \left\{ H_{\overline{m}} = \begin{bmatrix} N_p(V_p - Q\overline{N}_p) & N_p(U_p + Q\overline{D}_p) \\ -\overline{U}_p + D_p Q\overline{N}_p & D_p(U_p + Q\overline{D}_p) \end{bmatrix} \right\},$$

where $Q \in \mathcal{M}(H)$. Note that each closed-loop map of $S(P, C)$ is an affine map in the parameter matrix $Q \in \mathcal{M}(H)$.

Compensator design using $S(P, C)$ is called one-degree-of-freedom design or one-parameter design since all achievable maps are parametrized by the single parameter matrix Q .

IV. THE FEEDBACK SYSTEM $\Sigma(\hat{P}, \hat{C})$

Consider the feedback system $\Sigma(\hat{P}, \hat{C})$ shown in Figure 3.

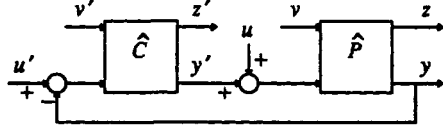


Figure 3. The feedback system $\Sigma(\hat{P}, \hat{C})$.

4.1. Assumptions:

(A) The $(\eta_o + n_o) \times (\eta_i + n_i)$ plant $\hat{P} \in \mathcal{M}(G)$ is partitioned as

$$\hat{P} = \begin{bmatrix} P_{11} & P_{12} \\ P_{21} & P \end{bmatrix} \in G^{(\eta_o + n_o) \times (\eta_i + n_i)}, \text{ where } P \in G^{n_o \times n_i}.$$

(B) The compensator $\hat{C} \in G^{(\eta_o' + n_i) \times (\eta_i' + n_o)}$ is partitioned as

$$\hat{C} = \begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C \end{bmatrix} \in G^{(\eta_o' + n_i) \times (\eta_i' + n_o)}, \text{ where } C \in G^{n_i \times n_o}.$$

4.2. Fact: (i) Let the plant \hat{P} satisfy Assumption 4.1 (A); then \hat{P} has an r.c.f.r. $(N_{\hat{P}}, D_{\hat{P}})$ and an l.c.f.r. $(\overline{D}_{\hat{P}}, \overline{N}_{\hat{P}})$ which satisfy equations (4.1)-(4.2) below:

$$(i) (N_{\hat{P}}, D_{\hat{P}}) = \left(\begin{bmatrix} N_{11} & N_{12} \\ N_{21} & N_p \end{bmatrix}, \begin{bmatrix} D_{11} & 0 \\ D_{21} & D_p \end{bmatrix} \right), \quad (4.1)$$

$$(ii) (\overline{D}_{\hat{P}}, \overline{N}_{\hat{P}}) = \left(\begin{bmatrix} \overline{D}_{11} & \overline{D}_{12} \\ 0 & \overline{D}_p \end{bmatrix}, \begin{bmatrix} \overline{N}_{11} & \overline{N}_{12} \\ \overline{N}_{21} & \overline{N}_p \end{bmatrix} \right), \quad (4.2)$$

where (N_p, D_p) is an r.f.r. of P , and $(\overline{D}_p, \overline{N}_p)$ is an l.f.r. of P .

(ii) Let the compensator \hat{C} satisfy Assumption 4.1 (B); then \hat{C} has an l.c.f.r. $(\overline{D}_{\hat{C}}, \overline{N}_{\hat{C}})$ and an r.c.f.r. $(N_{\hat{C}}, D_{\hat{C}})$ which satisfy equations (4.3)-(4.4) below:

$$(\overline{D}_{\hat{C}}, \overline{N}_{\hat{C}}) = \left(\begin{bmatrix} \overline{D}'_{11} & \overline{D}'_{12} \\ 0 & \overline{D}_c \end{bmatrix}, \begin{bmatrix} \overline{N}'_{11} & \overline{N}'_{12} \\ \overline{N}'_{21} & \overline{N}_c \end{bmatrix} \right), \quad (4.3)$$

$$(N_{\hat{C}}, D_{\hat{C}}) = \left(\begin{bmatrix} N'_{11} & N'_{12} \\ N'_{21} & N_c \end{bmatrix}, \begin{bmatrix} D'_{11} & 0 \\ D'_{21} & D_c \end{bmatrix} \right), \quad (4.4)$$

where $(\overline{D}_c, \overline{N}_c)$ is an l.f.r. of C , and (N_c, D_c) is an r.f.r. of C . \square

Any other r.c.f.r. of \hat{P} is given by $(N_{\hat{P}}R, D_{\hat{P}}R)$, where $(N_{\hat{P}}, D_{\hat{P}})$ is the r.c.f.r. in (4.1) and $R \in \mathcal{M}(H)$ is H -unimodular. Similarly, any other l.c.f.r. of \hat{P} is given by $(L\overline{D}_{\hat{P}}, L\overline{N}_{\hat{P}})$, where $(\overline{D}_{\hat{P}}, \overline{N}_{\hat{P}})$ is the l.c.f.r. in (4.2) and $L \in \mathcal{M}(H)$ is H -unimodular. The pair (N_p, D_p) in (4.1) is not necessarily r.c.; the pair $(\overline{D}_p, \overline{N}_p)$ in (4.2) is not necessarily l.c.

Let $\hat{y} := \begin{bmatrix} z \\ y' \\ z' \\ y \end{bmatrix}$, $\hat{u} := \begin{bmatrix} v \\ u \\ v' \\ u' \end{bmatrix}$. The map $H_{\hat{P}\hat{C}}: \hat{u} \mapsto \hat{y}$ is the

I/O map of $\Sigma(\hat{P}, \hat{C})$. In terms of \hat{P} and \hat{C} , $H_{\hat{P}\hat{C}}$ is given by

$$\begin{bmatrix} P_{11} - P_{12}T^{-1}CP_{21} & P_{12}T^{-1} & P_{12}T^{-1}C_{21} & P_{12}T^{-1}C \\ \hat{T}P_{21} & PT^{-1} & PT^{-1}C_{21} & PT^{-1}C \\ -C_{12}\hat{T}P_{21} & -C_{12}PT^{-1} & C_{11} - C_{12}PT^{-1}C_{21} & C_{12}\hat{T} \\ -T^{-1}CP_{21} & T^{-1} - I_{n_i} & T^{-1}C_{21} & T^{-1}C \end{bmatrix}$$

where $T := (I_{n_i} + CP)$ and $\hat{T} := (I_{n_o} - PT^{-1}C)$.

4.2. Analysis of $\Sigma(\hat{P}, \hat{C})$: We analyze the system $\Sigma(\hat{P}, \hat{C})$ by factorizing \hat{P} as $N_{\hat{P}}D_{\hat{P}}^{-1}$ and \hat{C} as $\overline{D}_{\hat{C}}^{-1}\overline{N}_{\hat{C}}$; $\hat{\xi}_{\hat{P}}$ denotes the pseudo-state of \hat{P} . $\Sigma(\hat{P}, \hat{C})$ is then described by (4.5)-(4.6):

$$\begin{bmatrix} D_{11} & 0 & 0 & 0 \\ D_{21} & D_p & 0 & -I_{n_i} \\ \overline{N}'_{12}N_{21} & \overline{N}'_{12}N_p & \overline{D}'_{11} & \overline{D}'_{12} \\ \overline{N}_cN_{21} & \overline{N}_cN_p & 0 & \overline{D}_c \end{bmatrix} \begin{bmatrix} \hat{\xi}_{\hat{P}} \\ \dots \\ z' \\ y' \end{bmatrix} = \begin{bmatrix} I_{\eta_i + n_i} & 0 \\ 0 & \overline{N}_{\hat{C}} \end{bmatrix} \begin{bmatrix} v \\ u \\ \dots \\ v' \\ u' \end{bmatrix} \quad (4.5)$$

$$\begin{bmatrix} N_{\hat{P}} & 0 \\ 0 & I_{\eta_o' + n_i} \end{bmatrix} \begin{bmatrix} \hat{\xi}_{\hat{P}} \\ \dots \\ z \\ y' \\ z' \\ y \end{bmatrix} = \begin{bmatrix} z \\ y' \\ z' \\ y \end{bmatrix}. \quad (4.6)$$

(4.5)-(4.6) are of the form $\hat{D}_H \hat{\xi} = \hat{N}_L \hat{u}$, $\hat{N}_R \hat{\xi} = \hat{y}$; it is easy to see that $(\hat{N}_R, \hat{D}_H, \hat{N}_L)$ is a b.c. triple. If $\det \hat{D}_H \in I$, then the I/O map $H_{\hat{P}\hat{C}}$ is given by $H_{\hat{P}\hat{C}} = \hat{N}_R \hat{D}_H^{-1} \hat{N}_L \in \mathcal{M}(G)$.

4.3. Definition (H -stability): The system $\Sigma(\hat{P}, \hat{C})$ is said to be H -stable iff $H_{\hat{P}\hat{C}} \in \mathcal{M}(H)$.

4.4. Theorem (H -stability of $\Sigma(\hat{P}, \hat{C})$): Consider $\Sigma(\hat{P}, \hat{C})$. Let Assumptions 4.1 (A)-(B) hold; then (i)-(iii) below are equivalent:

(i) $\Sigma(\hat{P}, \hat{C})$ is H -stable;

(ii) \hat{D}_H is H -unimodular; (4.7)

(iii) D_{11} is H -unimodular, and (4.8)

\overline{D}'_{11} is H -unimodular, and (4.9)

$\overline{D}_c D_p + \overline{N}_c N_p$ is H -unimodular. (4.10)

4.5. Comments: (i) Condition (4.7) of Theorem 4.4 is equivalent to $\det \hat{D}_H \in J$; by equation (4.3),

$$\det \hat{D}_H = \det D_{11} \det \overline{D}'_{11} \det (\overline{D}_c D_p + \overline{N}_c N_p). \quad (4.11)$$

Now $\det \hat{D}_H \in J$ if and only if each of the three factors in (4.11) is in J ; hence, by (4.1) and (4.3), $\det \hat{D}_H \in J$ if and only if $\det D_{11} = \det D_{\hat{P}} (\det D_p)^{-1} \in J$ (equivalently, $\det D_{\hat{P}} = \det D_p$), and $\det \overline{D}'_{11} = \det \overline{D}_{\hat{C}} (\det \overline{D}_c)^{-1} \in J$ (equivalently, $\det \overline{D}_{\hat{C}} = \det \overline{D}_c$), and $\det (\overline{D}_c D_p + \overline{N}_c N_p) \in J$ (equivalently, $\det (\overline{D}_c D_p + \overline{N}_c N_p) = 1$). Due to (4.11), condition (4.7) of is equivalent to conditions (4.8)-(4.9)-(4.10). (ii) By normalization, conditions (4.8)-(4.9)-(4.10) of can be written as:

$$D_{11} = I_{\eta_i} \text{ and } \overline{D}'_{11} = I_{\eta_o'} \text{ and } \overline{D}_c D_p + \overline{N}_c N_p = I_{n_i}. \quad (4.12)$$

The last condition in equation (4.12) is in fact a right-Bezout identity for the r.c.f.r. (N_p, D_p) of P and a left-Bezout identity for the l.c.f.r. $(\overline{D}_c, \overline{N}_c)$ of C . (iii) From equation (4.11), using $\det(I_{n_i} + CP) = \det(I_{n_o} + PC)$, we can express $\det \hat{D}_H$ also as:

$$\det \hat{D}_H = \det D_{11} \det D_p \det \overline{D}'_{11} \det \overline{D}_c \det (I_{n_o} + PC). \quad (4.13)$$

Now using equations (4.1)-(4.4), we obtain $\det D_{\hat{P}} = \det \overline{D}_{\hat{P}}$ (equivalently, $\det D_{11} \det D_p = \det \overline{D}'_{11} \det \overline{D}_p$) and $\det \overline{D}_{\hat{C}} = \det D_{\hat{C}}$ (equivalently, $\det \overline{D}'_{11} \det \overline{D}_c = \det D'_{11} \det D_c$); hence we obtain

$$\det \hat{D}_H = \det \overline{D}'_{11} \det D'_{11} \det (\overline{D}_p D_c + \overline{N}_p N_c). \quad (4.14)$$

Therefore, if we analyze the system $\Sigma(\hat{P}, \hat{C})$ with \hat{P} factorized as $\overline{D}_{\hat{P}}^{-1} \overline{N}_{\hat{P}}$ and \hat{C} factorized as $N_{\hat{C}} D_{\hat{C}}^{-1}$, by normalization, condition (iii) of Theorem 4.4 is equivalent to

$$\overline{D}'_{11} = I_{\eta_o} \text{ and } D'_{11} = I_{\eta_i'} \text{ and } \overline{D}_p D_c + \overline{N}_p N_c = I_{n_o}.$$

(iv) Conditions (4.8)-(4.9)-(4.10) can be interpreted as follows: $\Sigma(\hat{P}, \hat{C})$ is H -stabilized if and only if 1) the only source of "instability" in the plant \hat{P} is D_p (equivalently, \overline{D}_p) 2) and the only source of "instability" in the compensator \hat{C} is \overline{D}_c (equivalently,

\bar{D}_p) 2) and the only source of "instability" in the compensator \hat{C} is \bar{D}_c (equivalently, D_c) 3) and the feedback-loop (with P and C) is H -stable. Note that the H -stability of the "feedback-loop" is equivalent to the H -stability of the unity-feedback system $S(P, C)$. \square

4.6. Definition (H -stabilizing compensator \hat{C}): (i) \hat{C} is called an H -stabilizing compensator for \hat{P} (later abbreviated as: \hat{C} H -stabilizes \hat{P}) iff $\hat{C} \in \mathcal{M}(G)$ satisfies Assumption 4.1 (B) and the system $\Sigma(\hat{P}, \hat{C})$ is H -stable. (ii) The set

$$\hat{\mathcal{S}}(\hat{P}) := \{ \hat{C} : \hat{C} \text{ } H\text{-stabilizes } \hat{P} \}$$

is called the set of all H -stabilizing compensators for \hat{P} .

4.7. Definition (Σ -admissibility): $\hat{P} \in \mathcal{M}(G)$ is called Σ -admissible iff \hat{P} can be H -stabilized by some $\hat{C} \in \mathcal{M}(G)$. \square

Let (N_p, D_p) be an r.c.f.r. of \hat{P} ; by Theorem 4.4, \hat{P} is Σ -admissible if and only if two conditions are satisfied: 1) $\det D_p \neq 0$ and 2) (N_p, D_p) is a right-coprime-fraction representation of \hat{P} . In terms of the l.c.f.r. (\bar{D}_p, \bar{N}_p) of \hat{P} , again by Theorem 4.4, \hat{P} is Σ -admissible if and only if 1) $\bar{D}_p \neq 0$ and 2) (\bar{D}_p, \bar{N}_p) is a left-coprime-fraction representation of \hat{P} .

4.8. Theorem (Class of Σ -admissible \hat{P}): Let $\hat{P} \in \mathcal{M}(G)$ satisfy Assumption 4.1 (A); then \hat{P} is Σ -admissible if and only if \hat{P} has an r.c.f.r. in the form given by equation (4.15) and an l.c.f.r. given by equation (4.16) below:

$$(N_p, D_p) = \left(\begin{bmatrix} \hat{N}_{11} & N_{12} \\ \bar{V}_p \bar{N}_{21} & N_p \end{bmatrix}, \begin{bmatrix} I_{\eta_i} & 0 \\ -\bar{U}_p \bar{N}_{21} & D_p \end{bmatrix} \right), \quad (4.15)$$

$$(\bar{D}_p, \bar{N}_p) = \left(\begin{bmatrix} I_{\eta_o} & -N_{12} U_p \\ 0 & \bar{D}_p \end{bmatrix}, \begin{bmatrix} \hat{N}_{11} & N_{12} V_p \\ \bar{N}_{21} & \bar{N}_p \end{bmatrix} \right), \quad (4.16)$$

where (N_p, D_p) is an r.c.f.r. and (\bar{D}_p, \bar{N}_p) is an l.c.f.r. of \hat{P} ; the pairs (N_p, D_p) and (\bar{D}_p, \bar{N}_p) , with $U_p, V_p, \bar{U}_p, \bar{V}_p$, satisfy (2.1); $\hat{N}_{11}, N_{12}, \bar{N}_{21} \in \mathcal{M}(H)$ are free parameter matrices.

4.9. Comments: (i) Suppose that (N_p, D_p) is an r.c.f.r. and (\bar{D}_p, \bar{N}_p) is an l.c.f.r. of \hat{P} , and that the generalized Bezout identity (2.1) holds. We generate the class of all Σ -admissible plants by choosing three completely free matrices $\hat{N}_{11}, N_{12}, \bar{N}_{21} \in \mathcal{M}(H)$ and forming the r.c. pair (N_p, D_p) in equation (4.15) or the l.c. pair (\bar{D}_p, \bar{N}_p) in equation (4.16); with this assignment of (\bar{D}_p, \bar{N}_p) and (N_p, D_p) , $\hat{P} := N_p D_p^{-1} = \bar{D}_p^{-1} \bar{N}_p$ is a Σ -admissible plant. Note that $\det \hat{P} \in I$ (equivalently, $\det \bar{D}_p \in I$) follows from $\det D_p \in I$ (equivalently, $\det \bar{D}_p \in I$). (ii) Theorem 4.8 states that the class of all Σ -admissible plants is parametrized by only three free matrices $\hat{N}_{11}, N_{12}, \bar{N}_{21} \in \mathcal{M}(H)$. (iii) Suppose that we are given a $\hat{P} \in \mathcal{M}(G)$ satisfying Assumption 4.1 (A), and that the coprime-fraction representations $N_p D_p^{-1} = \bar{D}_p^{-1} \bar{N}_p$ of \hat{P} satisfy (2.1); then \hat{P} is Σ -admissible if and only if $P_{11} - P_{12} D_p U_p P_{21} \in \mathcal{M}(H)$ and $P_{12} D_p \in \mathcal{M}(H)$ and $\bar{D}_p P_{21} \in \mathcal{M}(H)$.

4.10. Theorem (Set of all H -stabilizing compensators for \hat{P}): Let $\hat{P} \in \mathcal{M}(G)$ be Σ -admissible with $P \in \mathcal{M}(G_S)$; let (N_p, D_p) be an r.c.f.r. and (\bar{D}_p, \bar{N}_p) be an l.c.f.r. of \hat{P} , and let the generalized Bezout identity (2.1) hold. Under these conditions, the set $\hat{\mathcal{S}}(\hat{P})$ of all H -stabilizing compensators \hat{C} for \hat{P} is given by equation (4.17) and equivalently, by equation (4.18) below:

$$\hat{\mathcal{S}}(\hat{P}) = \left\{ \hat{C} = \begin{bmatrix} I_{\eta_o'} & -Q_{12} \bar{N}_p \\ 0 & V_p - Q \bar{N}_p \end{bmatrix}^{-1} \begin{bmatrix} Q_{11} & Q_{12} \bar{D}_p \\ Q_{21} & U_p + Q \bar{D}_p \end{bmatrix} \right\}, \quad (4.17)$$

$$\hat{\mathcal{S}}(\hat{P}) = \left\{ \hat{C} = \begin{bmatrix} Q_{11} & Q_{12} \\ D_p Q_{21} & \bar{U}_p + D_p Q \end{bmatrix} \begin{bmatrix} I_{\eta_i'} & 0 \\ -N_p Q_{21} & \bar{V}_p - N_p Q \end{bmatrix}^{-1} \right\} \quad (4.18)$$

where $Q_{11}, Q_{12}, Q_{21}, Q \in \mathcal{M}(H)$. Equations (4.17) and (4.18)

give a parametrization of all H -stabilizing compensators for \hat{P} ; each of these equations defines a bijection from $Q_{11}, Q_{12}, Q_{21}, Q \in \mathcal{M}(H)$ to $\hat{C} \in \hat{\mathcal{S}}(\hat{P})$. For the same $(Q_{11}, Q_{12}, Q_{21}, Q)$, equations (4.17)-(4.18) give the same $\hat{C} \in \hat{\mathcal{S}}(\hat{P})$.

4.11. Comments: (i) If H is the ring of proper stable rational functions $R_{\mathcal{U}}(s)$ as in Example 2.2, then the Σ -admissibility of \hat{P} implies that every U -pole of P_{11}, P_{12}, P_{21} is a U -pole of $P = N_p D_p^{-1}$, with at most the same McMillan degree [Vid.1, Net.1]. Similarly, for \hat{C} to be an H -stabilizing compensator for \hat{P} , the U -poles of C_{11}, C_{12}, C_{21} must be "contained" in the U -poles of $C = \bar{D}_c^{-1} \bar{N}_c$, and C must be chosen so that the feedback-loop is H -stable. (ii) The class of all H -stabilizing compensators is parametrized by four matrices, $Q_{11}, Q_{12}, Q_{21}, Q \in \mathcal{M}(H)$; the matrix Q parametrizes the class of all C that H -stabilizes the loop $S(P, C)$. Design with the unity-feedback system $S(P, C)$ is one-degree-of-freedom design because only one parameter matrix is available for design. In contrast, for the more general system $\Sigma(\hat{P}, \hat{C})$, there are four-degrees-of-freedom because \hat{C} has four completely free matrices in H , which can be chosen to meet performance specifications. In Section V, we use the parameter Q_{21} to diagonalize the input-output map H_{zv} : $v' \mapsto z$.

4.12. Achievable I/O maps of $\Sigma(\hat{P}, \hat{C})$: The set $\hat{\mathcal{A}}(\hat{P}) := \{ H_{z\hat{u}} : \hat{C} \text{ } H\text{-stabilizes } \hat{P} \}$ is called the set of all achievable I/O maps of the system $\Sigma(\hat{P}, \hat{C})$.

Substituting for \hat{C} from the expression in equations (4.17) and (4.18) into the closed-loop I/O map $H_{z\hat{u}}$, we obtain the set of all achievable I/O maps for $\Sigma(\hat{P}, \hat{C})$: $\hat{\mathcal{A}}(\hat{P}) = \{ H_{z\hat{u}} =$

$$\begin{bmatrix} \hat{N}_{11} - N_{12} Q \bar{N}_{21} & N_{12} \bar{D}_c & N_{12} Q_{21} & N_{12} \bar{N}_c \\ D_c \bar{N}_{21} & N_p \bar{D}_c & N_p Q_{21} & N_p \bar{N}_c \\ -Q_{12} \bar{N}_{21} & -Q_{12} \bar{N}_p & Q_{11} & Q_{12} \bar{D}_p \\ -N_c \bar{N}_{21} & -N_c \bar{N}_p & D_p Q_{21} & D_p \bar{N}_c \end{bmatrix}$$

: $Q_{11}, Q_{12}, Q_{21}, Q \in \mathcal{M}(H)$ }, where $D_c := (\bar{V}_p - N_p Q)$, $\bar{D}_c := (V_p - Q \bar{N}_p)$, $N_c := (\bar{U}_p + D_p Q)$, $\bar{N}_c := (U_p + Q \bar{D}_p)$. Each closed-loop map achieved by $\Sigma(\hat{P}, \hat{C})$ depends on only one of four free parameters $Q_{11}, Q_{12}, Q_{21}, Q \in \mathcal{M}(H)$; in fact, each of these maps is an affine function of one parameter only.

If $P_{11} = 0$ and $P_{21} = I_{n_o}$, then v can be viewed as an additive disturbance at the output y ; the disturbance-to-output map H_{yv} : $v \mapsto y$ is given by $(\bar{V}_p - N_p Q) \bar{N}_{21} = (\bar{V}_p - N_p Q) \bar{D}_p$, which depends on the parameter $Q \in \mathcal{M}(H)$. On the other hand, the external-input to output maps $H_{zv} = N_{12} Q_{21}$ and $H_{yv} = N_p Q_{21}$ depend on a different parameter Q_{21} . Consequently, output shaping and disturbance rejection can be achieved simultaneously, since H_{zv} and H_{yv} are decoupled from H_{yv} .

V. ACHIEVABLE DIAGONAL MAPS

We now consider the problem of achieving a diagonal I/O map for a Σ -admissible plant \hat{P} ; more precisely, we require the closed-loop map H_{zv} : $v' \mapsto z$ from the external-input v' to the output z of the H -stabilized $\Sigma(\hat{P}, \hat{C})$ to be diagonal. We obtain the class of all achievable diagonal maps H_{zv} .

Suppose that $\hat{P} \in \mathcal{M}(G)$, satisfying Assumption 4.1 (A), is a Σ -admissible plant. We assume that $\eta_i' = n_i = \eta_o$; consequently, $P_{12} \in G^{n_i \times n_i}$ is square since there are n_i inputs v' and n_i outputs z . Furthermore, we assume that $N_{12} \in H^{n_i \times n_i}$ is nonsingular (i.e., $\det N_{12} \neq 0$).

We define two diagonal (nonsingular) matrices Δ_L and Δ_R as follows: (i) Let $\Delta_{Lk} \in H$ be a greatest-common-divisor (g.c.d.) of the elements of the k -th row of N_{12} . Let

$\Delta_{Lk} \in H$ be a greatest-common-divisor (g.c.d.) of the elements of the k -th row of N_{12} . Let

$$\Delta_L := \text{diag} [\Delta_{L1}, \dots, \Delta_{Ln}], \quad (5.1)$$

$$N_{12} =: \Delta_L \hat{N}_{12}. \quad (5.2)$$

By construction, $\det \Delta_L \neq 0$. The diagonal elements Δ_{Lk} of Δ_L are unique except for factors in J . (ii) By assumption, $\det N_{12} = \det \Delta_L \det \hat{N}_{12} \neq 0$; hence, $\det \hat{N}_{12} \neq 0$. Write the ij -th entry of \hat{N}_{12}^{-1} as $\frac{m_{ij}}{d_{ij}}$, where (m_{ij}, d_{ij}) is a coprime pair in H ; note that $d_{ij} \neq 0$ since the denominator of each entry is a factor of $\det \hat{N}_{12}$ (i.e., $\det \hat{N}_{12} = d_{ij} a_{ij}$ for some $a_{ij} \in H$). Let $\Delta_{Rj} \in H$ be a least-common-multiple (l.c.m.) of $\{d_{1j}, \dots, d_{nj}\}$ (i.e., a l.c.m. of the denominators of the elements in the j -th column of \hat{N}_{12}^{-1}). Let

$$\Delta_R := \text{diag} [\Delta_{R1}, \dots, \Delta_{Rn}]; \quad (5.3)$$

$\det \Delta_R \neq 0$ since $d_{ij} \neq 0$. The entries Δ_{Rj} of Δ_R are unique except for factors in J . Note that if $\hat{N}_{12}^{-1} \in \mathcal{M}(H)$, then $\Delta_R = I_n$. Now for some $b_{ij} \in H$, $\Delta_{Rj} = d_{ij} b_{ij}$; therefore the ij -th element of $\hat{N}_{12}^{-1} \Delta_R$ is $\frac{m_{ij}}{d_{ij}} \Delta_{Rj} = m_{ij} b_{ij} \in H$, and hence,

$$\hat{N}_{12}^{-1} \Delta_R \in \mathcal{M}(H). \quad (5.4)$$

Intuitively, if H is $R_u(s)$ as in Example 2.2, then we interpret the diagonal matrices Δ_L and Δ_R as follows: Δ_{Lk} extracts the U_e -zeros that are common to all elements in the k -th row of N_{12} ; Δ_L "book-keeps" the U_e -zeros of $P_{12} = N_{12} D_p^{-1}$ that appear in each entry of some row of N_{12} . Clearly, P_{12} may have other U_e -zeros that cannot be extracted by Δ_L ; these U_e -zeros are the U_e -zeros of $\det \hat{N}_{12}$ (equivalently, the U_e -poles of \hat{N}_{12}^{-1}). Now the diagonal matrix Δ_R makes $\hat{N}_{12}^{-1} \Delta_R$ H -stable, i.e., cancels these U_e -poles. Let $s \in U_e$ be a zero of Δ_R (hence a U_e -zero of $\det \hat{N}_{12}$); the multiplicity of $s \in U_e$ in $\det \Delta_R$ may exceed its multiplicity in $\det \hat{N}_{12}$. If $\det \hat{N}_{12} \in H^{n_i \times n_i}$ has n zeros at $s \in U_e$, then $\det \Delta_R$ has at most n zeros at $s \in U_e$; so Δ_R has at most as many U_e -zeros as $(\det \hat{N}_{12})^{-1} I_n$.

5.1. Definition (Achievable diagonal H_{zv}): The set $\hat{A}_{zv}(\hat{P}) := \{ H_{zv} : \hat{C} \text{ } H\text{-stabilizes } \hat{P} \text{ and the map } H_{zv} \text{ is diagonal and nonsingular} \}$ is called the set of all achievable diagonal nonsingular maps $H_{zv} : v' \mapsto z$.

5.2. Theorem (Class of all achievable diagonal H_{zv}): Let $\hat{P} \in \mathcal{M}(G)$ be Σ -admissible, and let $P \in \mathcal{M}(G_s)$; let $N_{12} \in H^{n_i \times n_i}$ be nonsingular. Under these conditions, $\hat{A}_{zv}(\hat{P}) = \{ \Delta_L \Delta_R \hat{Q}_{21} : \hat{Q}_{21} \in \mathcal{M}(H) \text{ is diagonal and nonsingular} \}$, where Δ_L and Δ_R are the diagonal, nonsingular matrices defined by equations (5.1) and (5.3).

5.3. Comments: (i) The map $H_{zv} = \Delta_L \Delta_R \hat{Q}_{21}$ (where $\hat{Q}_{21} \in \mathcal{M}(H)$) is an achievable map of $\Sigma(\hat{P}, \hat{C})$ if and only if the compensator parameter Q_{21} is chosen as

$$Q_{21} = \hat{N}_{12}^{-1} \Delta_R \hat{Q}_{21}; \quad (5.5)$$

where $\hat{Q}_{21} \in H^{n_i \times n_i}$ is diagonal and nonsingular. By equation (5.4), $Q_{21} \in \mathcal{M}(H)$. Therefore, to achieve diagonalization, from the set $\hat{S}(\hat{P})$ of all H -stabilizing compensators \hat{C} , we must choose $C_{21} = \hat{D}_c^{-1} Q_{21} = (V_p - Q \bar{N}_p)^{-1} Q_{21}$ as

$$C_{21} = (V_p - Q \bar{N}_p)^{-1} \hat{N}_{12}^{-1} \Delta_R \hat{Q}_{21}, \quad (5.6)$$

where the matrix $\hat{Q}_{21} \in H^{n_i \times n_i}$ is diagonal and nonsingular. In (5.6), $Q \in H^{n_i \times n_o}$ is a free parameter and is not used in diagonalizing the I/O map H_{zv} . (ii) If H is $R_u(s)$ as in

Example 2.2, then the "cost" of diagonalizing the map H_{zv} is that the number of U_e -zeros are increased. Since Δ_L is a factor of N_{12} , H_{zv} must have zeros at the U_e -zeros of Δ_L ; the multiplicity of a U_e -zero of H_{zv} may be larger than its multiplicity in $\det N_{12}$ due to Δ_R . If Δ_L represents all U_e -zeros of P_{12} (equivalently, if $\hat{N}_{12}^{-1} \in \mathcal{M}(H)$) and if \hat{Q}_{21} is chosen so that it has no U_e -zeros, then the U_e -zeros of the diagonal H_{zv} have the same multiplicity as in $\det N_{12}$ since $\Delta_R = I_n$. The parameter Q_{21} is now restricted to be $\hat{N}_{12}^{-1} \Delta_R \hat{Q}_{21}$ and hence, can no longer be assigned arbitrarily; the only freedom left is the diagonal nonsingular matrix $\hat{Q}_{21} \in \mathcal{M}(H)$. (iii) Although we chose to diagonalize the map H_{zv} , we could also diagonalize $H_{yv} : v' \mapsto y$, the map from the same external-input v' to the output y of \hat{P} (y is the output used in the feedback-loop). In that case, assuming that $n_o = n_i$ and that $N_p \in H^{n_i \times n_i}$ is nonsingular, we define Δ_{Rp} , Δ_{Lp} , \hat{N}_p from N_p as we did above to obtain Δ_L , Δ_R and \hat{N}_{12} from N_{12} ; the set of all achievable nonsingular maps H_{yv} is then $\hat{A}_{yv}(\hat{P})$, where $\hat{A}_{yv}(\hat{P}) = \{ \Delta_{Lp} \Delta_{Rp} \hat{Q}_{21} : \hat{Q}_{21} \in \mathcal{M}(H) \text{ is diagonal and nonsingular} \}$. The compensator parameter Q_{21} should be chosen as $\hat{N}_p^{-1} \Delta_{Rp} \hat{Q}_{21}$. (iv) In the unity-feedback system $S(P, C)$, diagonalizing the map $H_{yv} : u' \mapsto y$ would depend on the choice for Q such that $N_p(U_p + Q \bar{D}_p)$ is diagonal, and hence, diagonalizing the map H_{yv} in $S(P, C)$ may not be possible for certain plants. If $P \in \mathcal{M}(H)$, P is square and nonsingular, then the compensator $(I_{n_i} - QP)^{-1} Q$ achieves the diagonalization requirement if $Q \in \mathcal{M}(H)$ is chosen as $Q = \hat{P}^{-1} \Delta_R \hat{Q}$, where $P = \Delta_L \hat{P}$. (The matrices Δ_L and Δ_R are similarly defined for P instead of N_{12}).

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